

# A Lagrangian Cell-Centred Finite Volume Method for Metal Forming Simulation

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## SUMMARY

The current article presents a Lagrangian cell-centred finite volume solution methodology for simulation of metal forming processes. Details are given of the mathematical model in updated Lagrangian form, where a hyperelastoplastic  $J_2$  constitutive relation has been employed. The cell-centred finite volume discretisation is described, where a modified discretised is proposed to alleviate erroneous hydrostatic pressure oscillations; an outline of the memory efficient segregated solution procedure is given. The accuracy and order of accuracy of the method is examined on a number of 2-D and 3-D elastoplastic benchmark test cases, where good agreement with available analytical and finite element solutions is achieved.

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KEY WORDS: Finite volume methods; Lagrangian; Plasticity; OpenFOAM

## 1. INTRODUCTION

1 For the past 40 years, there has been significant development of the finite element (FE) method for  
2 metal forming problems. Traditionally, two distinct approaches have been adopted:

- 3 • Eulerian approach;
- 4 • Lagrangian approach.

5 As indicated schematically in Figure 1, the Eulerian approach follows a domain as material flows  
6 through it; whereas, the Lagrangian approach follows material as it flows through a domain. In  
7 practice, there are a number of hybrid methods displaying characteristics of both approaches,  
8 such as the Arbitrary Lagrangian Eulerian (ALE) method. The first FE techniques to successfully  
9 simulate metal forming processes adopted the Eulerian approach. These approaches assumed the  
10 plastic strains to be considerably larger than the elastic strains; consequently, the idealisation

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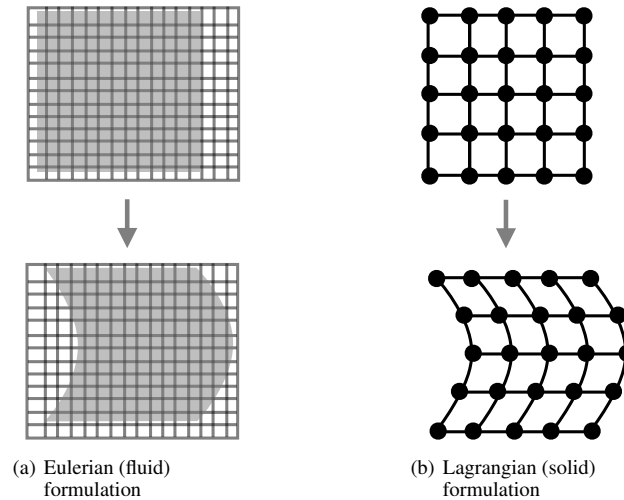


Figure 1. Approaches to describe the initial and deformed configurations (adapted from [1] )

11 of rigid-plastic or rigid-viscoplastic material behaviour was acceptable. The main benefit of the  
 12 Eulerian formulation is the ability to efficiently simulate extreme deformations with no concern  
 13 for deteriorating mesh quality; however, drawbacks of the approach are the inability to predict the  
 14 elastic response, memory effects, and residual stresses *e.g.* prediction of spring back is not possible.  
 15 The flow formulation approach has been successfully applied to a large range of metal forming  
 16 problems including extrusion, rolling, drawing, forging, analysis of slip and die design [2–6].

17 Owing to the success of the Eulerian approach and difficulties with extreme deformations, the  
 18 successful application of the Lagrangian approach to metal forming problems developed later [3].  
 19 In the Lagrangian approach, the material is considered to be elastic-plastic or elastic-viscoplastic;  
 20 as a result, the formulation can predict elastic phenomena, while also allowing capturing history  
 21 dependent effects such as work hardening. The major drawbacks of the Lagrangian formulation,  
 22 however, are severe mesh distortion, resulting in aborted simulation or decreased accuracy, and the  
 23 requirement of large numbers of small time-steps to simulate quasi-static problems. To overcome  
 24 mesh distortion, adaptive meshing and re-meshing algorithms are often employed, though, the  
 25 procedures can be expensive and introduce appreciable errors through repeated solution re-mapping  
 26 [5–9].

27 Although the FE method has been the dominant technique for forming simulations, there has been  
 28 a number of developments of the FV method in this regard. Due to the prominence of the FV method  
 29 in the field of Computational Fluid Dynamics, it is natural to expect the application of the FV method  
 30 in Eulerian form to metal forming; a number of authors have applied FV-based flow formulations  
 31 to simulate plastic deformation processes, *e.g.* see [10–18]. When examining the literature related  
 32 to the application of Lagrangian FV methods to solid mechanics, a large range of practical  
 33 problems have been successfully analysed [10–13, 19–56]; nonetheless, there has been relatively  
 34 little research into Lagrangian FV approaches for finite strain elastoplastic analysis. Maneeratana  
 35 and Ivanković [57–60] developed such a procedure, where a number of separate mathematical  
 36 models were examined including those based on hypoelastoplastic relations with objective Jaumann

37 and Truesdell stress rates; Bijelonja [61] later adopted a similar approach. Both Maneeratana  
 38 [57] and Bijelonja [61] employed a spatially second-order cell-centred FV discretisation and  
 39 implicit segregated solution methodology, based on the original work of Demirdžić and co-workers  
 40 [19, 23]. In recent years, there has been significant advances by Kluth and Després [52], Bonet  
 41 and co-workers [53–55] on the development of explicit mixed formulation FV procedures for  
 42 solid dynamics, where the linear momentum, deformation gradient tensor and total energy are the  
 43 conservation variables. Such procedures bear their roots in Eulerian FV Godunov methods applied  
 44 to compressible gas dynamics, and as such are well suited to shocks and discontinuities.

45 Building on the implicit Lagrangian FV approaches of Maneeratana [57] and Bijelonja [61],  
 46 the current article extends the method to use a hyperelastoplastic-based approach, similar to  
 47 those increasingly seen in FE implementations [8]. Combined with the development of frictional  
 48 contact boundaries based on the penalty method, the current article is the first to present an  
 49 implicit Lagrangian FV method with significant capabilities for metal forming simulation. The  
 50 hyperelastoplastic theory [8, 62] is based on the  $\mathbf{F} = \mathbf{F}^e \cdot \mathbf{F}^p$  multiplicative split of the deformation  
 51 gradient into elastic and plastic components; the method has been applied in a number of forms of  
 52 the FE method, *e.g.* see a recent isogeometric implementation in [63], as well as in explicit mixed  
 53 FV formulations [52, 54, 55]. Unlike their popular hypoelastic-based counterparts, hyperelastic-  
 54 based approaches are built on sound thermodynamical principles, where there is no net work done  
 55 in a closed cycle of elastic deformation [64].

56 The article is constructed as follows: Section 2 outlines the updated Lagrangian mathematical  
 57 model, derived from the governing momentum equation and hyperelastoplastic constitutive relation.  
 58 The discretisation of the mathematical model using a spatially second-order accurate cell-centred  
 59 FV method is presented in Section 3, along with details of the implicit iterative solution procedure  
 60 and frictional contact boundary conditions. In Section 4, the new method is evaluated on six separate  
 61 benchmark test cases, where predictions are compared to analytical solutions and FE benchmarks.

## 2. MATHEMATICAL MODEL

### 62 2.1. Governing Equations

63 Considering an arbitrary body of volume  $\Omega$  bounded by surface  $\Gamma$  with outward pointing unit normal  
 64  $\mathbf{n}$ , the conservation of linear momentum is given in strong integral form as:

$$\frac{d}{dt} \int_{\Omega} \rho \mathbf{v} \, d\Omega = \oint_{\Gamma} \mathbf{n} \cdot \boldsymbol{\sigma} \, d\Gamma + \int_{\Omega} \rho \mathbf{b} \, d\Omega \quad (1)$$

65 where  $\rho$  is the density,  $\mathbf{v}$  is the velocity vector,  $\boldsymbol{\sigma}$  is the Cauchy stress tensor, and  $\mathbf{b}$  is a body force  
 66 per unit mass.

### 67 2.2. Constitutive Relation

68 In the current study, a rate-independent isotropic hyperelastoplastic constitutive relation between  
 69 stress and strain is employed, as outlined in Simo and Hughes [8], where the deformation gradient  
 70  $\mathbf{F} = \mathbf{F}^e \cdot \mathbf{F}^p$  is multiplicatively decomposed into elastic and plastic components. The Kirchhoff

71 stress  $\boldsymbol{\tau}$ , related to the Cauchy stress  $\boldsymbol{\tau} = J\boldsymbol{\sigma}$ , is given in terms of an *uncoupled* volumetric-  
72 deviatoric relation:

$$\boldsymbol{\tau} = \frac{K}{2}(J^2 - 1)\mathbf{I} + \mu \operatorname{dev}[\bar{\mathbf{b}}^e] \quad (2)$$

73 where the first term on the right-hand side is the volumetric component and the second term  
74 on the right-hand side is the deviatoric component. Assuming incompressible (isochoric) plastic  
75 deformation, the Jacobian of the elastic deformation gradient  $J^e$  and the Jacobian of the deformation  
76 gradient  $J$  coincide *i.e.*  $J^e = J = \det[\mathbf{F}]$ . The bulk modulus is  $K$ ; the shear modulus is  $\mu$ ;  $\mathbf{I}$  is the  
77 second-order identity tensor; the elastic left Cauchy–Green deformation tensor is  $\mathbf{b}^e$ , where the  
78 volume preserving component  $\bar{\mathbf{b}}^e$  is given as:

$$\bar{\mathbf{b}}^e = J^{-\frac{2}{3}}\mathbf{b}^e = J^{-\frac{2}{3}}\mathbf{F}^e \cdot \mathbf{F}^{eT} \quad (3)$$

79 In the limit of small strains, Equation 2 reduces to the standard form of Hooke’s law.

80 The classical Mises–Huber  $J_2$  yield condition, formulated in terms of the Kirchhoff stress tensor,  
81 is employed:

$$f(\boldsymbol{\tau}, \epsilon^{p\ eq}) = \|\operatorname{dev}[\boldsymbol{\tau}]\| - \sqrt{\frac{2}{3}}[\sigma_Y(\epsilon^{p\ eq})] \leq 0 \quad (4)$$

82 where the yield stress  $\sigma_Y$  is a function of the hardening variable, equivalent plastic strain  $\epsilon^{p\ eq}$ . In the  
83 current implementation, the isotropic hardening curve for the yield stress is described in a piecewise  
84 linear manner; as such, the yield function can in general be a nonlinear function of the hardening  
85 parameter. The associative flow rule takes the form:

$$\begin{aligned} \frac{\delta}{\delta t} \left( \bar{\mathbf{F}}^{-1} \cdot \bar{\mathbf{b}}^e \cdot \bar{\mathbf{F}}^{-T} \right) &= -\frac{2}{3} \gamma \operatorname{tr}[\mathbf{b}^e] \mathbf{F}^{-1} \cdot \mathbf{N} \cdot \mathbf{F}^{-T} \\ \mathbf{N} &= \frac{\mathbf{s}}{\|\mathbf{s}\|} \end{aligned} \quad (5)$$

86 where  $\mathbf{N}$  is the return direction and  $\mathbf{s}$  is the deviatoric component of the stress tensor  $\mathbf{s} = \operatorname{dev}[\boldsymbol{\tau}]$ .  
87 The evolution of the hardening variable is governed by the rate equation:

$$\dot{\epsilon}^{p\ eq} = \sqrt{\frac{2}{3}} \gamma \quad (6)$$

88 The consistency parameter  $\gamma$  is subject to the standard Kuhn–Tucker loading/unloading conditions:

$$\gamma \geq 0, \quad f(\boldsymbol{\tau}, \epsilon^{p\ eq}) \leq 0, \quad \gamma f(\boldsymbol{\tau}, \epsilon^{p\ eq}) = 0 \quad (7)$$

89 which along with the consistency condition:

$$\gamma \dot{f}(\boldsymbol{\tau}, \epsilon^{p\ eq}) = 0 \quad (8)$$

90 complete the formulation of the model. The current constitutive relation can be extended to  
91 kinematic hardening and thermoplasticity following the approaches of Simo and Hughes [8] and  
92 Simo and Miehe [65].

