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Experimental demonstration of a mode shape-based scour monitoring method for multi-span bridges with shallow foundations

Abdollah Malekjafarian, Ph.D. [1], Chul-Woo Kim, Ph.D. [2], Eugene J. OBrien, Ph.D. [3], Luke J. Prendergast, Ph.D. [4], Paul C. Fitzgerald, Ph.D. [5*], Syunsuke Nakajima [6]

Abstract

This paper experimentally investigates a vibration-based scour monitoring approach applicable to bridges with multiple simply supported spans on shallow foundations. A monitoring strategy based on the relative changes in pier mode shape amplitudes due to scour is postulated. The first global mode shape of a bridge structure with multiple spans is extracted from acceleration measurements using an output-only approach, Frequency Domain Decomposition (FDD). The relative changes of the pier mode shape amplitudes under scour are then tracked. Here, each pier mode shape value is compared with the mean values of the remaining piers in a process that creates a Mean-Normalised Mode Shape (MNMS). The approach is demonstrated on a scaled model of a bridge with four spans, supported on sprung foundations, where scour is simulated by the replacement of springs with springs of lower stiffness corresponding to a reduction in foundation stiffness. It is shown that at a given 'scoured' pier, significant increases in the MNMS value occur, suggesting that the location of the scour can be identified. The magnitude of the MNMS at a given pier also increases with an increase in stiffness loss due to scour. In practice, the approach would work best by carrying out a visual inspection of the

[1] School of Civil Engineering, University College Dublin, Dublin, Ireland. Email abdollah.malekjafarian@ucd.ie
[2] Department of Civil and Earth Resources Engineering, Kyoto University, Kyoto 615-8540, Japan. Email kim.chulwoo.5u@kyoto-u.ac.jp
[3] School of Civil Engineering, University College Dublin, Dublin, Ireland. Email eugene.obrien@ucd.ie
[4] Department of Civil Engineering, Faculty of Engineering, University of Nottingham, Nottingham, NG7 2RD, United Kingdom. Email luke.prendergast@nottingham.ac.uk
[5] School of Civil Engineering, University College Dublin, Dublin, Ireland. Email paul.fitzgerald.3@ucdconnect.ie
[6] Department of Civil and Earth Resources Engineering, Kyoto University, Kyoto 615-8540, Japan. Email nakajima.syunsuke.35x@st.kyoto-u.ac.jp

*Corresponding author

bridge to establish the initial health condition at the time of sensor installation. After this initial process, the bridge can be monitored remotely for scour on an ongoing basis.

Keywords: Bridge scour; accelerations; mode shape; damage detection; SHM; vibrations

Introduction

Scour erosion, where soil is removed from around bridge foundations by the action of flowing water (Hamill, 1999), remains a significant hazard to bridges worldwide (Wardhana and Hadipriono, 2003, Maddison, 2012, Prendergast et al., 2018). There are three main forms of scour, general, contraction and local. General scour occurs naturally in river channels and includes the aggradation and degradation of the river bed that may occur as a result of changes in the hydraulic parameters governing flow such as changes in the flow rate or changes in the quantity of sediment in the channel (Forde et al., 1999). Contraction scour occurs due to changes in the cross-sectional (flow) area of a river due to the presence of obstructions such as piers or abutments. Local scour occurs in the direct vicinity of a bridge foundation where downward flow is induced at the upstream end of bridge piers, leading to local erosion (Forde et al., 1999).

In its simplest form, scour leads to a lowering of the soil elevation relative to foundation elements of a bridge, which can increase the vulnerability to failure. Perhaps a more significant issue occurs for bridges founded on shallow pad foundations, where scour can undermine the pad, decreasing the soil-structure contact area. This leads to increased stress on the remaining soil, increasing soil strains and ultimately reducing the shear stiffness of the soil beneath the foundation system (Oztoprak and Bolton, 2013). This type of scour mechanism is particularly dangerous because many bridges have unknown foundation depths, meaning it is difficult for bridge owners/operators to truly understand scour risk (Briaud et al., 2012).

The reduction in foundation stiffness as a result of scour can lead to excessive settlements, which pose issues to bridges and can affect their load-carrying capacity. In terms of load-rating of structures to identify carrying capacity, recent efforts have sought to include foundation settlements into assessment frameworks (Davis et al., 2018).

It is widely recognised that scour reduces the stiffness of foundations, which has given rise to the area of vibration-based scour detection (Briaud et al., 2011, Foti and Sabia, 2010, Prendergast et al., 2013, Chen et al., 2014, Klinga and Alipour, 2015, Prendergast et al., 2016a, Xiong et al., 2018b, Kong et al., 2013, Fitzgerald et al., 2019a). The idea that changes in stiffness manifest themselves as changes to modal properties is the original concept behind monitoring dynamic properties for structural damage detection (Sohn et al., 2003). Many researchers have investigated approaches to scour detection based on measuring changes in various dynamic properties using sensors installed on the superstructure, or on passing vehicles (Fitzgerald et al., 2019b). These studies include both numerical and experimental investigations, and the majority of studies to date have focussed on bridges with deep foundations (piles). For a comprehensive overview of approaches based on changes in natural frequencies, interested readers are referred to Bao and Liu (2017).

Using numerical modelling, Prendergast et al. (2016a, 2016b) investigate how scour around the central pier of a two-span integral bridge influences the first natural frequency of the structure and study the ability to use changes in this frequency to detect scour. The influence of parameters such as vehicle speed and mass, road surface roughness and sensor ‘noise’, on the resulting lateral pier vibrations are studied to ascertain how robust the approach is for scour detection. They conclude that monitoring frequency changes shows potential to detect scour erosion. The approach is extended in Prendergast et al. (2017) to detecting the location of scour on a two-span integral bridge, i.e. which pier or abutment is scoured, by analysing multiple frequencies from the bridge with a focus on local element frequencies. Kong and Cai (2016)

numerically investigate the dynamic response of a continuous four-span bridge under wave loads and demonstrate that scour has a significant effect on the lower frequencies of a bridge pile. Furthermore, it is shown how scour affects the complete bridge-vehicle-wave system, meaning that the response of the bridge deck or even a passing vehicle can also be used to monitor scour. Ju (2013) studies how the natural frequency of a bridge varied due to scour using numerical modelling. It is shown how water-added mass surrounding the foundations influences the frequency values and it is concluded that its presence lowers the frequency. However, accounting for water-added mass is difficult and it is recommended that it can be ignored in bridge frequency analyses. Chen et al. (2014) present a scour monitoring approach using velocity sensors and a finite-element model of a cable-stayed bridge. Combining sensor measurements with FE updating enables scour of the pier to be quantified. Klinga and Alipour (2015) perform numerical analyses on the performance of various bridge elements under extreme scour and conclude that scour reduces lateral stiffness and lowers the natural frequency. Xiong et al. (2018a) propose a scour indicator based on the bridge flexibility matrix, which is sensitive to scour-induced changes on the frequencies and mode shapes of the structure. Bao et al. (2017) perform numerical and experimental studies to investigate three particular issues with frequency-based scour detection, namely (i) the physical meaning of the predominant natural frequency (PNF), (ii) the optimal location for installed sensors, and (iii) the influence of scour hole shape. By comparing a modal PNF to one obtained from dynamic testing, separation of bridge (structural) frequencies and soil (or computational domain) frequencies is possible. In terms of optimal sensor location, they suggest locating sensors at a point near maximum modal amplitude. For the structure considered in their paper, this is the top of the pier (free end). Furthermore, they propose a new criterion to define scour depths in asymmetrical scour situations to ensure a smooth variation of PNF with scour.

Several authors have trialled other types of (non-frequency) vibration-based scour detection methods on both laboratory-scale and full-scale bridges. Foti and Sabia (2010) present a study on a full-scale five span bridge where one of the piers experienced historical scour issues. By monitoring the asymmetric dynamic behaviour of the pier (due to variations in upstream and downstream scour) using the covariance of accelerations measured by an array of sensors along the foundation, they conclude that scour presence is detectable (but the extent is not quantifiable). Briaud et al. (2011) undertook experimental testing on a scaled-model bridge and investigated the performance of a range of approaches at detecting scour. One particular approach was to analyse the root-mean-square of acceleration signals measured in various directions and to use this as an indicator of scour occurrence. The ratio of RMS values showed sensitivity to scour development (Prendergast and Gavin, 2014).

The use of mode shapes to detect scour is a relatively recent development. However, mode shapes have been used in other damage detection fields to detect general forms of structural damage (cracks etc.). Damage detection methods based on changes in mode shapes are an alternative to natural frequency-based approaches, and can be advantageous in detecting local damage, and are not as prone to issues such as changes in temperature (Sohn, 2006). Structural damage detection using mode shapes generally consists of either comparing two modes from different health states of the structure, extracting features of the mode shape (e.g. curvature) that are sensitive to damage, or applying signal processing techniques to mode shape data (Fan and Qiao, 2011). Two common methods to compare shapes are Modal Assurance Criterion (MAC) and Coordinate Modal Assurance Criterion (COMAC) (Allemang and Brown, 1982, Dos Santos et al., 2000). MAC is a measure of the correlation between two modes with a value of unity representing a perfect match and a value of zero representing no match between the two modes. Hence, a reduction in MAC value may indicate the presence of damage. Salawu and Williams (1995) test MAC on mode shapes obtained from a concrete bridge before and

after repair and find that the MAC values change significantly in comparison to the measured frequency changes. COMAC is a pointwise comparison of two mode shapes, with a low COMAC value indicating possible damage at that point. Frýba and Pirner (2001) use COMAC in the repair of a segmentally constructed pre-stressed concrete bridge and show that the COMAC analysis of a repaired segment was similar to that of an undamaged segment.

Pandey et al. (1991) show, using an analytical model, that the mode shape curvature (i.e. the second derivative of the mode shape) can detect damage in both a simply supported beam and a cantilever beam. Wahab and De Roeck (1999) use a mode shape curvature-based method on the Z24 bridge in Switzerland and develop an indicator based on the difference in curvatures before and after damage. Other authors have shown, however, that mode shape curvatures are poor for detecting smaller amounts of damage (Ratcliffe, 2000). More detailed reviews of other approaches using mode shapes are depicted in (Carden and Fanning, 2004, Fan and Qiao, 2011, Moughty and Casas, 2017, OBrien and Malekjafarian, 2016, Malekjafarian and OBrien, 2017, Kong et al., 2017).