93 *2.3. Updated Lagrangian Formulation*

94 By employing Nanson's relation [57, 62], relating the deformed  $\Gamma$  and initial  $\Gamma_o$  area vectors  
 95  $\Gamma = J\mathbf{F}^{-T} \cdot \Gamma_o$ , the conservation of linear momentum (Eq. 1) may be reformulated in terms of  
 96 the initial undeformed configuration, indicated by subscript  $o$ :

$$\int_{\Omega_o} \frac{\partial}{\partial t} (\rho_o \mathbf{v}) d\Omega_o = \oint_{\Gamma_o} (J\mathbf{F}^{-T} \cdot \mathbf{n}_o) \cdot \boldsymbol{\sigma} d\Gamma_o \quad (9)$$

97 or equivalently in terms of the updated configuration, indicated by subscript  $u$ :

$$\begin{aligned} \int_{\Omega_u} \frac{\partial}{\partial t} (\rho_u \mathbf{v}) d\Omega_u &= \oint_{\Gamma_u} (j\mathbf{f}^{-T} \cdot \mathbf{n}_u) \cdot \boldsymbol{\sigma} d\Gamma_u \\ &= \oint_{\Gamma_u} \left( \frac{j}{J} \mathbf{f}^{-T} \cdot \mathbf{n}_u \right) \cdot \boldsymbol{\tau} d\Gamma_u \end{aligned} \quad (10)$$

98 where the relative deformation gradient  $\mathbf{f}$  relates the current deformation state and the previous  
 99 deformation state, referred to as the updated state. The Jacobian of the relative deformation gradient,  
 100 termed the relative Jacobian, is  $j = \det[\mathbf{f}]$ . The relative deformation gradient is given in terms of the  
 101 displacement increment as  $\mathbf{f} = \mathbf{I} + \nabla(\Delta\mathbf{u})^T$ . Equation 10 may be written in terms of the unknown  
 102 displacement increments, which are considered the primary unknown variables.

103 In the current FV approach, both total Lagrangian (TL) and updated Lagrangian (UL) forms  
 104 can be implemented; the updated Lagrangian has been selected as it gave superior robustness and  
 105 convergence in initial numerical trials. In theory the TL and UL forms can be implemented in an  
 106 equivalently robust manner; however, in the current procedure, the use of a segregated algorithm  
 107 necessitates the implementation of iterative traction boundary conditions; the TL approaches iterates  
 108 over traction terms dependent on the total deformation gradient  $\mathbf{F}$ , whereas the UL implementation  
 109 iterates over traction terms dependent on the relative deformation gradient  $\mathbf{f}$ , resulting in the UL  
 110 implementation providing a more stable implicit solution procedure.

### 3. NUMERICAL METHOD

111 In this section, the mathematical model presented in the preceding section is discretised using  
 112 the cell-centred FV method, synonymous with the collocated FV method, providing a discrete  
 113 approximation of the previously presented exact integrals. Unlike standard FE methods where the  
 114 governing equation in strong form is cast into its equivalent weak form, the current FV method  
 115 directly discretises the strong integral form of the governing equation. The discretisation procedure  
 116 is separated into two distinct parts: discretisation of the solution domain, and discretisation of the  
 117 governing equations.

#### 118 *3.1. Solution Domain Discretisation*

119 Discretisation of the solution domain comprises the discretisation of time and the discretisation of  
 120 space. The total specified simulation time is divided into a finite number of time increments,  $\Delta t$ ,  
 121 and the discretised governing equations are solved in a time-marching manner. The solution domain

122 space is divided into a finite number of contiguous convex polyhedral cells bounded by polygonal  
 123 faces, that do not overlap and fill the space completely. A typical control volume (or cell or control  
 124 mass), shown in Figure 2, has a computational node  $P$  located at the cell centroid, with cell volume  
 125  $\Omega_P$ ,  $N$  is the centroid of a neighbouring control volume, face  $f$  has area vector  $\Gamma_f$ , vector  $d_f$  joins  
 126  $P$  to  $N$  and  $r$  is the positional vector of  $P$ . No distinction is made between different cell volume  
 127 shapes, as all general polyhedra (e.g. tetrahedra, hexahedra, triangular prism, dodecahedra, etc.)  
 128 are discretised in the same general fashion; this is in contrast to standard FE methods where shape  
 functions are specific to the shape of the element.

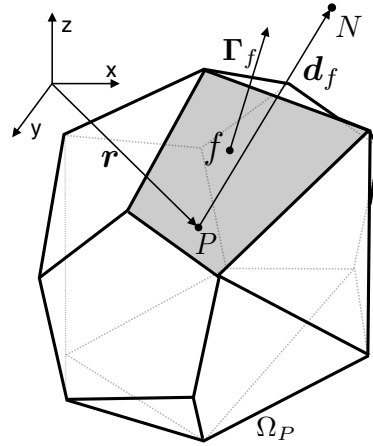


Figure 2. General convex polyhedral control volume (adapted from [66, 67])

129

### 130 3.2. Equation Discretisation

131 To allow use of a segregated/staggered solution procedure, the surface force (diffusion term) is  
 132 partitioned into *implicit* and *explicit* components:

$$\begin{aligned}
 \int_{\Omega_u} \frac{\partial}{\partial t} \left( \rho_u \frac{\partial(\mathbf{u}^{[m-1]} + \Delta \mathbf{u})}{\partial t} \right) d\Omega_u &= \oint_{\Gamma_u} \left( \frac{4}{3} \mu + K \right) \mathbf{n}_u \cdot \nabla(\Delta \mathbf{u}) d\Gamma_u \\
 &+ \oint_{\Gamma_u} (j \mathbf{f}^{-T} \cdot \mathbf{n}_u) \cdot \boldsymbol{\sigma} d\Gamma_u - \oint_{\Gamma_u} \left( \frac{4}{3} \mu + K \right) \mathbf{n}_u \cdot \nabla(\Delta \mathbf{u}) d\Gamma_u
 \end{aligned}
 \tag{11}$$

133 where the first term on the right-hand side is treated implicitly and the second and third terms  
 134 on the right-hand side are treated explicitly; in this context, by *implicit* it is meant that the term  
 135 contributes coefficients to the matrix of the resulting linear system of equations, whereas by *explicit*  
 136 it is implied that the term is calculated using the latest known values and solely contributes to the  
 137 source vector of the resulting linear system; the displacement increment  $\Delta \mathbf{u} = \mathbf{u}^{[m]} - \mathbf{u}^{[m-1]}$  is  
 138 the primary unknown; superscript  $[m]$  indicates values at the current time increment, superscript  
 139  $[m-1]$  indicates values at the previous/old time increment. As outlined later, outer Picard/Fixed-  
 140 Point iterations provide the necessary implicit coupling at each time-step. This approach echoes

141 the methods of Demirdžić and Martinović [23] and Jasak and Weller [29], where the displacement  
142 inter-component coupled terms and nonlinear terms are treated in a deferred correction manner.

For each cell  $P$ , the temporal term is discretised using a first-order accurate in time fully implicit backward Euler finite differencing scheme:

$$\int_{\Omega_u} \frac{\partial}{\partial t} \left( \rho_u \frac{\partial(\Delta \mathbf{u})}{\partial t} \right) d\Omega_u \approx \frac{1}{\Delta t^{[m]}} \left[ (\rho_u \Omega)_P^{[m]} \left( \frac{\Delta \mathbf{u}_P^{[m]} - \Delta \mathbf{u}_P^{[m-1]}}{\Delta t^{[m]}} \right) - (\rho_u \Omega)_P^{[m-1]} \left( \frac{\Delta \mathbf{u}_P^{[m-1]} - \Delta \mathbf{u}_P^{[m-2]}}{\Delta t^{[m-1]}} \right) \right] \quad (12)$$

143 The component of the temporal term containing  $\mathbf{u}_{\text{old}}$  is discretised in a similar fashion to Equation 12  
144 but the term is calculated in an entirely *explicit* manner *i.e.* the term contributes solely to the source  
145 vector of the resulting linear system of equations. It is straight-forward to discretise the temporal  
146 term using a second-order accurate scheme, as shown for the current FV method in Jasak and Weller  
147 [29]; however, the temporal order of accuracy of the current elastoplastic method is limited by the  
148 first-order backward Euler integration of the plastic deformation rate within the constitutive law; the  
149 integration error in the plastic strain could be controlled using an adaptive time-step procedure, as  
150 outlined for example by Lee and Bathe [68].

The implicit diffusion term (first term on the right-hand side of Equation 11) is discretised using central differencing with over-relaxed non-orthogonal correction [23, 29, 40]:

$$\oint_{\Gamma_u} \left( \frac{4}{3}\mu + K \right) \mathbf{n}_u \cdot \nabla(\Delta \mathbf{u}) d\Gamma_u \approx \sum_F \left( \frac{4}{3}\mu_f + K_f \right) |\Delta_{uf}| \left( \frac{\mathbf{u}_N - \mathbf{u}_P}{|\mathbf{d}_f|} \right) |\Gamma_{uf}| + \sum_F \left( \frac{4}{3}\mu_f + K_f \right) \mathbf{k}_{uf} \cdot [\nabla(\Delta \mathbf{u})]_f |\Gamma_{uf}| \quad (13)$$

151 where  $F$  is the number of internal faces in cell  $P$ ,  $\Delta_{uf} = \frac{d_{uf}}{d_{uf} \cdot \mathbf{n}_{uf}}$ ,  $\mathbf{k}_{uf} = \mathbf{n}_{uf} - \Delta_{uf}$ , and  $\mathbf{n}_{uf}$   
152 is the unit normal of the face. The first term on the right-hand side of the equation is treated  
153 implicitly, whereas the second term on the right-hand side, representing a correction for the face  
154 non-orthogonality, is treated explicitly; further details are given in [23, 29, 40].

The explicit surface diffusion source terms (second and third terms on the right-hand side of Equation 11) are discretised by assuming a linear variation of the term across the face:

$$\oint_{\Gamma_u} (j\mathbf{f}^{-T} \cdot \mathbf{n}_u) \cdot \boldsymbol{\sigma} d\Gamma_u = \sum_F \int_{\Gamma_{uf}} (j\mathbf{f}^{-T} \cdot \mathbf{n}_u) \cdot \boldsymbol{\sigma} d\Gamma_{uf} \approx \sum_F \Gamma_{uf} \cdot (j\boldsymbol{\sigma} \cdot \mathbf{f}^{-T})_f \quad (14)$$

and similarly:

$$\oint_{\Gamma_u} \left( \frac{4}{3}\mu + K \right) \mathbf{n}_u \cdot \nabla(\Delta \mathbf{u}) d\Gamma_u = \sum_F \int_{\Gamma_{uf}} \left( \frac{4}{3}\mu + K \right) \mathbf{n}_u \cdot \nabla(\Delta \mathbf{u}) d\Gamma_{uf} \approx \sum_F \left( \frac{4}{3}\mu + K \right)_f \Gamma_{uf} \cdot [\nabla(\Delta \mathbf{u})]_f \quad (15)$$

155 Terms at a face, indicated by subscript  $f$ , are linearly interpolated from adjacent cell-centre values;  
156 cell-centre gradients are calculated using a least squares method (`extendedLeastSquares`  
157 scheme in `foam-extend-3.2`). It should be noted that the first term on the right-hand side  
158 of Equation 11, treated implicitly, and third term on the right-hand side of Equation 11, treated  
159 explicitly, do not exactly cancel out as they employ different computational stencils; the difference  
160 between the two terms results in additional numerical diffusion, which tends to eliminate erroneous  
161 oscillations in the solution variable field, as initially proposed by Rhie and Chow [69].

162 Further details of the discretisation of the temporal, implicit and explicit terms can be found  
163 in [29, 40], for example. The resulting discretisation is spatially second-order accurate, that is,  
164 the discretisation error reduces at a second-order rate as the cell size is reduced. In contrast to  
165 conventional FE methods, the current cell-centred FV discretisation is strongly conservative, both  
166 locally and globally.

167 Although not the focus of the current study, it is worth briefly discussing the extension of the  
168 current formulation to account for discontinuous material property fields, *i.e.* where there exists  
169 internal bi-material interfaces with step changes in material properties (*e.g.* a jump in shear modulus  
170 and/or Poisson's ratio): if the current discretisation, as is, was applied to such a case, erroneous  
171 peaks in the strain/stress in the cells immediately adjacent to the interface would be expected.  
172 One approach to overcome this issue for the current cell-centred FV method was proposed by  
173 Tuković et al. [37], where a modification of the traction discretisation at the bi-material interface  
174 was successfully employed. Applying a similar modification here would allow for discontinuous  
175 material property fields to be handled in the current discretisation.

176 As regards the physical shocks (discontinuities in the solution field), the current discretisation  
177 employs central differencing and, as such, would suffer from inaccuracies present in other central  
178 differencing schemes when applied to shocks. Extending the current discretisation to employ  
179 upwind-style methods would be one potential solution.

180 **Hydrostatic Pressure Contribution** Displacement-based procedures, like the current FV  
181 method, have been found effective for a wide variety of problems in the realm of FE; there are,  
182 however, situations when such displacement-based approaches tend to be less effective, namely, in  
183 the analysis of incompressible or quasi-incompressible materials [62]; as experienced, for example,  
184 in large plastic deformation of metals. In such situations, hybrid/mixed approaches are favoured,  
185 where displacement and pressure are the typical unknowns. Given the close relationship of the  
186 FV method with the FE method, it is unsurprising that the current FV procedure would suffer  
187 from similar issues with pressure oscillations. The reason for the appearance of such numerical  
188 oscillations can be explained by the volumetric strains being very small in comparison to the  
189 deviatoric strains; as the strains are determined from the derivatives of displacements, which are  
190 not as accurately predicted as the displacements, errors in the volumetric strains can appear as  
191 appreciable errors in the hydrostatic stresses; for example see the discussion by Bathe [62].

192 Of course extending the current approach to employ a hybrid approach would be one approach  
193 to mitigate this problem (and this will be considered in future developments); however, in the  
194 current article a modified discretisation of the hydrostatic pressure term is examined in an attempt  
195 to minimise these pressure oscillations.

In the current updated Lagrangian formulation the hydrostatic pressure is a function of the relative Jacobian  $j$ ; in the *standard* discretisation approach described above, the relative Jacobian  $j$  is calculated at each cell-centre as:

$$\begin{aligned} j &= \det[\mathbf{f}] \\ &= \det[\mathbf{I} + \nabla(\Delta \mathbf{u})^T] \end{aligned} \quad (16)$$

where the cell-centre gradient of the displacement increment  $\nabla(\Delta \mathbf{u})$  is calculated using a linear least squares method. In the proposed *modified* approach, the relative Jacobian  $j$  is calculated as:

$$\begin{aligned} j &= \det[\mathbf{f}] \\ &= \det \left[ \mathbf{I} + \widehat{\nabla(\Delta \mathbf{u})}^T \right] \end{aligned} \quad (17)$$

where the modified gradient of displacement increment term  $\widehat{\nabla(\Delta \mathbf{u})}$ , at the cell-centres, is calculated as:

$$\widehat{\nabla(\Delta \mathbf{u})} = \left( \sum_F \frac{1}{|\Gamma_f|} \Gamma_f \Gamma_f \right)^{-1} \cdot \left( \sum_F \Gamma_f [\mathbf{n} \cdot \nabla(\Delta \mathbf{u})]_f \right) \quad (18)$$

196 The term  $[\mathbf{n} \cdot \nabla(\Delta \mathbf{u})]_f$  represents the normal gradient of displacement increment calculated directly  
 197 at the control volume face; this term is approximated using central differencing, as in Equation 13.  
 198 The procedure in Eq. 18, developed by Weller et al. [28], is termed a *reconstruction* operation in  
 199 the OpenFOAM software (`fvc::reconstruct` in the OpenFOAM code syntax), where in CFD  
 200 applications it can be employed to approximate the cell-centred velocity field from the face-centre  
 201 flux field (normal component of velocity at the face); details of the derivation of this approximation  
 202 are given in Appendix A. In the current application, the procedure is employed as a form of spatial  
 203 smoothing, where the gradient is calculated at the faces of the cell and *reconstructed* to the cell-  
 204 centre; the term is then linearly interpolated back to the cell faces to calculate the hydrostatic  
 205 pressure contributions at the cell faces; as will be shown in the test cases section, this modification  
 206 has been found to reduce the propensity for pressure oscillations to occur.

### 207 3.3. Initial and Boundary conditions

208 All dependent variables have to be specified at the initial time  $t_0$ . On the faces coinciding with  
 209 the boundary of the solution domain, boundary conditions have to be applied. In the case of a  
 210 Dirichlet boundary condition, the expressions for diffusion fluxes and sources remain valid, except  
 211 for employing the user specified boundary value in place of the neighbouring cell-centre value. On  
 212 the boundaries where Neumann boundary conditions are specified, the boundary fluxes are added to  
 213 the source term, while the variable values at the boundary are extrapolated from the internal domain  
 214 using the specified boundary gradient. Further details of the boundary condition implementation can  
 215 be found in, for example, [40].

216 **Frictional Contact Boundary Conditions** In the current method, contact boundary conditions  
 217 are implemented in the form of Neumann conditions, where the contact constraints are enforced

218 using a penalty method. A frictionless implementation presented previously [36] has been extended  
219 to include Coulomb friction, where the tangential traction (friction) is calculated as:

$$\mathbf{T}_{\text{fric}} = \begin{cases} -k_{\text{fric}} \boldsymbol{\gamma} & \text{for } \mathbf{T}_{\text{fric}} < \mu_{\text{fric}} \sigma_n \\ -\mu_{\text{fric}} |\sigma_n| \frac{\boldsymbol{\gamma}}{|\boldsymbol{\gamma}|} & \text{for } \mathbf{T}_{\text{fric}} \geq \mu_{\text{fric}} \sigma_n \end{cases} \quad (19)$$

220 where the Coulomb coefficient of friction is  $\mu_{\text{fric}}$ , the normal contact stress  $\sigma_n = \mathbf{n} \cdot (\mathbf{n} \cdot \boldsymbol{\sigma})$ , the slip  
221  $\boldsymbol{\gamma}$  is calculated as the difference in displacement increment between one contacting surface and the  
222 corresponding point on the other contacting surface in the surface tangential direction *i.e.* the slip  
223 has no component in the surface normal direction  $\mathbf{n} \cdot \boldsymbol{\gamma} = 0$ ; the friction penalty factor is set as:

$$k_{\text{fric}} = \frac{f_{\text{scale}} \mu A}{V} \quad (20)$$

224 where  $\mu$  is the average shear modulus of the faces on the contact boundary (not to be confused with  
225 the Coulomb coefficient of friction),  $A$  is the average area of the faces on the contact boundary and  
226  $V$  is the average volume of cells at the contact boundary. The scaling factor,  $f_{\text{scale}}$ , is typically set  
227 to 1.0 but can be increased if there is noticeable *elastic slip* *i.e.* slip before the critical slip traction  
228 is reached. Although the Coulomb friction relation has been selected here, it would be straight-  
229 forward to include other relations, such as Tresca, Coulomb-Orowan, Shaw, or laws dependent on  
230 temperature/pressure and other solution variables.

### 231 3.4. Solution Procedure

232 The final discretised form of the linear momentum equation for each control volume  $P$  can be  
233 arranged in the form of  $M$  linear algebraic equations, *e.g.* see [29, 40]:

$$a_P \Delta \mathbf{u}_P + \sum_F a_N \Delta \mathbf{u}_N = \mathbf{b}_P \quad (21)$$

234 where  $F$  is the number of internal faces of control volume  $P$ ,  $a_P$  is the central coefficient,  $a_N$  are  
235 the neighbour coefficients representing interactions with neighbour cell-centred unknowns, and  $\mathbf{b}_P$   
236 is the source vector contribution.

237 The algebraic linear equations described above are assembled for all control volumes forming a  
238 system of linear equations:

$$[\mathbf{A}] [\boldsymbol{\phi}] = [\mathbf{b}] \quad (22)$$

239 where  $[\mathbf{A}]$  is a sparse  $M \times M$  matrix with weak diagonal dominance, with  $M$  being the total number  
240 of control volumes. The coefficients  $a_P$  are on the diagonal of matrix  $[\mathbf{A}]$  and coefficients  $a_N$  form  
241 the matrix off-diagonal. The solution vector  $[\boldsymbol{\phi}]$  contains the unknown cell-centre displacement  
242 increments  $\Delta \mathbf{u}$ , and  $[\mathbf{b}]$  is the source vector containing the *explicit* discretised terms and boundary  
243 condition contributions.

244 The linear system of equations are solved in a segregated manner, with each component of  
245 the displacement increment field solved for separately. Outer Picard/Fixed-Point iterations are  
246 performed to account for the inter-equation coupling and the linearised nonlinear terms. The inner  
247 linear sparse system is iteratively solved, typically using the incomplete Cholesky pre-conditioned  
248 conjugate gradient (ICCG) method [70]. The inner system need not be solved to a tight tolerance

249 as coefficients and source terms are approximated from the previous increment; a reduction in  
 250 the residuals of one order of magnitude is typically sufficient. The outer iterations are performed  
 251 until the predefined tolerance, typically  $1 \times 10^{-6}$ , has been achieved. The current method has been  
 252 implemented in open-source software OpenFOAM [28] (foam-extend-3.2), exploiting the developed  
 253 object oriented parallelised FV procedures.

254 It should be noted that standard implicit nonlinear FE methods typically use a full or modified  
 255 Newton-Raphson loop to account for the nonlinearities, necessitating the need for a consistent  
 256 tangent matrix for optimal convergence [62]; in contrast, the current method employs Picard/Fixed-  
 257 Point iterations to account for the nonlinearities and hence does not require a consistent  
 258 tangent matrix. The Newton method can achieve quadratic convergence compared with the linear  
 259 convergence rate of the Picard iterations, and consequently a larger number of total iterations would  
 260 be expected in the current implementation; however, through the use of efficient iterative linear  
 261 solvers, each outer iteration is much less expensive, resulting in a competitive method.