Some previous studies have used mode-shape based approaches to detect and monitor scour erosion. Elsaid and Seracino (2014) investigate the influence of scour on a scaled model of a coastal bridge. Scour is modelled as an increase in the effective length of bridge piles extending from the deck. Mode shape curvature, flexibility-based deflection and flexibility-based curvature are assessed to ascertain their performance at scour monitoring. The study concludes that horizontally-displaced mode shapes show sensitivity to the modelled scour. Moreover, the change in the mode shape curvature, flexibility-based deflections and curvatures showed promise in identifying the existence, location and possibly the extent of scour. Xiong et al. (2018b) investigate four scour indicators for a scoured cable-stayed bridge, namely frequency change ratio, MAC, modal curvature and flexibility-based deflection. Flexibility-based deflection is recommended as the most practical way to detect scour. In a separate study, Xiong

et al. (2019) present a scour identification approach based on measuring the ambient vibration of the superstructure of a cable-stayed bridge. By analysing the change in the mode shapes at two different times, qualitative scour identification is possible. The authors furthered the procedure to enable quantitative scour identification using a companion FE model of the system, whose soil stiffness is updated to match the real system.

The majority of previous works on vibration-based scour monitoring have focussed on changes in natural frequencies to detect scour presence. Approaches using mode shapes have generally focussed on direct comparison of pre- and post-scour modes using MAC-type analyses or have used modal curvature and flexibility-based deflection. The majority of these studies have been applied to cable-stay bridges or bridges with piled foundations. The contribution of the present work relates to the use of information from the mode shape as identified from output-only modal identification to detect local reductions in stiffness resulting from scour-related stiffness losses. The approach developed is applicable to vertical stiffness loss experienced at shallow foundations, since a majority of previous works have focussed on identifying changes in lateral stiffness as would be expected at deeper foundations. Furthermore, the approach is demonstrated in this paper using scaled experimental testing. The first global mode shape of an experimentally scaled bridge with multiple spans is extracted using Frequency Domain Decomposition (FDD) (Brincker et al., 2001). Accelerations from the bridge midspans and piers are used as the input to the FDD algorithm, arising due to a model vehicle traversing the structure.

A novel scour indicator is proposed whereby the mode shape amplitude at one pier is compared to the mean of the mode shape amplitudes at the remaining piers in a process that creates a Mean-Normalised Mode Shape (MNMS). It is shown that at the scoured pier, the MNMS value increases due to a loss of stiffness as a result of scour. Moreover, the magnitude of the MNMS at a scoured pier increases with further decreases in stiffness. The approach is also capable of

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detecting which pier is scoured by considering the nature of the changes in the MNMS. The MNMS approach is an improvement on using the mode shape of the system alone, as it is more sensitive to scour than changes in mode shape obtained from MAC analysis. Moreover, only one mode shape is required, namely the damaged mode shape, to derive the required information. This means that a reference (undamaged) mode shape is not required, as would be the case when comparing modes using MAC. The method only requires sensors located at piers so does not suffer from the requirement of many sensors, as would be needed for accurate estimates of modal curvature, for example. The method may be suited to output-only scour identification for multi-span bridges founded on shallow pad foundations, which typically have not received much attention in the literature.

Scour monitoring approach based on pier mode shape values

Numerical Model

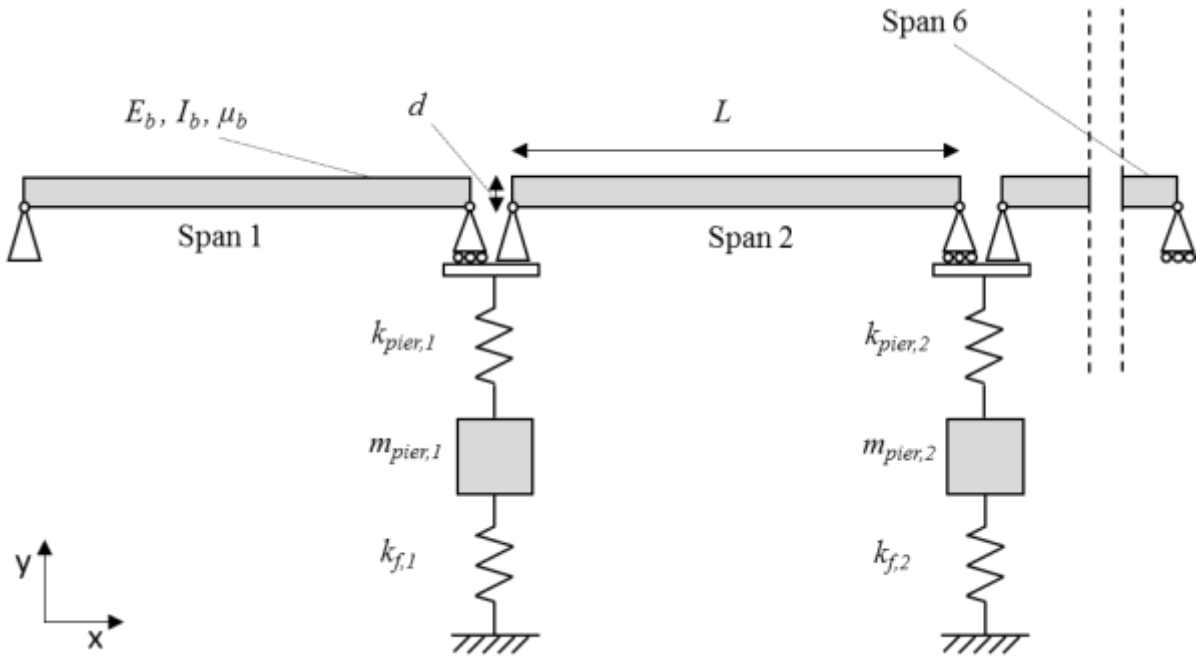

Fig. 1: Numerical model schematic

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A numerical model is used to introduce the scour detection procedure and a schematic of this is shown in Fig. 1. It represents a bridge with pinned connections (internal hinges) between each of six spans. Each pier is assumed to rest on a shallow pad foundation with underlying soil stiffness. Each span is modelled as a simply supported Euler-Bernoulli beam, the mass and stiffness matrices for which are available in (Kwon and Bang, 2000). The beginning and end of the bridge are assumed to rest on undeformable abutments, which are modelled as pinned, and roller supports, respectively. Hence, there are five internal piers. Twenty 1 m long beam elements are used for each span in the finite-element model. The beams are connected using nodal hinges with a supporting pier at each connection, modelled as a single degree of freedom (DOF) sprung-mass in the vertical direction.

Each pier is supported by a spring, k_f, which represents the vertical stiffness provided by a shallow pad foundation with notional length, L and width, B dimensions of 4 m and 2 m respectively. Using these pad dimensions, the stiffness of the spring is calculated using the approach in FEMA (2000), see Eq. (1),

$$k_f = \frac{GB}{1-\nu}\left[1.55\left(\frac{L}{B}\right)^{0.75} + 0.8\right] \tag{1}$$

where G is the operational shear modulus of the soil (kN m^{-2}) and ν is the small-strain Poisson ratio. An elastic modulus, $E = (2G(1+\nu))$, corresponding to a medium dense sand (Prendergast and Gavin, 2016) is assumed for the unscoured stiffness. Note, the expression in Eq.(1) is semi-empirical and there exists several formulations that take this approximate form (Pais and Kausel, 1988, Mylonakis et al., 2006). Table 1 lists the main geometrical and material properties of the bridge. The second moment of area is calculated by assuming a 4 m wide single-track railway bridge with a rectangular cross-section and the mass and stiffness of the pier is calculated by assuming pier dimensions of 7 m (in y-direction), 1 m (in x-direction) and

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205 2.5 m (into page) with a modulus of elasticity and density of 35×10^6 kN m^{-2} and 2400 kg m^{-3}
206 respectively.

207 Table 1: Properties of bridge used to introduce the scour identification approach

Property	**Symbol**	**Unit**	**Value**
Span length	L	m	20
Beam depth	d	m	1
Beam second moment of area	I_b	m^4	0.33
Beam modulus of elasticity	E_b	kN m^{-2}	35×10^6
Beam mass per unit length	μ_b	kg m^{-1}	9.60×10^3
Pier mass	m_{pier}	kg	42×10^3
Pier stiffness	k_{pier}	kN m^{-1}	12.50×10^6
Vertical stiffness provided by shallow pad foundation	k_f	kN m^{-1}	344.12×10^3

208

209 In this work, scour is modelled as a reduction in stiffness of a given vertical foundation spring.
210 It is worth noting that in the real case a loss of rotational stiffness could occur as a result of
211 scour which would result in rocking effects on the pad. This type of situation could arise in the
212 case of asymmetric scour affecting the foundation (Foti and Sabia, 2010). However, the present
213 study specifically focuses on vertical stiffness loss only (Eq. 1). The basis for scour-related
214 stiffness loss lies in the stress and strain dependency of soil stiffness, as discussed herein. The
215 shear modulus of soil (G) typically increases nonlinearly with mean effective stress. The
216 magnitude of this shear modulus at a given depth is a function of the amount of overburden
217 pressure at that location. Scour leads to a local reduction in soil elevation relative to a
218 foundation, which implies the overburden pressure reduces in the vicinity of scoured
219 foundations (Zhang et al., 2017). It can therefore be assumed that scour occurrence would
220 change the operational shear modulus at formation level, although by a small amount. In
221 extreme cases, however, scour can undermine a shallow pad (Scozzese et al., 2019). When this
222 occurs, the contact area between the remaining soil beneath the shallow foundation and the pad
223 is reduced, leading to increased stress on the remaining soil from the applied loads. This

increased stress subsequently increases the strain in the soil, due to the typically nonlinear stress-strain relationship of soil. Additionally, the shear modulus of soil is strain-dependant, and typically reduces with strain (Oztoprak and Bolton, 2013, Hardin and Drnevich, 1972). In this paper, both the aforementioned mechanisms are assumed to occur leading to a reduction in the vertical stiffness of a foundation under scour. For the geometries considered in the present study, a 30% example loss in stiffness would be expected if the foundation was undermined by scour reducing the soil-foundation contact area from $8m^2$ (4m x 2m) to $5.1m^2$ (3m x 1.7m), with a corresponding reduction in soil shear modulus, G equating to 10% reduction from the small-strain value G_0 (Oztoprak and Bolton, 2013).