262 The overall solution procedure is summarised in Algorithm 1. The procedure to update the  
 263 kinematics and stress is given in Algorithm 2, echoing the procedure presented in Simo and Hughes  
 264 [8], where subscript  $m - 1$  implies quantities from the previous time-step and subscript  $m$  implies  
 265 quantities from the current time-step; at line 12 the plastic multiplier  $\Delta\gamma$  is iteratively calculated  
 266 by solving Equation 23 using a Newton loop, where in general the yield stress  $\sigma_Y$  is a function  
 267 of the plastic multiplier. A point to note is that the hydrostatic pressure term calculated at line  
 268 20 is computed directly from the displacement field; in contrast, hybrid pressure-displacement  
 269 approaches would solved an additional governing equation to obtain this pressure.

270 One disadvantage of the cell-centred FV discretisation relative to conventional FE methods is  
 271 that the calculated displacements reside at the cell-centres, whereas displacements at the vertices  
 272 are needed to move the mesh; consequently, the cell-centred displacements must be interpolated to  
 273 the vertices before moving the mesh at the end of the time-step; in the current approach, a linear  
 274 least squares interpolation is employed [40, 48, 71]: at each mesh vertex, a linear least squares plane  
 275 is fit through the displacement values at the immediately adjacent cell-centres; for vertices on the  
 domain boundary, boundary face-centre values are also included in the fitting.

---

**Algorithm 1** Solution procedure
 

---

- 1: **for all** time steps **do**:
  - 2:     **while** explicit terms are not converged **do**
  - 3:         Momentum equation: assemble and solve in terms of  $\Delta\mathbf{u}$                      ▷ Equation 11
  - 4:         Update kinematics and stress   ▷ See Algorithm 2
  - end while**
  - 5:     Interpolate cell-centre displacements to the vertices
  - 6:     Move mesh to the deformed configuration using the vertex displacements
  - 7: **end for**
- 

276

$$f(\Delta\gamma) = \|\mathbf{s}_m^{\text{trial}}\| - 2\bar{\mu}\Delta\gamma - \sqrt{\frac{2}{3}}\sigma_Y(\epsilon_{m-1}^p + \sqrt{\frac{2}{3}}\Delta\gamma) = 0 \quad (23)$$

**Algorithm 2** Update of the kinematics and stress

---

```

1:  $\mathbf{f}_m = \mathbf{I} + \nabla(\Delta \mathbf{u}_m)^T$  ▷ Calculate relative deformation gradient
2:  $\mathbf{j}_m = \det[\mathbf{f}_m]$  or  $\mathbf{j}_m = \det[\mathbf{I} + \widehat{\nabla(\Delta \mathbf{u}_m)}^T]$  ▷ Calculate relative Jacobian
3:  $\bar{\mathbf{f}}_m = \mathbf{j}_m^{-\frac{1}{3}} \mathbf{f}_m$ 
4:  $\bar{\mathbf{b}}_m^{e \text{ trial}} = \bar{\mathbf{f}}_m \cdot \bar{\mathbf{b}}_{m-1}^e \cdot \bar{\mathbf{f}}_m^T$ 
5:  $\mathbf{s}_m^{\text{trial}} = \mu \operatorname{dev} \left[ \bar{\mathbf{b}}_m^{e \text{ trial}} \right]$  ▷ Calculate trial deviatoric Kirchhoff stress
6:  $f_m^{\text{trial}} = \|\mathbf{s}_m^{\text{trial}}\| - \sqrt{\frac{2}{3}} \sigma_Y(\epsilon_n^{p \text{ eq}})$  ▷ Calculate yield function
7: if  $f_m^{\text{trial}} \leq 0$  then
8:   Set  $(\bullet)_m = (\bullet)_m^{\text{trial}}$ 
9: else
10:   $\bar{\mathbf{I}}_m^e = \frac{1}{3} \operatorname{tr} \left( \bar{\mathbf{b}}_m^{e \text{ trial}} \right)$ 
11:   $\bar{\mu} = \bar{\mathbf{I}}_m^e \mu$ 
12:  Calculate plastic multiplier  $\Delta \gamma$  ▷ Solve Equation 23 for  $\Delta \gamma$ 
13:   $\mathbf{N} = \frac{\mathbf{s}_m^{\text{trial}}}{\|\mathbf{s}_m^{\text{trial}}\|}$  ▷ Calculate return direction
14:   $\mathbf{s}_m = \mathbf{s}_m^{\text{trial}} - 2\bar{\mu} \Delta \gamma \mathbf{N}$  ▷ Calculate deviatoric component of Kirchhoff stress
15:   $\epsilon_n^{p \text{ eq}} = \epsilon_n^{p \text{ eq}} + \sqrt{\frac{2}{3}} \Delta \gamma$  ▷ Update hardening parameter
16:   $\bar{\mathbf{b}}_m^e = \frac{\mathbf{s}_m}{\mu} + \bar{\mathbf{I}}_m^e \mathbf{I}$  ▷ Update of intermediate configuration
17: end if
18:  $\mathbf{F}_m = \mathbf{f}_m \cdot \mathbf{F}_{m-1}$  ▷ Calculate total deformation gradient
19:  $J_m = j_m J_{m-1}$  ▷ Calculate total Jacobian
20:  $p_m = \frac{K}{2} (J_m^2 - 1)$  ▷ Calculate hydrostatic pressure
21:  $\boldsymbol{\tau}_m = J_m p_m \mathbf{I} + \mathbf{s}_m$  ▷ Calculate Kirchhoff stress

```

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## 4. NUMERICAL TEST CASES

277 In this section, the capabilities of the developed FV methodology are examined on six elastoplastic  
278 benchmark cases:

- 279 1. Expansion of a thick-wall cylinder – 1-D axisymmetric;  
280 2. Upsetting of a billet – 2-D axisymmetric – involving considerable mesh deformation and  
281 folding;  
282 3. Crushing of a long cylindrical pipe – 2-D plane strain;  
283 4. Necking of a cylindrical bar – 2-D axisymmetric;  
284 5. Flat rolling of a cylindrical wire – evaluating the capabilities of the method for a nontrivial  
285 3-D problem;  
286 6. Cylinder impacting a rigid wall – highlighting the capabilities of the method for impact  
287 scenarios.

288 Temperature and gravity effects are neglected in all cases.

289 *4.1. Expansion of Thick-Walled Cylinder*

290 This 1-D axisymmetric test case has been considered by a number of authors, such as Simo and  
291 Hughes [8] and Taylor [72]: a thick-walled cylinder, with an inner radius  $r_i$  of 10 mm and an outer  
292 radius  $r_o$  of 20 mm, subjected to internal expansion, see Figure 3(a). The mechanical properties,

293 shown in Table I, are chosen to approximate rigid plastic behaviour allowing comparison with  
 294 the exact analytical solution. Transient effects are neglected. Five separate systematically refined  
 295 uniform quadrilateral axisymmetric meshes are considered: 5, 10, 20, 40 and 80 cells; the middle  
 296 density mesh is shown in Figure 3(b). The inner radius is displaced by 75 mm in the radial direction,  
 297 driving the inner cylinder radius to a final value of 85 mm. A zero traction condition is applied to  
 the outer cylinder boundary.

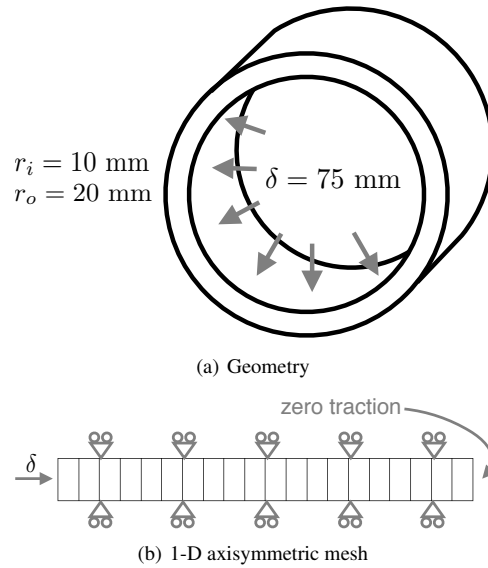


Figure 3. Expansion of thick-walled cylinder: problem geometry, loading and mesh

Shear modulus	$\mu$	3.8 GPa
Bulk modulus	$\kappa$	40 GPa
Yield stress	$\sigma_Y$	0.5 MPa
Perfect plasticity	hardening parameter	0.0

Table I. Expansion of thick-walled cylinder: mechanical properties

298

299 The models have been solved in double precision using 1 CPU core (2.4 GHz Intel Ivy Bridge  
 300 cores), over 15 000 quasi-static time increments. The wall-clock time required ranged from 1 min  
 301 for the coarsest mesh to 5 min for the finest mesh.

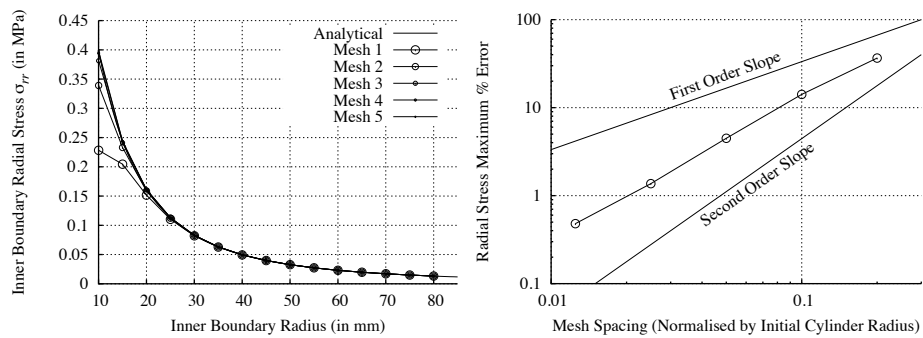
302 Assuming rigid plasticity, the radial (Cauchy) stress,  $\sigma_{rr}$ , at the inner surface is given analytically  
 303 as [73, 74]:

$$\sigma_{rr} = \left( \frac{\sigma_Y}{\sqrt{3}} \right) \ln \left( \frac{\left( \frac{r_0}{a_0} \right)^2 + \left( \frac{a}{a_0} \right)^2 - 1}{\left( \frac{b_0}{a_0} \right)^2 + \left( \frac{a}{a_0} \right)^2 - 1} \right) \quad (24)$$

304 where  $a_0$  is the initial inner radius;  $b_0$  is the initial outer radius;  $r_0$  is radius in the initial configuration  
 305 of the material point at which the stresses are being calculated; and  $a$  is the current value of the

306 inside radius. The hoop stresses and axial stresses are offset from the radial stress by a constant  
 307 value, consequently only the radial stress is examined.

308 A plot of the radial (Cauchy) stress  $\sigma_{rr}$  at the inner boundary versus the current inner radius is  
 309 given in Figure 4(a), where for the current case the *modified* form of the relative Jacobian calculation  
 310 is employed. Using the maximum difference between the predicted stress and the analytical solution  
 311 as a metric, the accuracy and order of accuracy of the current method may be assessed. Figure  
 312 4(b) shows the maximum error in radial stress to reduce at approximately a second-order rate, in  
 313 agreement with the second-order discretisation. The largest error can be seen to occur when the  
 314 inner boundary deformed radius is near to its initial radius. As the inner boundary radius increases  
 315 past approximately 30 mm, it can be seen that all meshes are in excellent agreement with the exact  
 solution.



(a) Inner boundary stress  $\sigma_{rr}$  vs. current inner radius (b) Maximum error in  $\sigma_{rr}$  at time 0.001 s as the mesh spacing is successively reduced

Figure 4. Expansion of thick-walled cylinder: radial stress  $\sigma_{rr}$  and maximum error

316

#### 317 4.2. Upsetting a Billet

318 The upsetting of a cylindrical billet between parallel rough dies has been used a number of times to  
 319 test the validity of numerical methods for analysis of metal forming problems, *e.g.* [72, 74, 75].  
 320 The billet, with initial diameter,  $\phi = 20$  mm, and initial height,  $h = 30$  mm, is upset by 60%,  
 321 corresponding to the a die displacement  $\delta = 18$  mm. The problem geometry and loading are  
 322 illustrated in Figure 5(a). The material properties are given in Table II. Transient effects are  
 323 neglected. The contact between the die and billet is rough and is approximated here using a penalty  
 324 method contact procedure with a Coulomb friction coefficient of 0.5; Taylor [72] showed that such  
 325 a high coefficient of friction sufficiently captures the rough surface approximation. The problem is  
 326 represented as 2-D axisymmetric where only the top half of the geometry is modelled. Five separate  
 327 successively refined meshes are examined, consisting of 36, 144, 576, 2 304 and 9 216 quadrilateral  
 328 cells; the coarsest mesh is shown in Figure 5(b).

329 The models have been solved in double precision using 8 CPU cores (2.4 GHz Intel Ivy Bridge  
 330 cores) in 1 000 quasi-static time increments. The required wall-clock time ranged from 15 min for  
 331 the coarsest mesh to 105 min for the finest mesh.

332 Figure 6 shows the predicted force versus displacement traces for the different meshes; the  
 333 predictions from the FE Lagrangian method of Taylor [72] are shown for comparison. The results

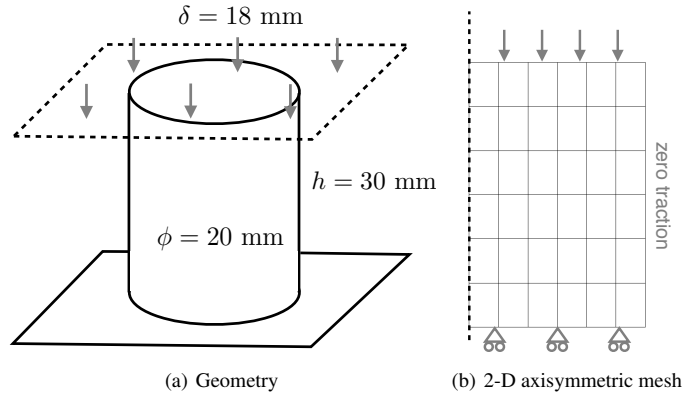


Figure 5. Upsetting a billet: geometry, loading and mesh

Young's modulus	$E$	200 GPa
Poisson's ratio	$\nu$	0.3
Initial yield stress	$\sigma_Y$	700 MPa
Hardening parameter	$\kappa$	300 MPa

Table II. Upsetting a billet: mechanical properties

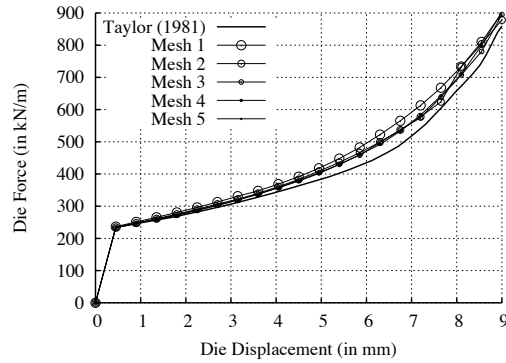
334 can be seen to quickly converge to a mesh independent solution, close to the Taylor [72] prediction.  
 335 Figures 6(b) and 6(c) show the deformed shape of the billet (mesh containing 2 304 cells) for two  
 336 stages of increasing deformation; the level of mesh distortion is evident, in particular, folding of the  
 337 billet at the upper corner is predicted.

338 The predicted equivalent plastic strain distribution at 60% upset is shown in Figure 7, where  
 339 for completeness both the cell-wise and vertex-wise distributions are shown; the cell-wise (average  
 340 value in the cell) distribution is the direct output of the cell-centred FV method, whereas the vertex-  
 341 wise distributions are calculated in a post-processing interpolation step. The predictions obtained  
 342 using commercial FE software Abaqus (version 6.11-1 - reduced integration bi-linear quadrilaterals)  
 343 and the 9 216 cell mesh are shown for comparison.

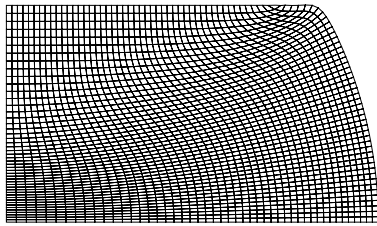
344 The predictions for the equivalent plastic strain distribution have been generated using the  
 345 *modified* approach for the relative Jacobian; the predicted equivalent plastic strain distribution using  
 346 the *standard* is almost identical; however, when the hydrostatic pressure distribution is examined,  
 347 the differences between the methods can be seen. Figure 8 compares the predicted hydrostatic  
 348 pressure distribution using the *standard* and *modified* approaches, where Abaqus FE results are  
 349 given for reference. It is clear to see that hydrostatic pressure oscillations are present when using  
 350 the *standard* approach, whereas the *modified* approach, in general, successfully eliminates the  
 351 oscillations. Some minor oscillations are, however, still present.

#### 352 4.3. Crushing of a Pipe

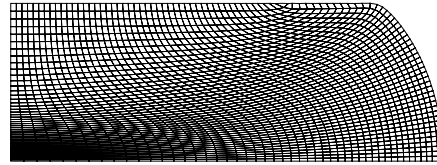
353 This test case, previously considered by Taylor [72], consists of a thick-walled pipe compressed  
 354 between two parallel flat *rigid* frictionless platens. This case is based on the experimental study by



(a) Force vs. displacement



(b) Deformed geometry at 45% upset



(c) Deformed geometry at 60% upset

Figure 6. Upsetting a billet: force vs. displacement, and deformed geometry at 45% and 60% upset

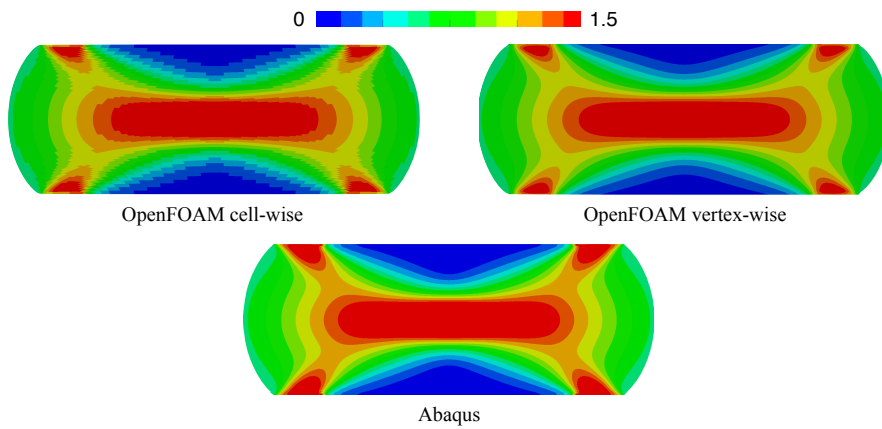


Figure 7. Upsetting a billet: predicted equivalent plastic strain distribution for the current OpenFOAM implementation, compared with Abaqus (FE) predictions

355 Peech et al. [76] and has previously been employed as a validation case for FE solvers. In the current  
 356 case, the results are compared with predictions from FE commercial software Abaqus. The problem  
 357 geometry is shown in Figure 9(a) and is represented here as plane strain 2-D. The inner pipe radius  
 358  $r_i$  is 48.28 mm and the outer pipe radius  $r_o$  is 57.15 mm. The mechanical properties of the pipe  
 359 are given in Table III. A rigid frictionless platen crushes the pipe through a displacement of 70 mm  
 360 *i.e.* the pipe diameter is reduced from  $2 \times 57.15 = 114.3$  mm to 44.3 mm in the crushing direction.  
 361 Transient effects are neglected. Due to symmetry, one quarter on the pipe cross-section is modelled

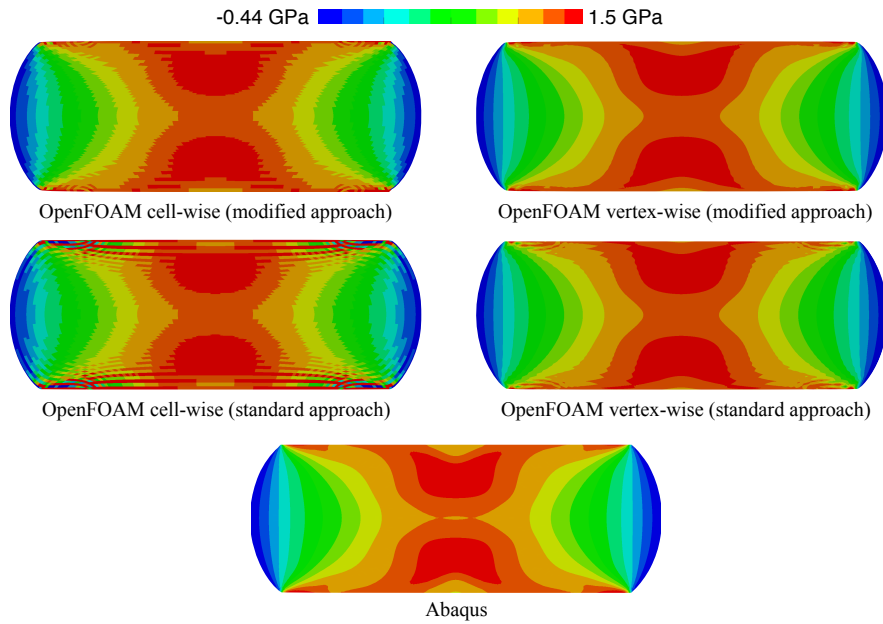


Figure 8. Upsetting a billet: predicted hydrostatic pressure distribution for the current OpenFOAM implementation, compared with Abaqus (FE) predictions

362 and symmetry plane conditions are employed. A frictionless penalty contact procedure is applied  
 363 between the rigid die and the outer pipe boundary. Four separate successively refined meshes are  
 364 examined, consisting of 64, 256, 1 024, and 4 096 quadrilateral cells; the coarsest mesh is shown in  
 Figure 9(b).