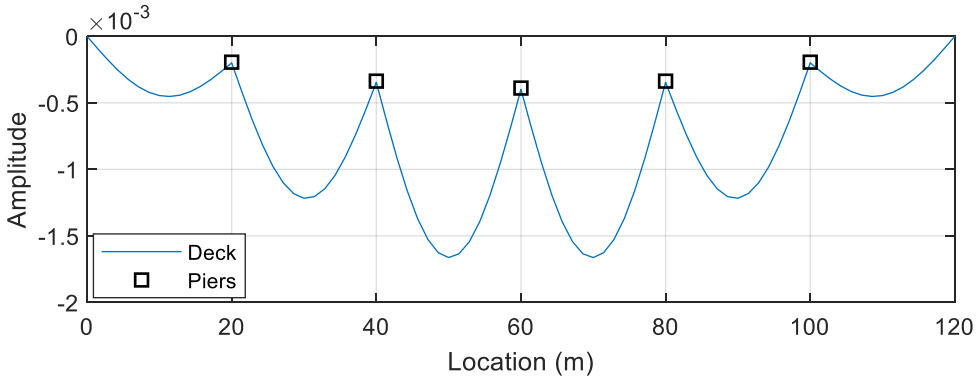

Fig. 2: First mode shape of system for healthy case - 3.70 Hz frequency

Fig. 2 shows the first global mode shape of the bridge corresponding to the first natural frequency of the system when there is no scour. The mode shape is derived from the system mass and stiffness matrices by solving the Eigenproblem (Clough and Penzien, 1993). As is evident, each of the bridge spans exhibit a bending shape with each of the piers exhibiting motion in the same direction for this mode. The central pier has the highest maximum mode shape amplitude relative to the remaining piers. The first mode shape of the bridge will be used to develop a scour monitoring approach by investigating the sensitivity of this mode to scour at various locations.

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Mean-Normalised Mode Shape (MNMS) to detect Scour

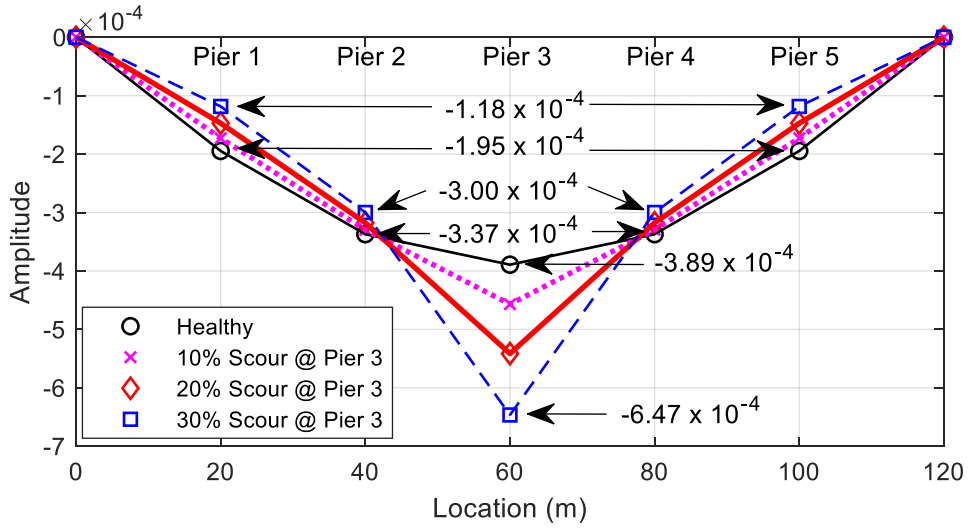

Fig. 3: First mode shape amplitude at pier locations of bridge system due to varying levels of stiffness loss as a result of scour at Pier 3 (60 m point).

Fig. 3 shows how the stiffness loss due to scour affects the first mode shape of the system for scour at the central pier of the bridge. In this plot, the percentage scour refers to percentage stiffness loss as a result of scour, and is defined as the reduction in vertical foundation stiffness with respect to the stiffness of a foundation with zero scour. Only the mode shape values at the pier locations are shown here and the spans are simplified as straight lines. For each scenario, the modes are normalised with respect to the system mass matrix so that they can be quantitatively compared. In this work, a scour indicator based on the first mode shape amplitudes at the locations of the piers is proposed. The first mode shape is used to develop the scour indicator because for this mode, all of the piers exhibit movement in the same direction enabling a ratio-type indicator to be created. Fig. 3 shows that the largest change in the mode shape amplitude occurs at the scoured pier. It is of note that the mode shape amplitude is affected at unscoured piers also. At the scoured pier the absolute value of the modal amplitude increases with an increase in scour (reduced stiffness). At unscoured piers, the opposite effect is observed whereby the absolute value of the amplitude decreases with an increase in scour. It should be noted that the changes at the scoured pier are much greater than those at the

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unscoured piers. Based on this premise, a scour indicator referred to as the Mean Normalised Mode Shape (MNMS) is proposed to compare the mode shape value of a given pier with those at the other piers. The mean value of the modal amplitudes of the remaining piers is used as the metric to compare each pier mode shape value. In mathematical form, the MNMS at any pier is represented as Eq. (2).

$$\{MNMS\}_x = \frac{\{MS\}_x}{\frac{1}{n-1}\sum_{\substack{k=1 \\ k\neq x}}^{n}\{MS\}_k} \tag{2}$$

where n is the total number of piers, which in this case is equal to five, x is the pier number such that x ϵ {1:n}, MS is a vector of pier mode shape amplitudes and the summation term represents the sum of the pier mode shape amplitudes excluding Pier x.

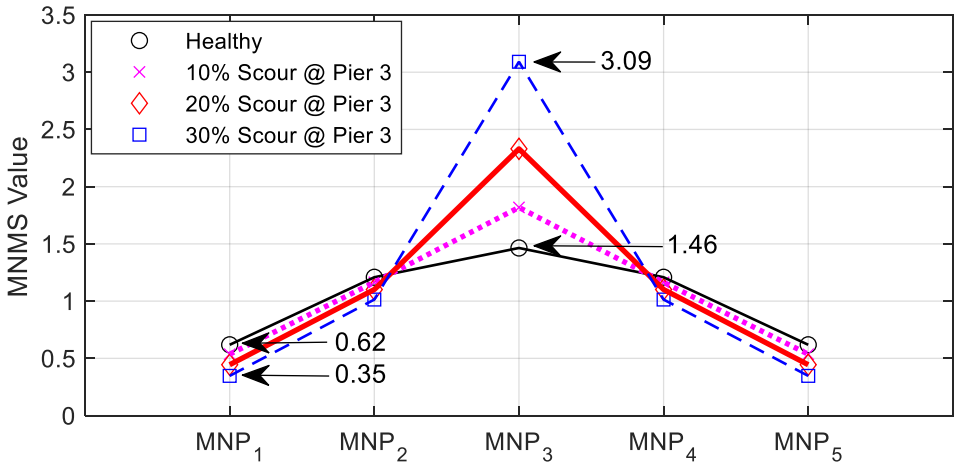

Fig. 4: MNMS values for each pier for varying levels of scour at Pier 3

Fig. 4 shows how the MNMS at each pier is affected by the stiffness loss due to scour at Pier 3. At the scoured pier (Pier 3), the MNMS increases with an increase in scour severity from 1.46 when there is no scour affecting the bridge to 3.09 when scour corresponding to a 30% decrease in Pier 3 foundation stiffness affects the structure. At other (unscoured) piers, the

MNMS values decrease with an increase in scour severity at Pier 3. For example, the MNMS value at Pier 1 decreases from 0.62 to 0.35 where there is 30% scour at Pier 3.

It is clear that the MNMS pattern (Fig. 4) has a strong resemblance to the mode shape values themselves (Fig. 3). The main advantage of using the MNMS over direct mode shape comparison lies with the fact that for mode shapes, normalisation is required to facilitate comparison. The mode shapes derived from an output-only modal method like FDD are not mass-normalised as the input forces are unknown (Khatibi et al., 2012). This means that the magnitude of the mode shape values depends on the amplitude of the input forces. For example, a passage of a heavy vehicle may generate signals with higher modal amplitudes than a lighter vehicle. The normalisation process could affect the observed changes due to scour. A common practice for depicting operational mode shapes is to normalise them with respect to their maximum value (Khatibi et al., 2012). However, normalising the mode shapes in this way could lead to a situation where the modes exhibit no change at the location of the scoured pier – which would be the case in Fig. 3. The metric defined in Eq. (2) avails of the relative changes in the mode at various points, therefore it is insensitive to changes in modal magnitude resulting from the passage of different vehicles.

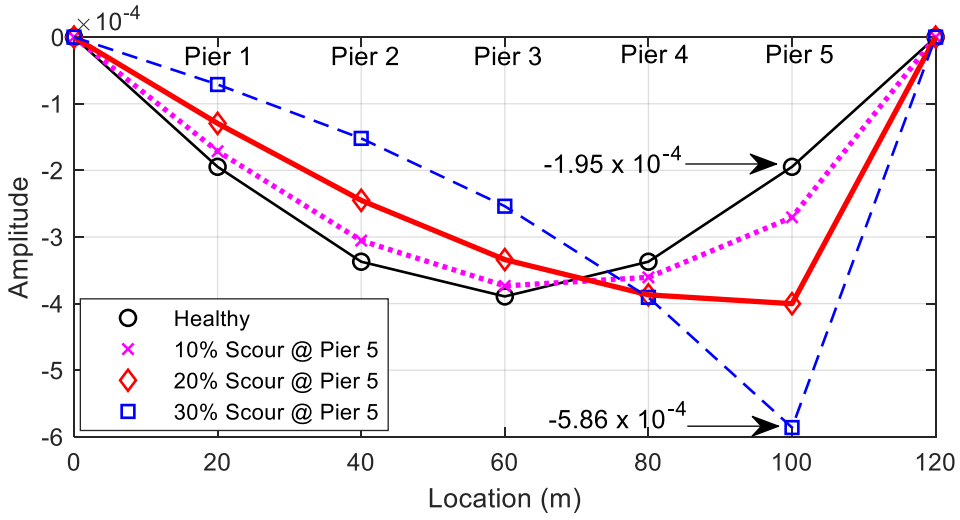

Fig. 5: First mode shape amplitude at pier locations of bridge system due to varying levels of stiffness loss as a result of scour at Pier 5 (100 m point).

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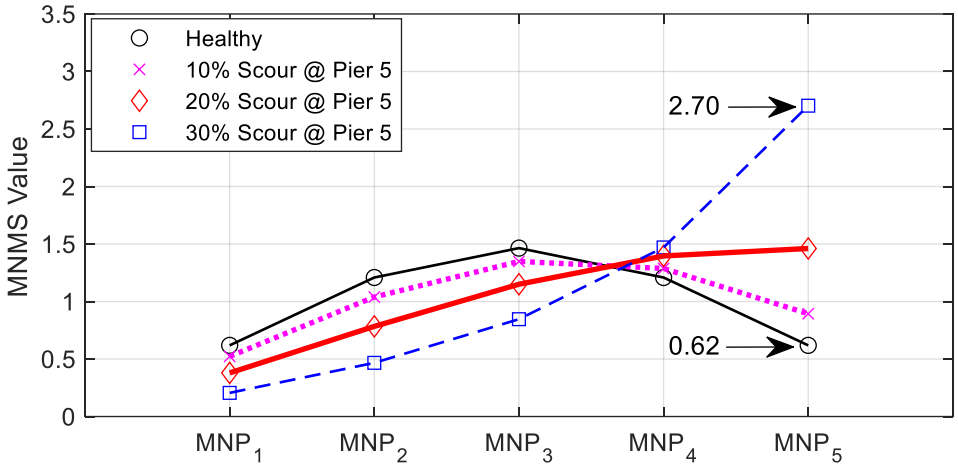

Fig. 6: MNMS values for each pier for varying levels of scour at Pier 5

Fig. 5 shows how increasing stiffness loss at Pier 5 influences the mode shape amplitudes at each pier. Fig. 6 shows the corresponding MNMS values at each pier. The MNMS values defined in Eq. (2) experience a greater percentage change at the scoured pier than the raw mode shape values at this location (335% as opposed to 200% for the 30% scour case). Note also that the mode shapes in this case are mass-normalised mode shapes directly from Eigen-analyses. In the real case, they would have to be computed from time domain data, making them less reliable. Fig. 6 exhibits a broadly similar trend to that of Fig. 4 in that at the scoured pier, the MNMS value increases while at the unscoured piers it decreases. However, in this case, Pier 4 which is closest to the scoured pier also exhibits an increase in MNMS value. The mode shape itself also reflects this (see Fig. 5) as both Piers 4 and 5 show an increase in absolute mode shape value due to scour at Pier 5.

Frequency Domain Decomposition (FDD)

It is not possible to extract the mode shapes using an eigenvalue analysis on a real structure. Instead, it is necessary to derive modal information by analysing time-domain signals measured from a target structure. In this work, Frequency Domain Decomposition (FDD) (Brincker et al., 2001) is used as a means to extract mode shapes from acceleration measurements. FDD is an output-only modal identification method, i.e. it enables estimation of the system dynamic

parameters without prior knowledge of the input excitation. The approach is suitable for a scenario where a bridge is excited by unknown vehicle properties.

FDD begins with the estimation of the power spectral density (PSD) matrix, $\hat{G}(j\omega)$, from the various responses at discrete frequencies for $\omega=\omega_i$. Next, $\hat{G}(j\omega)$ is decomposed at each frequency by applying Singular Value Decomposition (SVD) (Brincker et al., 2001) to obtain Eq. (3).

$$\hat{G}(j\omega_i) = U_i S_i U_i^H \tag{3}$$

where U_i is a unitary matrix of singular vectors, S_i is a diagonal matrix holding the singular values and H denotes the complex conjugate of the matrix. Using the singular values obtained at each frequency, an SVD diagram can then be plotted. From this plot, the natural frequencies of the structure can be obtained from the dominant peaks and the corresponding singular vectors are the mode shapes.

Minimum stiffness loss that can be detected by the MNMS approach under noisy conditions

It is of interest to assess the minimum stiffness loss that can be detected by the approach postulated in this paper. To investigate this, a time-domain analysis is conducted whereby the external excitation is by means of a simulated quarter car crossing the bridge model described previously. A quarter car (with two degrees of freedom and crossing speed of 80 km/h) is coupled with the bridge model to form a vehicle-bridge interaction (VBI) model (Keenahan et al., 2013, OBrien et al., 2017) and properties of the quarter car are taken from the literature (Fitzgerald et al., 2019b). Forced vibration data and 5s of free vibration pier acceleration data extracted from the VBI model is inputted to the FDD algorithm from which the mode shapes are extracted. Before they are inputted into the FDD algorithm, noise is added to the clean

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acceleration signals from the model to generate more realistic accelerations. Random noise is added to the acceleration signals using Eq. (4):

$$\{a\} = \{a_{calc}\} + E_p \{N_{noise}\}\{a_{\max}\} \tag{4}$$

where a is the noisy acceleration signal, E_p is the level of noise, N_{noise} is a normally distributed vector with a standard deviation equal to one, a_{calc} is the clean acceleration signal outputted from the VBI model and a_{max} is the maximum value of the signal. The level of noise is chosen to be 5%, which is consistent with values used in the literature (Zhu and Law, 2002, Malekjafarian and OBrien, 2014). Keeping the same excitation source, the mode shape extraction process is repeated 10 times, each for a healthy bridge case, and seven scour scenarios ranging from a 2.5% to 17.5% stiffness loss at the central bridge pier. The MNMS is calculated for each run in every scenario, enabling mean and standard deviations of MNMS values to be obtained for each case. Fig. 7 shows an error bar plot (mean +/- one standard deviation) for the MNMS value of the central pier. It can be seen that there are overlaps in the error bars for the lower stiffness loss cases and the healthy case (0% stiffness loss). At around 7.5% stiffness loss, there is a clear distinction relative to the error bars of the healthy case. However, the error bars for stiffness losses between 2.5% and 12.5% show an overlap with one another. This suggests that a more realistic estimation for the minimum stiffness loss that can be detected would be greater than 10%. Here, for differences of 12.5%, there are no overlaps between the error bars.

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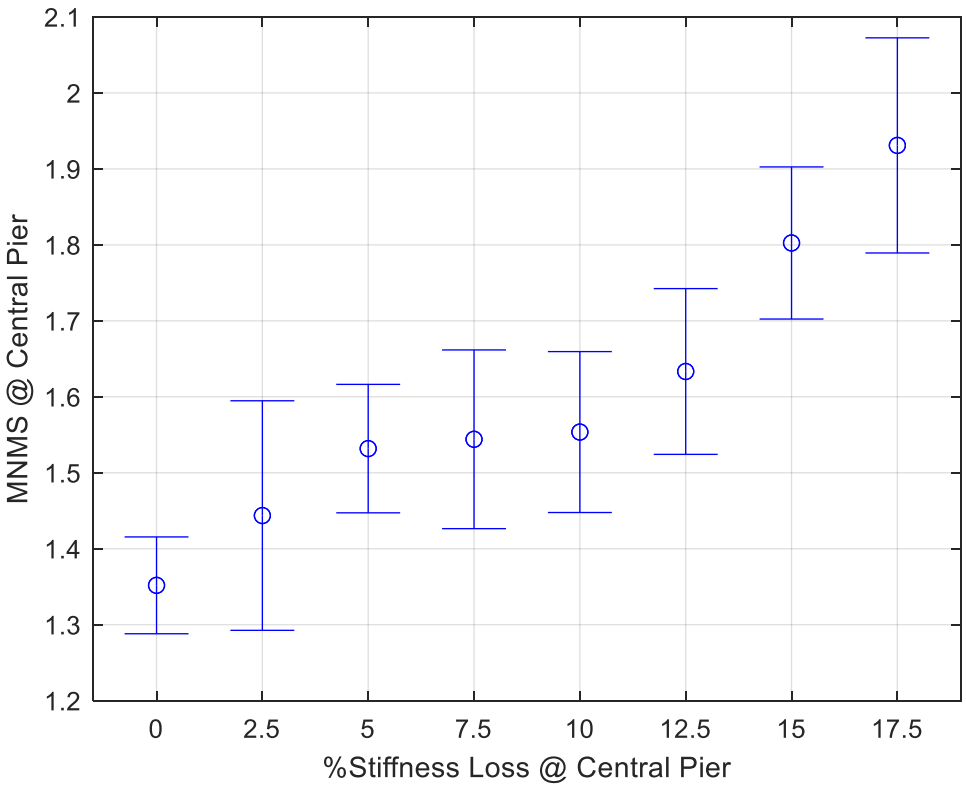

Fig. 7: Minimum scour detectable considering natural variation due to noise of MNMS

Experimental Model

The previous sections introduced the concept of MNMS and demonstrated it via numerical modelling. In this section, a scaled model of a bridge with multiple simply supported spans has been developed to experimentally validate the MNMS concept. The tests were conducted in a laboratory at Kyoto University in Japan.

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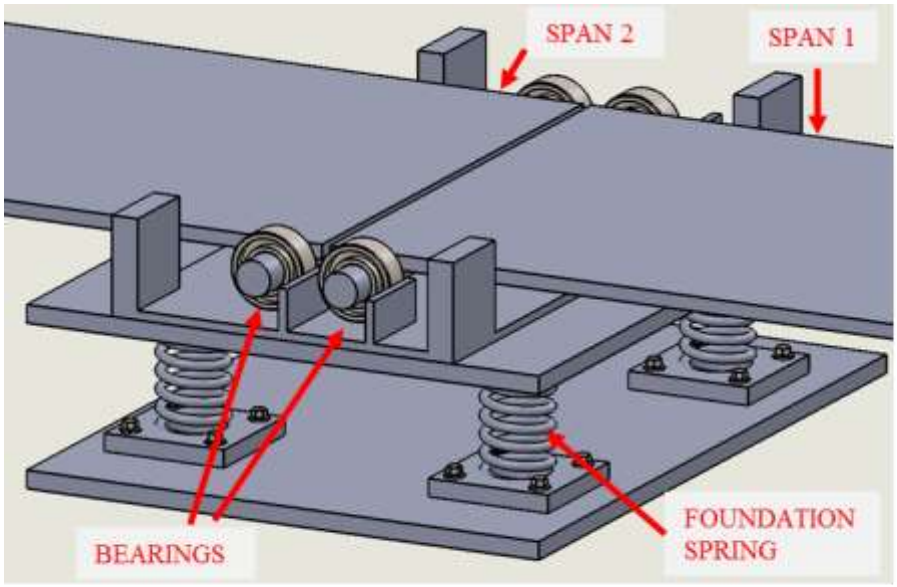
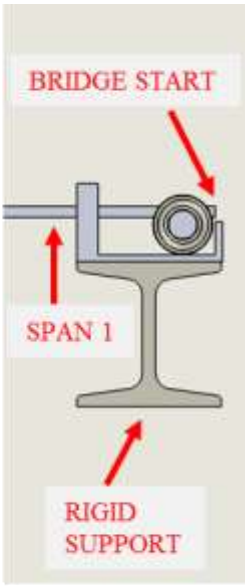
Bridge

(a)



(b)



(c)

Fig. 8: Experimentally scaled multi-span bridge (a) full bridge (b) pier supported on four springs (in this case Pier 1) (c) rigid support at bridge extremes

The model bridge consists of four spans supported on three piers - see Fig. 8(a). The bridge was traversed by a scaled model vehicle to generate the external excitation. Each pier was founded on four springs of equal stiffness, to provide vertical stability, and bearings were used to create pin and roller supports (Fig. 8(b)). The start and end of the bridge rest on rigid supports and do not deflect (Fig. 8(c)). Table 2 provides the properties of the beam used for each bridge span.