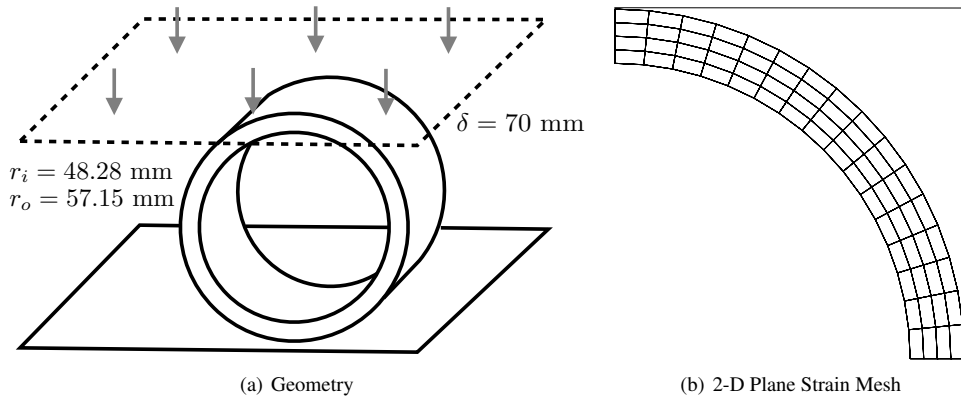


Figure 9. Crushing of a pipe: problem geometry and mesh

365 The models have been solved in 1 000 quasi-static time increments, in double precision using 8  
 366 CPU cores (2.4 GHz Intel Ivy Bridge cores). The wall-clock time required ranged from 26 min for  
 367 the coarsest mesh to 333 min for the finest mesh.

369 The problem has additionally been analysed using commercial FE software Abaqus and the 4 096  
 370 cell mesh; the elements are assigned with reduced integration bi-linear shape functions.

Young's modulus	$E$	186 GPa
Poisson's ratio	$\nu$	0.3
Initial yield stress	$\sigma_Y$	241.32 MPa
Hardening	Plastic strain	Yield stress (in MPa)
	0	241.32
	0.0035	275.79
	0.0083	301.65
	0.0133	318.88
	0.0182	344.74
	0.0281	361.98
0.0380	379.21	
	1	1 482.37

Table III. Crushing of a pipe: mechanical properties

371 Examining the predicted force versus displacement traces for the different meshes (Figure 10), it  
 372 can be seen that there are oscillations in the force that reduce with increasing mesh density: these  
 373 may be attributed to discrete faces entering the contact area; similar oscillations would be expected  
 374 with standard FE contact procedures. As the mesh is refined, the predicted forces are seen to closely  
 match the FE Abaqus fine mesh prediction.

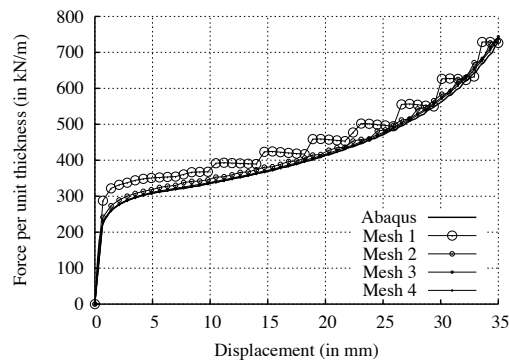


Figure 10. Crushing of a pipe: force vs. displacement

375  
 376 The deformed pipe geometry, at four stages of compression, is shown in Figure 11, including  
 377 a comparison of the predicted hydrostatic pressure distribution with the Abaqus FE predictions.  
 378 Comparing the cell-wise hydrostatic pressure distributions for the *modified* and *standard* relative  
 379 Jacobian calculation methods, it can once again be seen that the *standard* approach suffers from  
 380 erroneous oscillations, which are largely alleviated by the *modified* approach. The prediction using  
 381 the *modified* approach can be seen to agree closely with the FE Abaqus predictions.

#### 382 4.4. Necking of a Cylindrical Bar

383 The necking of a cylindrical bar subjected to uniaxial tension has been commonly employed as  
 384 a test case to examine the ability of large strain plasticity procedures. The problem geometry is

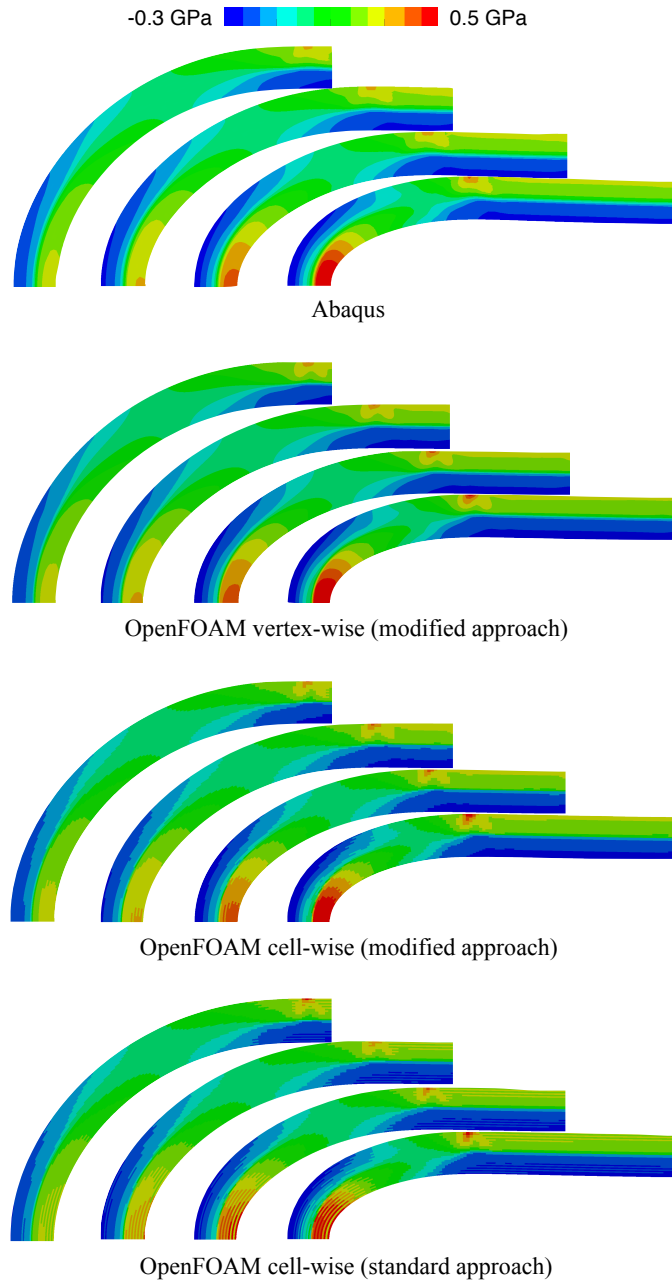


Figure 11. Crushing of a pipe: deformed geometry showing hydrostatic pressure distribution

385 shown in Figure 12 and is represented here as axisymmetric. The initial cylindrical bar radius  $r_0$   
 386 is 6.413 mm and the initial length  $l_0$  is 53.334 mm, where there is no initially narrowed neck  
 387 region. The mechanical properties of the bar are given in Table IV. The two end surfaces of the  
 388 bar are slowly displaced through a distance of 7 mm in opposite directions, where no tangential  
 389 contraction of the ends is allowed *i.e.* the tangential displacement is zero. Transient effects are

390 neglected. Due to symmetry, one half of the bar is simulated and symmetry plane conditions are  
 391 employed. Four separate successively refined meshes are examined, consisting of 150, 600, 2 400  
 392 and 9 600 quadrilateral cells; the coarsest mesh is shown in Figure 12, where the mesh is refined  
 393 near the expected neck, similar to the setup of Simo and Hughes [8]. The *modified* approach for the  
 relative Jacobian calculation has been employed.

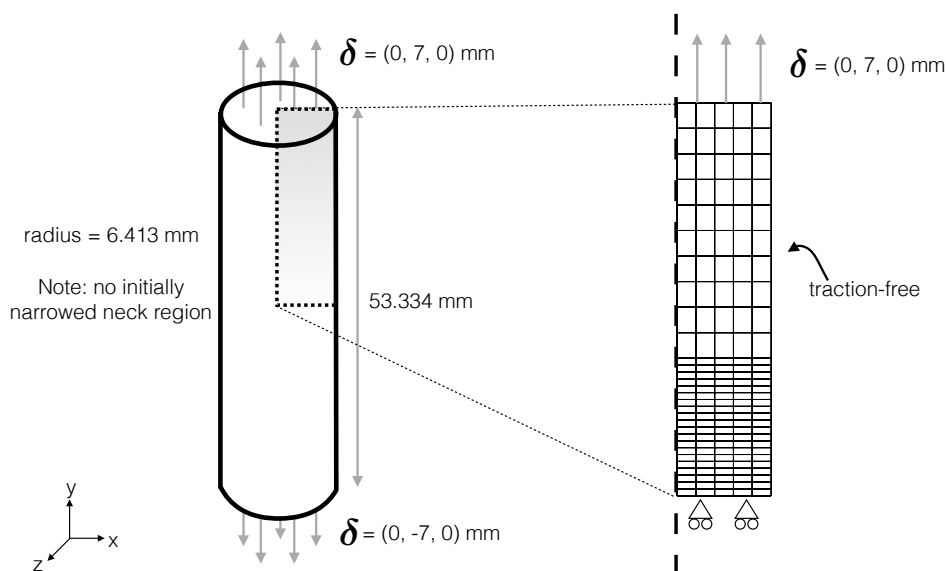


Figure 12. Necking of a cylindrical bar: problem geometry and mesh (150 cells)

Young's modulus	$E$	200 GPa
Poisson's ratio	$\nu$	0.3
Initial yield stress	$\sigma_Y$	451 MPa
Hardening	Plastic strain	Yield stress (in MPa)
	0.000	451
	0.006	476
	0.019	525
	0.038	583
	0.066	642
	0.147	710
	0.500	777
	1.000	831

Table IV. Necking of a cylindrical bar: mechanical properties

394

395 The models have been solved in 500 quasi-static time increments, in double precision using 8  
 396 CPU cores (2.4 GHz Intel Ivy Bridge cores). The required wall-clock time ranged from 20 min for  
 397 the coarsest mesh to 5 h for the finest mesh.

398 Once again for comparison, the problem has additionally been analysed using commercial FE  
399 software Abaqus and the 9 600 cell mesh.

400 The predicted force versus axial elongation is shown for the different mesh densities in Figure  
401 13(a); the predictions can be seen to approach the Abaqus FE fine mesh solution. In Figure 13(b),  
402 the neck radius is plotted against the axial elongation and is once again shown to match closely the  
Abaqus FE fine mesh solution as the mesh is refined. The predicted deformed geometry is shown in

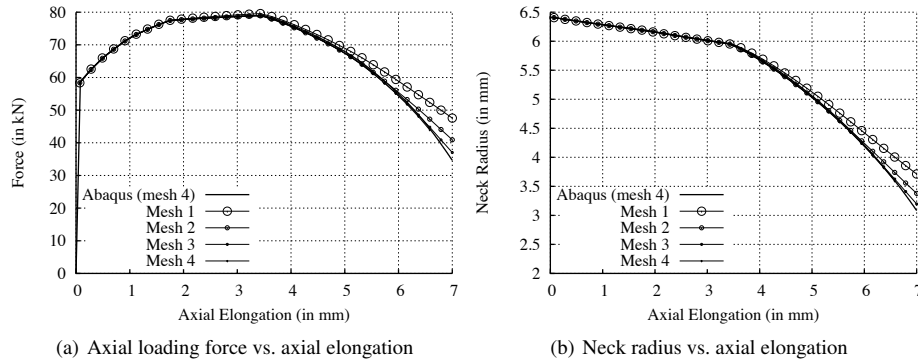


Figure 13. Necking of a cylindrical bar: loading force and neck radius vs. axial elongation

403

404 Figure 14, where hydrostatic pressure and equivalent plastic strains distributions are compared with  
405 the Abaqus FE predictions; for completeness, the cell-wise distributions are also shown.