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375 Table 2: Span details

Property	**Unit**	**Value**
Span length	mm	1300
Width	mm	300
Beam depth	mm	8.07
Second moment of area (rectangular cross section)	m^4	1.31×10^{-8}
Modulus of elasticity	$N\ m^{-2}$	2.05×10^{11}
Density	$kg\ m^{-3}$	7850

376

377 The stiffness of the foundation springs was determined from load-displacement testing and
378 benchmarked against geotechnical analyses assuming small-strain linear behaviour, which is
379 appropriate for bridges traversed by moving vehicles. Spring values were defined for the scaled
380 model and a scaling criterion was applied to check compliance at full-scale dimensions, as
381 described herein. The stiffness of each spring used in the experiment (for the healthy bridge
382 scenario) is 49 $N\ mm^{-1}$. As four springs were used in parallel beneath each pier, the
383 experimental equivalent stiffness under each support, $k_{f,EXP}$, was 196 $N\ mm^{-1}$. In order to
384 achieve compliance with an equivalent full-scale model, a scaling criterion is defined as the
385 ratio of (i) the midspan deflection of a simply supported beam with a unit static load applied
386 directly at midspan, and (ii) the deflection of the support spring when a unit static load is
387 applied directly over a pier. In the numerical model employed to introduce the procedure in the
388 previous section, the stiffness of the pier (k_{pier}) is greater than the foundation stiffness (k_f) by a
389 factor of 36. Therefore, in this criterion the equivalent stiffness of the two in series is governed
390 by the stiffness provided by the shallow pad foundation.

391 In mathematical form, the midspan deflection of a simply supported beam due to a static unit
392 load at the centre is shown in Eq. (5)

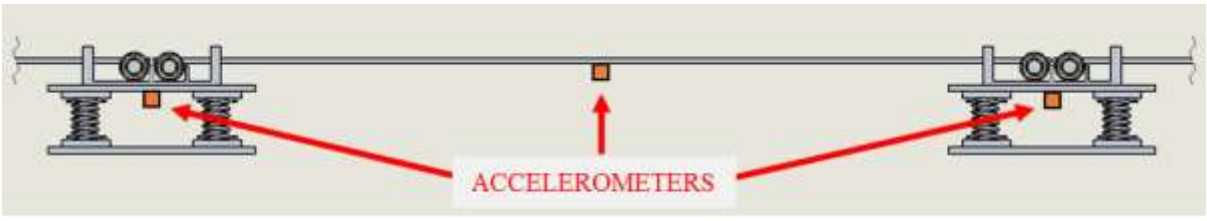
393
$$d_{mid} = \frac{L^3}{48EI} \tag{5}$$

where L is the beam span length, E is the Young's Modulus and I is the beam second moment of area. The deflection at a pier, d_{pier}, due to a unit static load immediately overhead, is simply the reciprocal of the stiffness provided by the shallow pad foundation (i.e. $1/k_f$). By maintaining the ratio of d_{mid} to d_{pier} between a full-scale numerical model and the scaled experiment, the experimental foundation stiffness can be represented as Eq. (6)

$$k_{f,EXP} = k_{f,NUM}\left(\frac{L_{NUM}^3 E_{EXP} I_{EXP}}{L_{EXP}^3 E_{NUM} I_{NUM}}\right) \quad (6)$$

where subscripts *EXP* and *NUM* denote the experimental and numerical full scaled model respectively.

Using the scaling criterion defined in Eq. (6) and taking values of L, E and I from Tables 1 and 2, the stiffness provided by an equivalent shallow pad foundation in a full-scale case, $k_{f,NUM}$, is calculated to be 234×10^3 kN m^{-1}. In order to check the validity of this assumption, a benchmark geotechnical case is considered. Using the approach in Fitzgerald et al. (2019b) and FEMA (2000), and taking appropriate values for sand shear modulus from Prendergast and Gavin (2016), the stiffness provided by a shallow pad foundation of length, 4 m and width, 2 m is 172×10^3 kN m^{-1} for a loose sand and 344×10^3 kN m^{-1} for a medium dense sand. The scaled experimental spring stiffness used in the present study lies within this range and can therefore be understood to represent a loose to medium dense uniform sand deposit. The mass of each pier, m_{pier}, was 12.56 kg, obtained from measuring the approximate volume of steel directly above the four springs.



(a)

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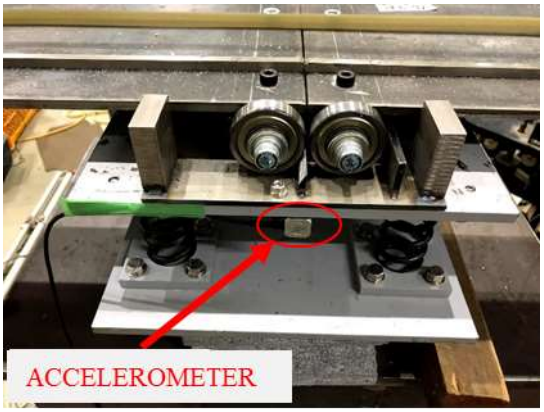
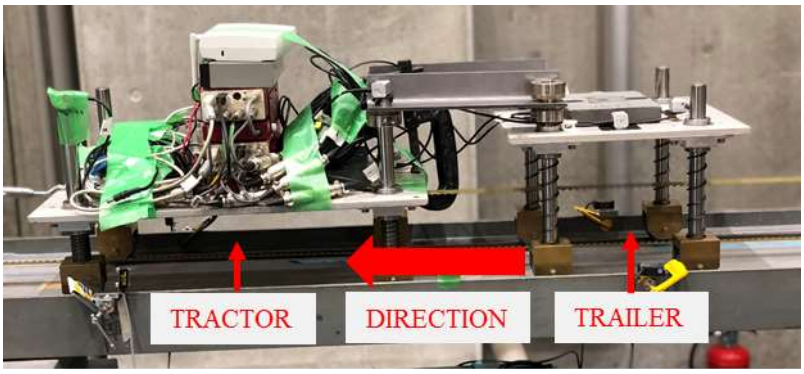


(b)

Fig. 9: Accelerometer locations (a) schematic of positions on midspan and pier (b) picture of one pier

Accelerometers were installed on each of the piers and at the midspans (Fig. 9). Seven bridge acceleration measurements were recorded (3 × Piers and 4 × Midspans). Optical sensors were also installed at the beginning and end of the bridge, enabling the timing of when each vehicle axle arrived and departed the bridge be obtained. To model the reduction in stiffness due to scour at a pier, the springs under the pier (Figs. 8(b), 9(b)) were replaced with four springs of a lower stiffness value for a given scour case. Two scour cases were considered, Case I where parallel springs, each of stiffness 37 N mm^{-1}, were used and Case II where parallel springs with stiffness of 27 N mm^{-1} were used. These cases equated to 24.5% and 44.9% stiffness reductions from the healthy case where each parallel spring had a stiffness of 49 N mm^{-1}.

Vehicle



(a)

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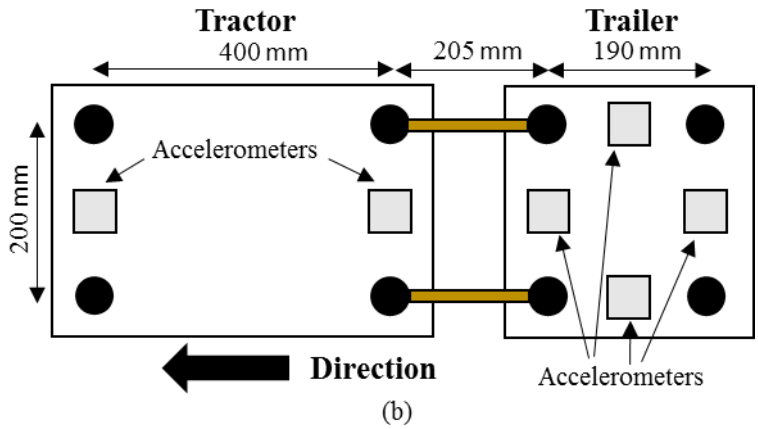

Fig. 10: (a) Experimental vehicle consisting of a tractor and trailer which are connected (b) Dimensions in plan view of the vehicle

The vehicle used in the experiments is shown in Fig. 10(a). It consisted of a two-axle tractor, connected to a two-axle trailer. Both the tractor and trailer consisted of sprung steel plates. The front tractor axle had two springs of stiffness 1533 N m^{-1} and the rear axle had two springs of stiffness 1753 N m^{-1}. The trailer had four equal axle suspension springs of stiffness 8464 N m^{-1}. The tractor and trailer had axle spacings of 400 mm and 190 mm respectively and the spacing between the rear tractor axle and front trailer axle was 205 mm (Fig. 10(b)).