#### 406 4.5. Flat Rolling of Wire

407 This test case comprises the flat rolling of an initially cylindrical wire, and highlights the capabilities  
408 of the method for the nontrivial 3-D problems. The problem geometry, shown in Figure 15(a),  
409 consists of a cylindrical wire, initial diameter  $\phi_w = 2.7$  mm, rolled between two cylindrical rollers,  
410 diameters  $\phi_r = 178$  mm. The gap between the rollers is  $\delta = 1.89$  mm corresponding to a linear wire  
411 reduction of 30%. Due to symmetry, only one quarter of the domain is simulated. Transient effects  
412 are included. The roller, represented here as a rigid surface, is rotating at 6.28 rad/s, corresponding  
413 to 60 revolutions per minute. A penalty contact procedure is applied between the rigid roller and  
414 the outer wire boundary, with a Coulomb friction coefficient of 0.1. The mechanical properties of  
415 the wire are given in Table V. Five successively refined meshes are examined, consisting of 780,  
416 2 550, 19 500, 154 200, and 1 230 000 hexahedral cells; the second coarsest mesh is shown in  
417 Figure 15(b). In the initial stage of the simulation (over the first 40 time-steps), the roller is lowered  
418 to the required gap and accelerated to the specified angular velocity; subsequently, upstream and  
419 downstream tensions are applied to the wire. The simulation proceeds until the predicted quantities,  
420 such as force, torque and wire cross-section, have reached steady-state. The *modified* approach for  
421 the relative Jacobian calculation has been employed.

422 The predicted steady-state deformed cross-section of the wire is shown in Figure 16; the  
423 equivalent plastic strain in the wire cross-section is shown in Figure 16(a), where bands of localised  
424 plasticity, known as the *blacksmith's cross*, can be seen forming an *X* pattern, *e.g.* see [77]; the  
425 predicted hydrostatic pressure distribution is shown in Figure 16(b); for completeness, both cell-  
426 wise and vertex-wise field distributions are shown.

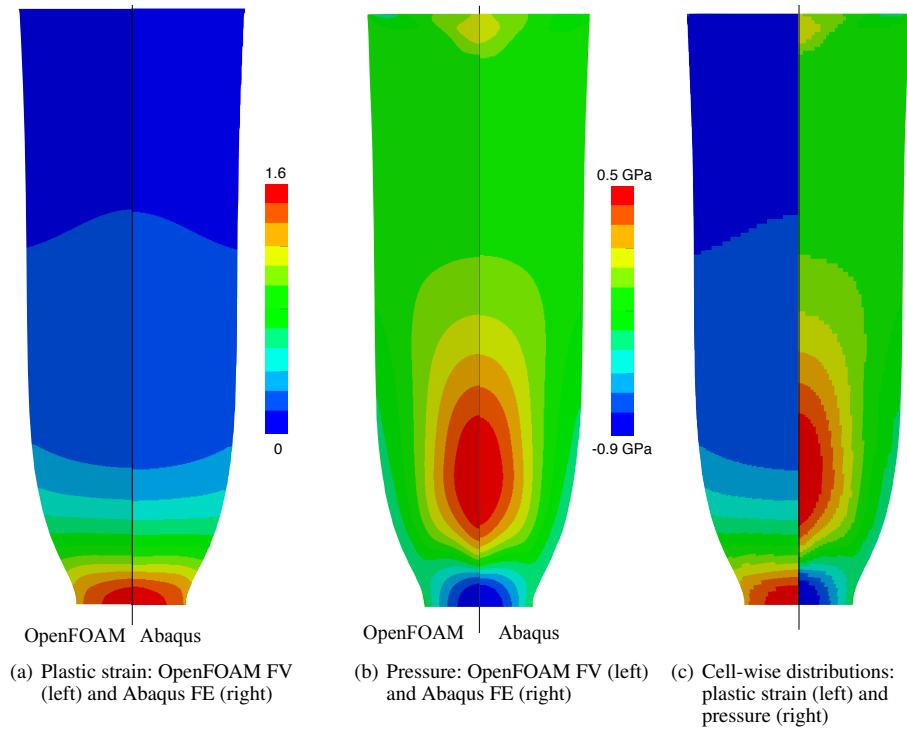


Figure 14. Necking of a cylindrical bar: predicted deformed geometry, showing hydrostatic pressure and equivalent plastic strain distributions

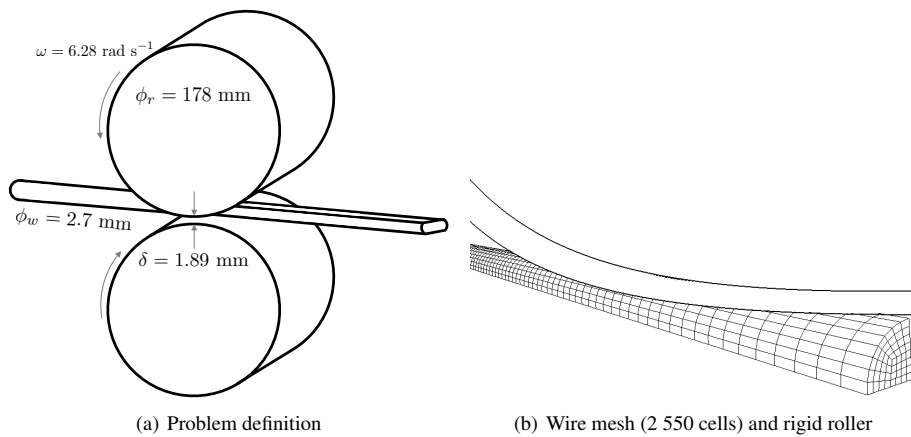


Figure 15. Flat rolling of wire: problem geometry and mesh

427 Using Richardson extrapolation [78] based on the solutions from the two finest meshes (mesh 4  
 428 and mesh 5), the asymptotic mesh independent predictions for the roller force, torque and power  
 429 are calculated to be 16.35 kN, 62.85 Nm and 394.70 W respectively. Using these extrapolated  
 430 predictions as a reference, the discretisation error is shown to reduce at the expected second-order  
 431 rate (Figure 17).

Young's modulus	$E$	210 GPa
Poisson's ratio	$\nu$	0.3
Initial yield stress	$\sigma_Y$	1.3 GPa
Hardening	Plastic strain	Yield stress (in GPa)
	0	1.3
	0.01	1.5
	0.1	1.69
	0.5	1.64
	0.88	2.11

Table V. Flat rolling of wire: mechanical properties

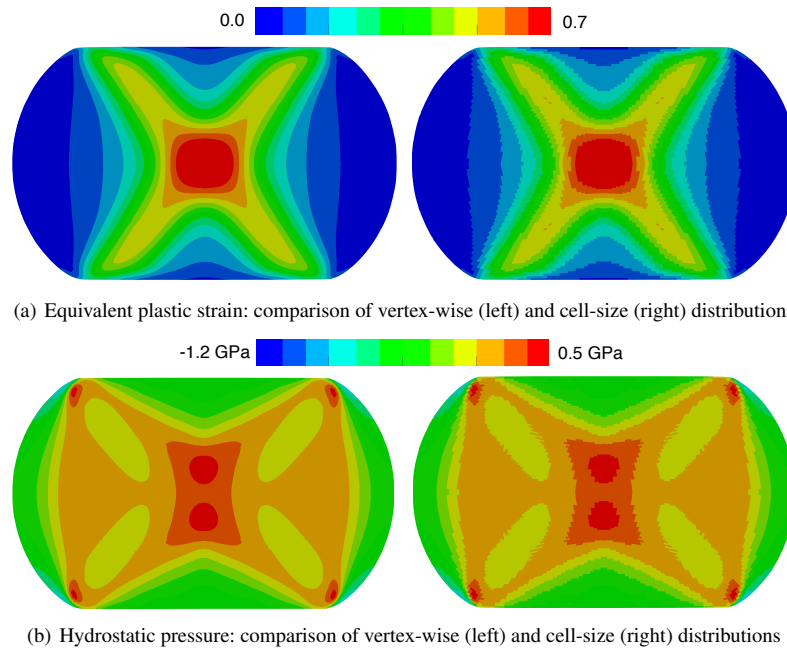


Figure 16. Flat rolling of wire: predicted deformed geometry, showing equivalent plastic strain and hydrostatic pressure distributions

432 The steady-state contact pressure distribution between the roller and the wire is shown in Figure  
 433 18(a); a characteristic *horse-shoe* shaped high pressure region around the front perimeter of the  
 434 contact region can be seen, consistent with previous studies, *e.g.* see [79]. Figure 18(b) shows the  
 435 frictional shear traction vectors in the contact region. In the incoming region of the contact, the shear  
 436 traction vectors are aligned with the roller surface velocity direction and have greatest magnitude.  
 437 Near the exit region of the contact, the shear traction vectors flip direction, consequently, there is a  
 438 region of no-slip located near the contact exit. This no-slip point can be theoretically explained by  
 439 considering that the downstream wire velocity is greater than the roller surface velocity, whereas the  
 440 upstream wire velocity is less than roller surface velocity; hence, at some point in the contact region,  
 441 the wire surface velocity and roller surface velocity must be equal, resulting in a no-slip point.

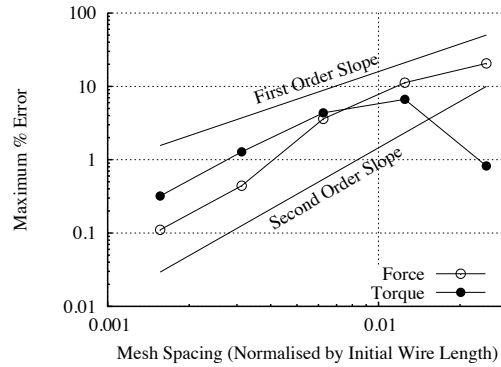


Figure 17. Flat rolling of wire: error reduction in predicted force as the mesh is refined

#### 442 4.6. Impact of cylinder against a rigid wall

443 The final test consists of a cylindrical bar of copper impacting a rigid wall, where the initial radius  
 444  $r_0 = 3.2$  mm, the initial length  $l_0 = 32.4$  mm, and the initial velocity  $v = 227$  m/s. The case  
 445 highlights the applicability of the current method for impact scenarios. A schematic of the problem  
 446 geometry is shown in Figure 19 and is represented here as axisymmetric; four successively refined  
 447 quadrilateral meshes are employed, containing 90, 360, 1 440, and 5 760 cells. The mechanical  
 448 properties are given in Table VI. Transient effects are included. A frictionless penalty contact  
 449 procedure is applied between the rigid ground and the cylinder lower boundary. The *modified*  
 450 approach for the relative Jacobian calculation has been employed.

451 The model has been solved in 1000 time increments, in double precision using 8 CPU core (2.4  
 GHz Intel Ivy Bridge cores), with wall-clock times ranging from 10 to 90 min.