The vehicle speed was kept constant by an electronic controller as it traversed the bridge. Traversing speeds of 1.14 m/s and 1.26 m/s were used in this experiment. Two different tractor masses, 24.3 kg and 26.3 kg were investigated to study potential sensitivity issues. The sprung mass (i.e. the mass supported by springs) of the tractor for these two weights was 20.7 kg and 22.7 kg respectively. The trailer mass was 13.7 kg (of which 10.1 kg was sprung). The vehicle was maintained on the bridge by two steel tracks, see Fig. 11. Accelerometers were installed on the tractor and trailer in the locations shown in Fig. 10(b) which allows the vehicle frequencies to be calculated. The tractor had bounce and pitch frequencies of 3.1 Hz and 4.7 Hz respectively (for the 20.7 kg case) and the trailer had bounce and pitch frequencies of 6.6 Hz and 3.5 Hz respectively. These were obtained using free vibration vehicle acceleration measurements (after the vehicle has come to a halt) which were subsequently analysed using

Frequency Domain Decomposition (FDD) (Brincker et al., 2001), enabling the pitch and bounce modes be distinguished.

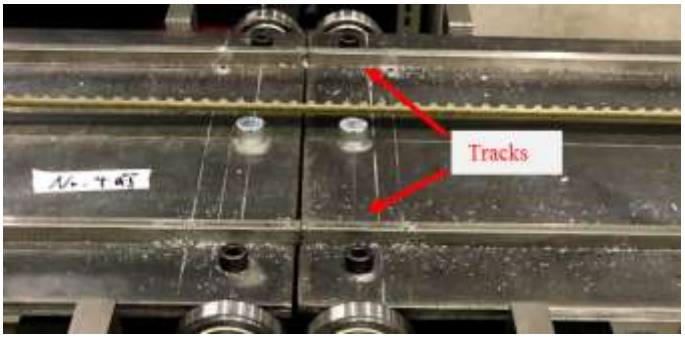

Fig. 11: Vehicle Tracks

Experimental Results

The concept of using relative pier mode shape amplitudes is introduced in a previous section using theoretical mode shapes extracted from Eigen-analyses (Clough and Penzien, 1993) and a brief numerical demonstration. In this section, the procedure is applied to the acceleration signals generated at various points on a scaled bridge structure (see previous section) to ascertain how successful the approach is when the modal information is extracted directly from time signals incorporating natural experimental error.

Procedure

In the experimental tests, the model vehicle traversed the bridge at a specified velocity resulting in four acceleration measurements from the midspans and three from the piers. The resulting accelerations contain components relating to both the vehicle-induced vibrations and the subsequent free vibration. The time-domain signals are analysed using FDD to identify the mode shapes. Two vehicle speeds and tractor masses are investigated to ascertain how experimental variation influences the results. The FDD processing is undertaken in the MATLAB programming environment.

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Extraction of mode shapes for healthy case

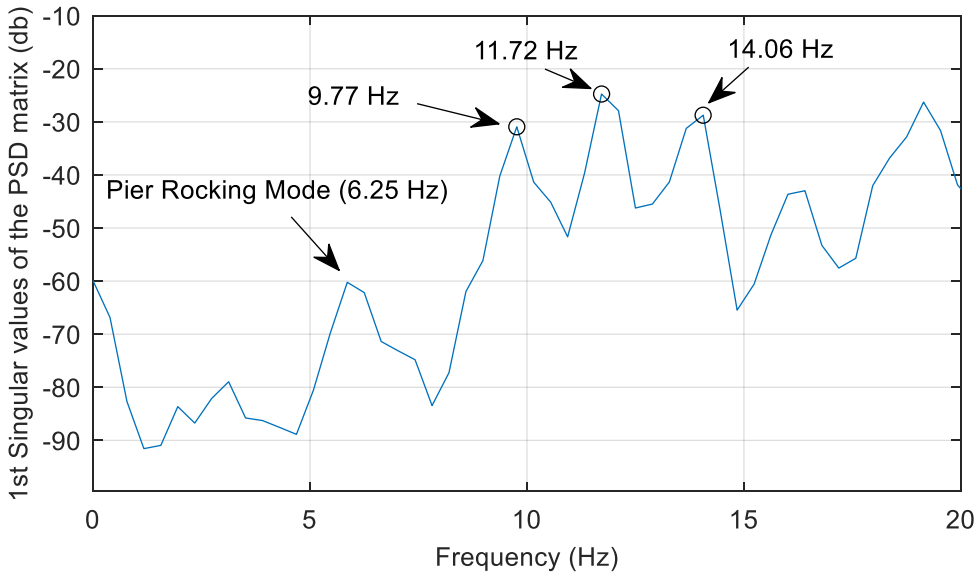

Fig. 12: Experimental FDD frequency picking from singular values of the spectral density matrix for vehicle crossing at speed of 1.26 m/s (with tractor mass of 22.7 kg)

Fig. 12 shows the 1st singular values of the Power Spectral Density (PSD) matrix obtained by applying FDD to the seven acceleration signals resulting from the vehicle (with tractor mass of 22.7 kg) traversing the bridge at 1.26 m/s. As is evident, many peaks appear on the plot, each corresponding to a different mode of vibration. To demonstrate the process of deriving the mode shapes, three peaks are identified herein at 9.77 Hz, 11.72 Hz and 14.06 Hz. There is also a smaller peak visible at 6.25 Hz, which correlates to a pier rocking mode. Fig. 13 shows the extracted mode shapes corresponding to the three frequency peaks selected in Fig. 12. For ease of visualisation, a spline curve is fitted to the extracted points.

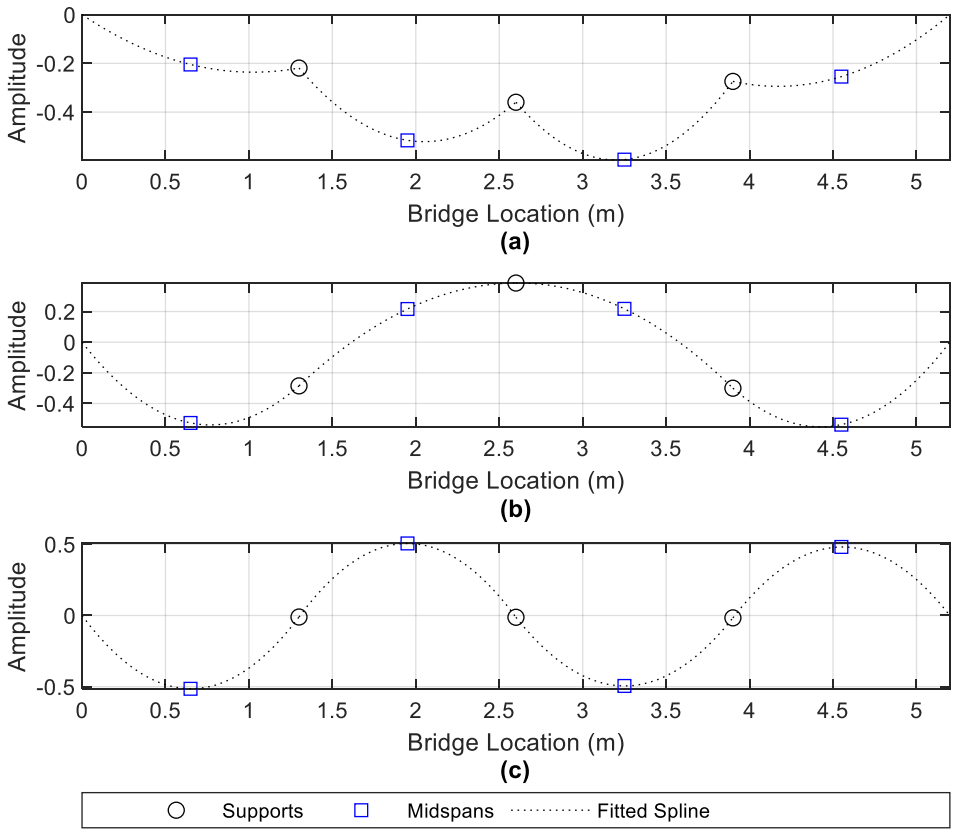

Fig. 13: Mode shapes derived from experimental accelerations - (a) 9.77 Hz mode, (b) 11.72 Hz mode, (c) 14.06 Hz mode

Fig. 13(a) shows the first mode of the structure, at a frequency of 9.77 Hz. This mode shape resembles that of the numerical model of the full-scale structure shown in Fig. 2 in that all the piers are moving in the same direction. This is the 'first' mode shape and is the primary focus of the present work to detect a loss of stiffness due to scour. The 11.72 Hz mode (Fig. 13(b)) differs from the 9.77 Hz mode in that Pier 2 (the centre pier) is moving in a different direction to Piers 1 and 3. Finally, in the 14.06 Hz mode (Fig. 13(c)), the piers exhibit negligible movement in comparison to the midspans. Due to this, the 9.77 Hz and 11.72 Hz modes would have an expected change due to scour but the 14.06 Hz mode would not (as the piers have insignificant modal amplitudes in this mode).

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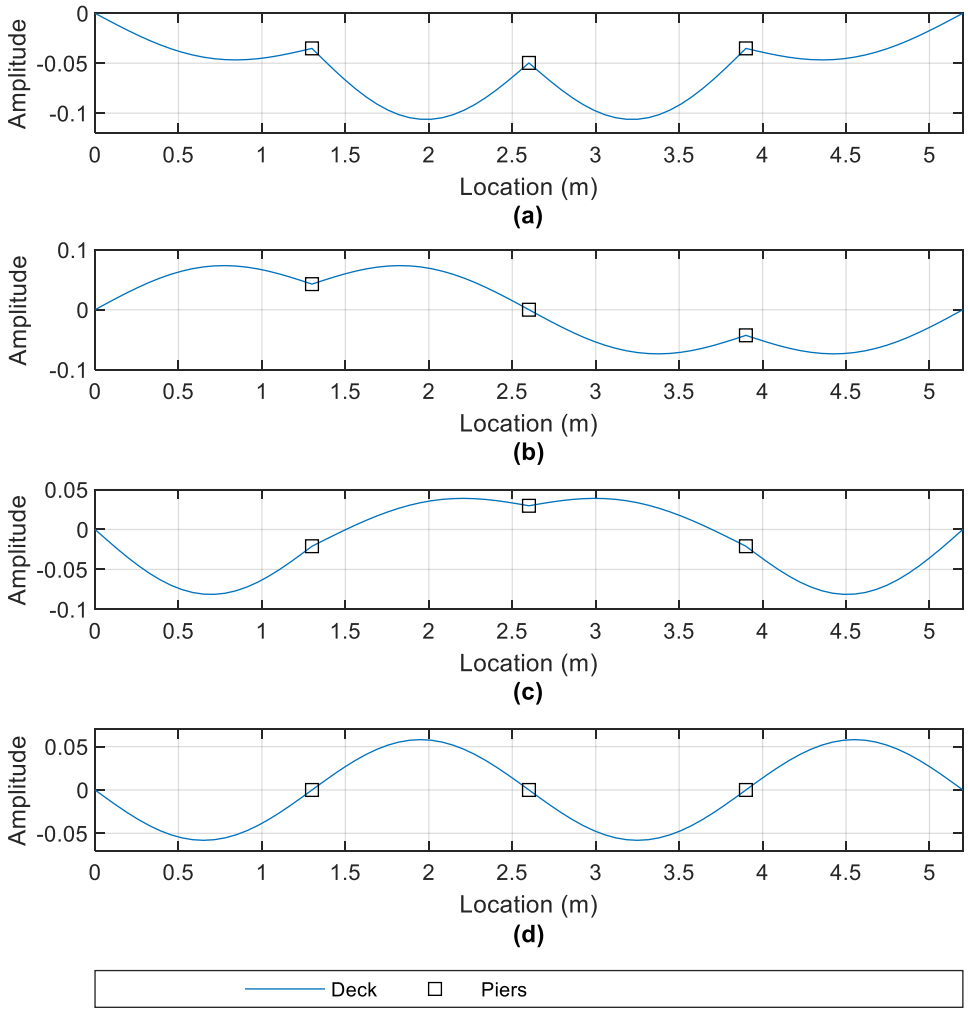

Fig. 14: First four mode shapes of system from numerical model - (a) 9.66 Hz mode, (b) 10.55 Hz mode, (c) 12.09 Hz mode, (d) 13.85 Hz mode

A numerical model of the scaled experimental arrangement is developed using the approach described previously and using the experimental parameters in Table 2. Fig. 14 shows the mode shapes of the first four frequencies derived from the numerical model by solving the Eigenproblem of the system matrices (Clough and Penzien, 1993). It is worth noting that the pier stiffness, k_{pier}, in the model is assumed to be infinite compared to the foundation stiffness, k_f. Here, the value of k_{pier} is selected by multiplying k_f by 10^4 (i.e. an arbitrary large number). The steel tracks are also included in the numerical model so the beam second moment of area and cross-sectional area are altered to account for this. With the tracks included, these properties are 21.67×10^3 mm^4 and 2549 mm^2 respectively.

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A comparison of the experimental mode shapes (Fig. 13) derived from the time-domain acceleration signals and the numerically calculated ones (with the experimental model parameters - Fig. 14) shows clear similarities. The experimental and numerical modes of 9.77 Hz and 9.66 Hz (Figs. 13(a) and 14(a)), 11.72 Hz and 12.09 Hz (Figs. 13(b) and 14(c)) and 14.06 Hz and 13.85 Hz (Figs. 13(c) and 14(d)) show a clear correspondence, which provides a reasonable level of confidence in the experimental results from the FDD algorithm. Given the difficulties associated with accurately modelling the real experimental situation, the differences in the frequencies between numerical and experimental cases are relatively minor. The numerical mode of 10.55 Hz (Fig. 14(b)) is not sufficiently excited by the traversing model vehicle in the experiment to show in the peak selection process in Fig. 12. Of note is the frequency for the numerical mode in Fig. 14(d). The frequency of 13.85 Hz is the same as the first natural frequency of a single span simply supported beam case. This is unsurprising as the piers do not show any deflection in Fig. 14(d), equivalent to pinned supports.

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Extraction of mode shapes for scoured case

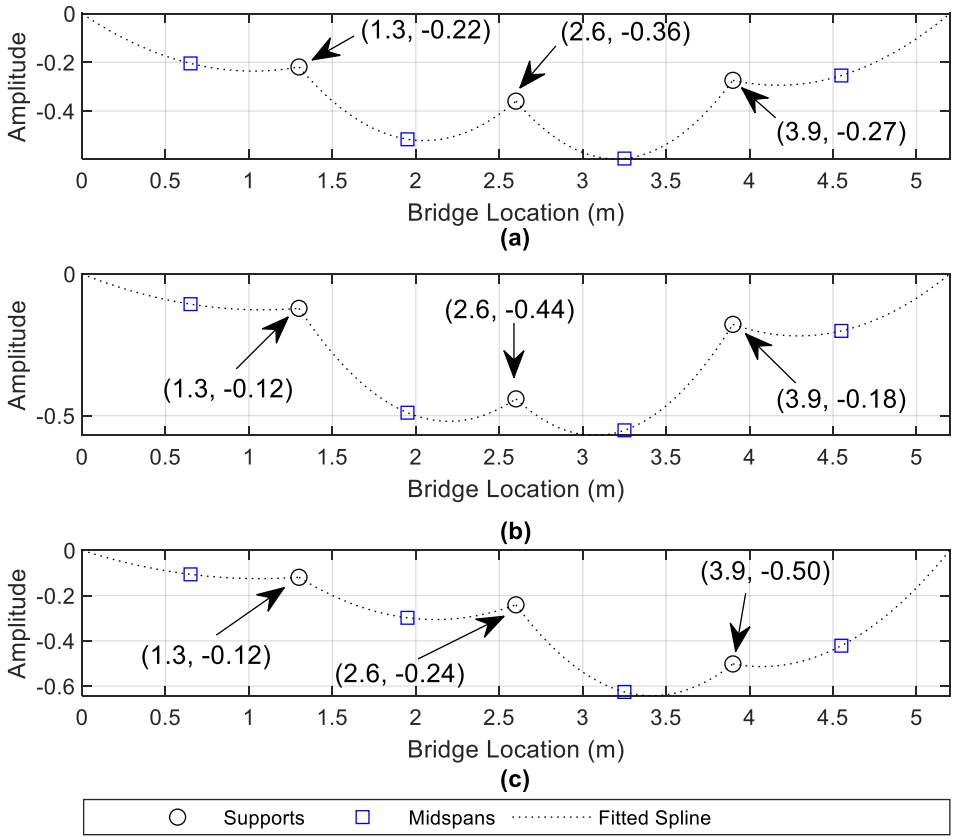

Fig. 15: First mode shape (derived from experimental accelerations) for different scour scenarios for vehicle crossing at speed of 1.26 m/s (with a tractor mass of 22.7 kg) – (a) Healthy case, (b) 24.5 % stiffness loss at Pier 2, (c) 24.5 % stiffness loss at Pier 3

Fig. 15 shows how the first mode shape of the experimental bridge changes for the scour scenarios equivalent to 24.5% stiffness loss at Pier 2 (with other piers remaining healthy) and 24.5% foundation stiffness loss at Pier 3 (with the other piers remaining healthy). For each case, the change in mode shape amplitude is greatest at the location of the scoured pier. Table 3 shows the MNMS values which are defined in Eq. (2) for the scenarios in Fig. 15. The MNMS value at the scoured pier increases due to scour stiffness loss while the MNMS values at the other piers decrease. This generally corroborates the findings from the numerical study in a previous section. Moreover, the percentage increases in the MNMS values are greater for the case of scour at Pier 3 than at Pier 2 - 0.93 to 2.78 (198.9% increase) vs 1.47 to 2.93 (99.3%

529 increase). This is in line with the findings from the numerical study in that the MNMS value
530 increases more for stiffness loss at an off-centre pier than at the central pier.

531 Table 3: Experimental MNMS values calculated for 24.5% stiffness reduction (using values marked in Fig. 15)

Scour Condition	$MNMS_{Pier\ 1}$	$MNMS_{Pier\ 2}$	$MNMS_{Pier\ 3}$
Healthy	0.70	1.47	0.93
24.5% Scour Pier 2	0.39	2.93	0.64
24.5% Scour Pier 3	0.32	0.77	2.78

532

533 Sensitivity of MNMS for different locations and severities of scour
534 considering vehicle condition variability

535 Fig. 16 shows the MNMS values for scour at Pier 2 calculated from different vehicle runs for
536 a healthy case and stiffness losses due to scour of 24.5% and 44.9%. Two different tractor
537 masses and vehicle speeds are investigated with each repeated three times for each scenario.
538 The tractor masses tested are 24.3 kg and 26.3 kg, and the vehicle speeds are 1.14 m s^{-1} and
539 1.26 m s^{-1}. The MNMS values in Fig. 16 are shown relative to each vehicle run and the specific
540 conditions are shown below Fig. 16(c). The MNMS values are quite repeatable for each scour
541 case. This is not unexpected, as the indicators are based on a vibration mode of the structure,
542 so they should not be significantly affected by a change in vehicle parameters. The results for
543 the case considered (scour at Pier 2) show that the MNMS increases in value at the scoured
544 pier for the two scour magnitudes considered and decreases at the remaining piers (relative to
545 the healthy case).