Initial density	$\rho$	8930 kg/m <sup>3</sup>
Young's modulus	$E$	117 GPa
Poisson's ratio	$\nu$	0.35
Initial yield stress	$\sigma_Y$	400 MPa
Hardening modulus	$\kappa$	100 MPa

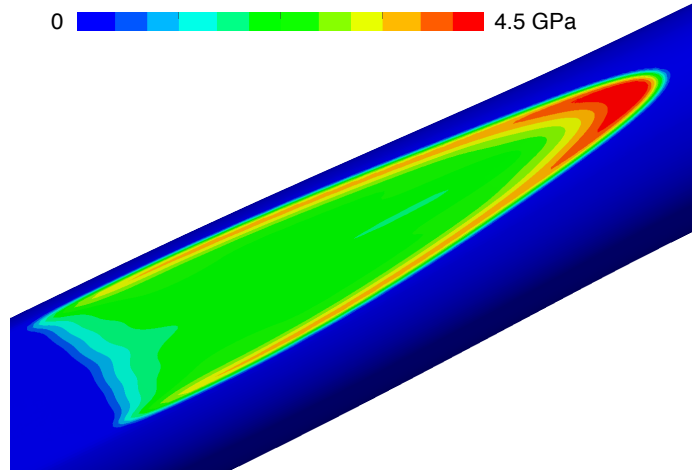
Table VI. Impact of cylinder against a rigid wall: mechanical properties

452

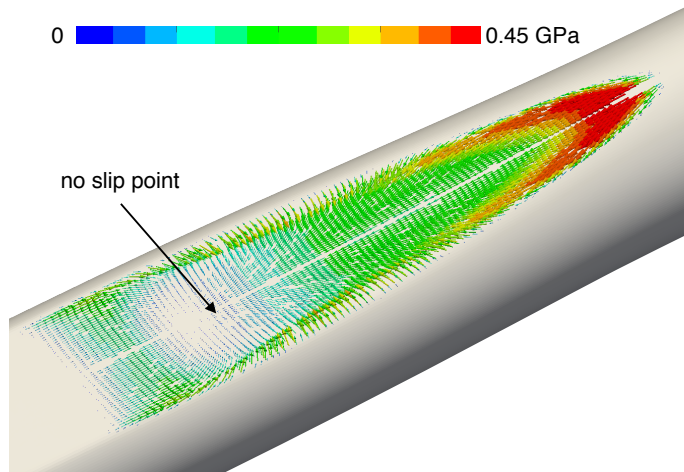
453 The predicted deformed geometry is shown for four separate time instances in Figure 20, where  
 454 the numerical results predicted by Aguirre et al. [54] are shown for comparison. Table VII compares  
 455 the final end radius of the bar at 80  $\mu$ s, for the 5 760 cell mesh, to numerical results from other  
 456 methodologies [54, 80], where good agreement is found.

## 5. DISCUSSION & CONCLUSIONS

457 In this paper, a Lagrangian cell-centred FV discretisation method with segregated solution  
 458 methodology is presented. The governing equations are described in updated Lagrangian form



(a) Contact pressure distribution showing *horse-shoe* shaped high pressure region



(b) Contact frictional shear traction vectors

Figure 18. Flat rolling of wire: contact pressures and shear tractions

Method	Predicted radius (in mm)
Bonet and Burton [80] FE method - tetrahedra	5.55
Bonet and Burton [80] FE method - hexahedra	6.95
Bonet and Burton [80] FE method average nodal pressure	6.99
Aguirre et al. [54] FV method	6.98
Current OpenFOAM FV method	7.14

Table VII. Impact of cylinder against a rigid wall: predicted end radius at 80  $\mu$ s

459 and discretised in space using a cell-centred FV method. An hyperelastoplastic constitutive law  
 460 is employed, with a rate-independent isotropic hyperelastic  $J_2$  elastoplastic constitutive relation.

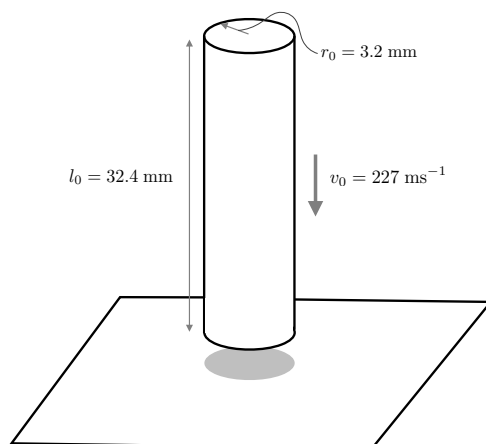


Figure 19. Impact of cylinder against a rigid wall: problem geometry and mesh (image adapted from [54])

461 The developed methodology has been tested on six elastoplastic benchmark test cases, where  
462 the spatial discretisation has been shown to be second-order accurate. For the cases examined, the  
463 predictions of the new method agree well with the available analytical solutions and comparative  
464 numerical solutions. A newly proposed modification to the discretisation of the relative Jacobian  
465 has been found to alleviate the issue of erroneous oscillations appearing in the predicted hydrostatic  
466 pressure distribution. From the test cases examined, the new method can be considered as a practical  
467 alternative to standard FE methods for simulation of metal forming problems.

#### 468 5.1. Limitations

469 The proposed modification to the discretisation of the relative Jacobian has been found, in general, to  
470 alleviate erroneous hydrostatic pressure oscillations; however, some minor oscillations can remain.  
471 It is expected that extending the approach to use a hybrid pressure-displacement method (*e.g.*  
472 see [30, 56]) would fully resolve this issue and potentially result in a more efficient procedure.  
473 Additional future improvements will focus on the inclusion of adaptive/dynamic mesh procedures  
474 to maintain the mesh quality; combining one of the many of mesh motion solvers already employed  
475 in FV procedures with a conservative mapping polyhedral method (*e.g.* [81]) would increase the  
476 capabilities for more severe deformation cases.

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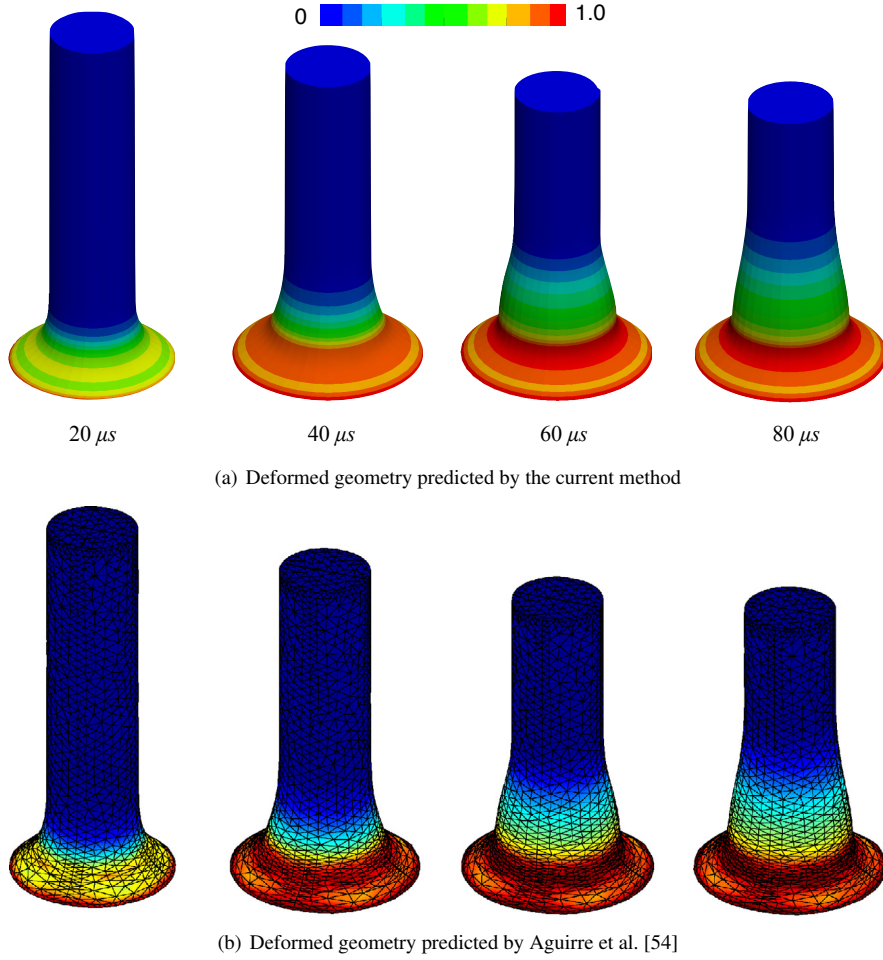


Figure 20. Impact of cylinder against a rigid wall: deformed geometry showing the equivalent plastic strain distribution

#### A. APPENDIX: RECONSTRUCTION OF THE CELL-CENTRE GRADIENT OF DISPLACEMENT INCREMENT

Within a control volume, the face-centred value of a tensor field  $\phi$  may be obtained through a Taylor series expansion (higher order terms neglected) about the cell-centre:

$$\phi_P + (\mathbf{r}_f - \mathbf{r}_P) \cdot (\nabla \phi)_P = \phi_f \quad (25)$$

481 where  $\mathbf{r}_f$  is the positional vector of the face-centre, and  $\mathbf{r}_P$  is the positional vector of the cell-centre,  
 482 as shown schematically in Figure 2; subscript  $f$  indicates a face-centre value, whereas subscript  $P$   
 483 indicates a cell-centre value.

Summing over all faces in the cell and multiplying (dot product) by the term  $\frac{1}{|\Gamma_f|}\Gamma_f\Gamma_f$  results in:

$$\left(\sum_F \frac{1}{|\Gamma_f|}\Gamma_f\Gamma_f\right) \cdot \phi_P + \left(\sum_F \frac{1}{|\Gamma_f|}\Gamma_f\Gamma_f \cdot (\mathbf{r}_f - \mathbf{r}_P)\right) \cdot [\nabla(\phi)]_P = \sum_F \Gamma_f (\mathbf{n}_f \cdot \phi_f) \quad (26)$$

484 where  $\Gamma_f$  are the face area vectors, and the face unit normals are  $\mathbf{n}_f = \frac{\Gamma_f}{|\Gamma_f|}$ .

The second term on the left-hand side of the Equation 26 is small in comparison to the first term; in fact, for a linear variation of  $\phi$ , the second term is zero. Neglecting this term, the relation becomes:

$$\left(\sum_F \frac{1}{|\Gamma_f|}\Gamma_f\Gamma_f\right) \cdot \phi_P = \sum_F \Gamma_f (\mathbf{n}_f \cdot \phi_f) \quad (27)$$

Multiplying both sides of Equation 27 by the inverse of the term  $\left(\sum_F \frac{1}{|\Gamma_f|}\Gamma_f\Gamma_f\right)$  leads to:

$$\phi_P = \left(\sum_F \frac{1}{|\Gamma_f|}\Gamma_f\Gamma_f\right)^{-1} \cdot \sum_F \Gamma_f (\mathbf{n}_f \cdot \phi_f) \quad (28)$$

485 During the derivation of the *modified* relative Jacobian discretisation, Equation 18 is constructed  
 486 from Equation 28, where the cell-centred tensor  $\phi_P$  is considered to be the reconstructed gradient  
 487 of displacement increment  $\nabla(\Delta\mathbf{u})$ , and the face-centred normal vector  $(\mathbf{n}_f \cdot \phi_f)$  is considered to  
 488 be the normal gradient of displacement increment at the face  $[\mathbf{n} \cdot \nabla(\Delta\mathbf{u})]_f$ , approximated using  
 489 central differencing.

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