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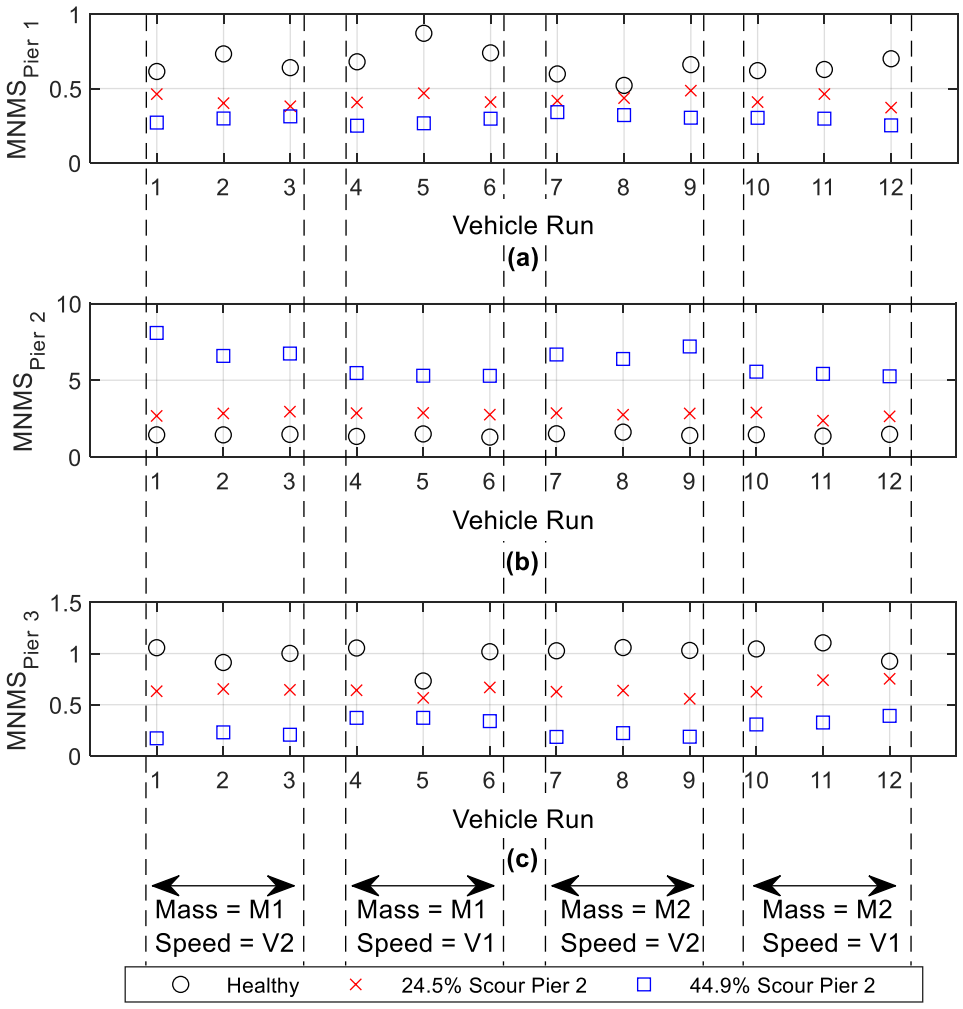
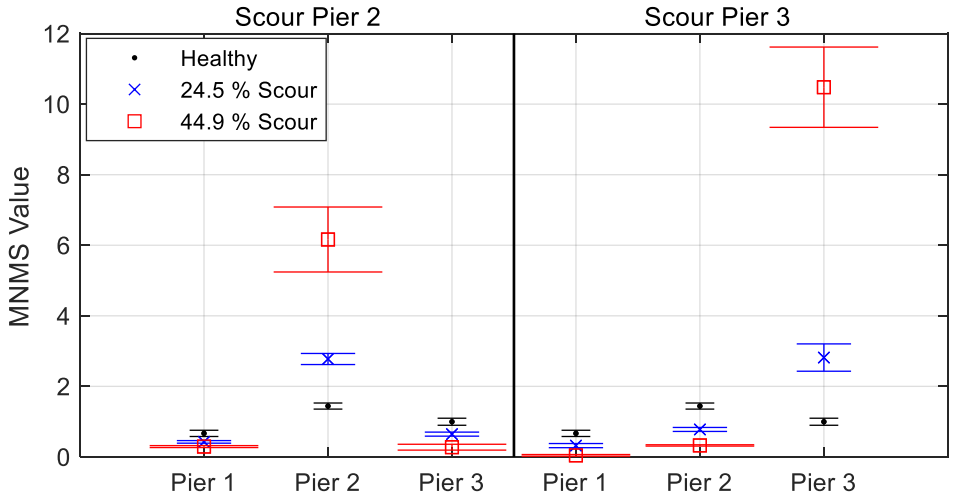


546

547 Fig. 16: MNMS values for scour at Pier 2 repeated for multiple vehicle runs where M1 and M2 refer to tractor
548 masses of 24.3 kg and 26.3 kg respectively and V1 and V2 refer to vehicle speeds of 1.14 m/s and 1.26 m/s
549 respectively (a) $MNMS_{Pier1}$ (b) $MNMS_{Pier2}$ (c) $MNMS_{Pier3}$



550

551 Fig. 17: Mean and one standard deviation error bar plots of scour scenarios at Pier 2 and Pier 3 for severities of
552 24.5% and 44.9%

Fig. 17 (left side) shows the mean of the MNMS values for the 12 runs considered in Fig. 16 +/- one standard deviation (shown by error bars on the plot). The same information is shown on the right side of the plot for the case where the scour is at Pier 3. There is a clear distinction between the regions defined by the error bars for each scour scenario. In other words, the error bars do not overlap, which shows that the effect of scour outweighs any variability effects (within 1 standard deviation) due to the vehicle changes considered or natural variability due to measurement error. It can also be seen in Fig. 17 that the scale of the increases at the scoured pier are far greater than the changes at the unscoured piers, making it clear which pier is scoured for a given case. Similar to the findings in the numerical study, the MNMS experiences a greater increase for off-centre piers than for central piers. This is shown in Fig. 17 for the 44.9% scour case where there is a larger change in MNMS at Pier 3 for the case of scour at Pier 3 than the change in the MNMS at Pier 2 for the case of scour at Pier 2.

Performance of MNMS against Modal Assurance Criterion (MAC)

The performance of the MNMS approach against traditional damage-detection methods based on comparing healthy and damaged mode shapes using MAC is of interest. In this section, a brief analysis is conducted to assess the relative performance of MNMS and MAC as indicators of scour damage. The experimental results from vehicles crossing the model bridge are used to derive the mode shapes for the case of the healthy bridge, and the bridge 'damaged' by scour with stiffness reductions of 24.5% and 44.9% at Pier 2. In total, six crossing of the healthy case, and six crossings for each of the two damage cases are used to obtain the mean values of the mode shapes for each condition. MAC is defined as in Eq. (7)

$$\mathrm{MAC} = \frac{\left|\Phi_{\mathrm{healthy}}{}^{\mathrm{t}}\Phi_{\mathrm{damaged}}\right|^2}{\left|\Phi_{\mathrm{healthy}}{}^{\mathrm{t}}\Phi_{\mathrm{healthy}}\right|\left|\Phi_{\mathrm{damaged}}{}^{\mathrm{t}}\Phi_{\mathrm{damaged}}\right|} \tag{7}$$

574 where Φ_{healthy} is the mode shape obtained from the healthy bridge, Φ_{damaged} is the mode
575 shape obtained from the scoured bridge and "t" defines the matrix transpose. If the mode shapes
576 are identical, the MAC will have a value of one, but if they are very different, the MAC value
577 will be close to zero.

578 Table 4 shows the results of the MAC analysis. A MAC value of 0.9 between healthy and
579 damaged mode shapes is obtained for the case of 24.5% scour-related stiffness loss at Pier 2.
580 This reduces to 0.71 for an increased stiffness reduction to 44.9% at Pier 2. Table 5 shows the
581 MNMS derived for the same conditions. For 24.5% scour at P2, MNMS at P2 increases by
582 almost 100%, and decreases by 41% and 34% at P1 and P3 respectively. For 44.9% scour at
583 P2, MNMS at P2 increases by almost 341%, and decreases by 61% and 71% at P1 and P3
584 respectively relative to the zero scour case. From this analysis, it can be seen that MNMS is
585 more sensitive to scour damage than MAC, and moreover the location of scour can be detected
586 by observing the relative changes in the MNMS value at each pier. MNMS is potentially a
587 better indicator than the traditional MAC value for scour type damage detection.

588 Table 4 MAC Analysis

	Modal Amplitudes (-)			
Case	**Pier 1**	**Pier 2**	**Pier 3**	**MAC**
Healthy	-0.23	-0.36	-0.28	-
24.5% Scour	-0.14	-0.45	-0.19	0.9
44.9% Scour	-0.10	-0.62	-0.10	0.71

589

590 Table 5 MNMS Analysis

Case	**$MNMS_{P1}$**	**% Change**	**$MNMS_{P2}$**	**% Change**	**$MNMS_{P3}$**	**% Change**
Healthy	0.71	-	1.41	-	0.96	-
24.5% Scour	0.42	-41	2.82	100	0.63	-34
44.9% Scour	0.28	-60	6.24	341	0.28	-71

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Conclusions

This paper presents an approach to detect stiffness loss arising due to scour based on relative changes of vertical pier mode shape amplitudes. The method is tested using a scaled experimental model of a bridge traversed by a vehicle. The experimental mode shapes are extracted from acceleration signals arising due to the vehicle crossing using an output only modal identification technique, namely Frequency Domain Decomposition. A scour monitoring feature (MNMS) is defined, based on the first global mode shape of the structure and is shown to increase significantly at a scoured pier. At the location of the scoured pier the magnitude of the MNMS also increases with scour severity, suggesting that progressive scour development could potentially be monitored. As the algorithm used is an output-only one, it has the advantage of negating the requirement of knowing any details about the vehicle excitation forces. Furthermore, material and geometrical information about the bridge such as second moment of area or density, do not need to be known in order to apply the method. Repeated vehicle runs to excite the bridge allow the MNMS to be derived and monitoring changes in this metric alone can potentially detect scour. In practice, an initial visual inspection of the bridge may help to determine the scour condition at the time of instrumentation, and this would be the benchmarked ‘unscoured’ case. Once instrumented, the bridge can potentially be monitored on a continual basis using the method proposed in this paper.

It should be noted that while scour is the target damage in the present study, other forms of damage such as concrete spalling or corrosion will also lead to changes in stiffness of a structure. Separating the scour influence from other damage types is challenging, however by the very nature of scour occurring at supports, the relative changes in stiffness due to scour are expected to be larger than would arise under other damage types. Additionally, if the MNMS were to detect some form of stiffness loss (from scour or otherwise), this could be used to trigger a manual visual inspection. It is therefore not so important to separate scour from other

damage as the end result for a bridge manager is to detect any issues arising in the structure to facilitate the safe management of the asset.

The analysis in this paper considers scour at only one pier at a time to demonstrate the approach. Scour at multiple piers simultaneously can cause issues with the method as it is derived using the sum of the modal amplitudes at all piers to identify scour at a given affected pier. The method therefore does not work well when scour affects multiple piers of a bridge simultaneously. However, due to asymmetry in water-flow characteristics across a river channel cross-section, it is unlikely for temporal scour development to be equal at multiple piers, therefore the approach should still be capable of identifying scour occurrence once it begins at a given pier.

While the approach was successfully demonstrated with an experimental scaled bridge in the present study, a full-scale deployment is recommended before firm conclusions on the efficacy of the method can be made. This is due to the natural differences that arise between 1g scaled experimental testing and full-scale applications.

The approach described in this paper is novel in terms of bridge scour detection and will be beneficial to the evolving vibration-based scour monitoring field.

Data Availability Statement

Some or all data, models, or code that support the findings of this study are available from the corresponding author upon reasonable request. (Experimental Data.)

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