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1 **Characterisation of a modified Rotating Disk Reactor for the cultivation of**
2 *Staphylococcus epidermidis* biofilm

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5 Running title: A modified RDR for *S. epidermidis* biofilm cultivation
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23 **Abstract**

24 *Aims:* The purpose of this study was to develop a system that would allow biofilms to be
25 cultivated under strictly defined conditions in terms of dissolved oxygen, fluid shear and
26 to assess if the method was suitable for detection of respiratory activity stratification in
27 biofilm samples.

28 *Methods:* The system is a modified version a commercially available laboratory biofilm
29 reactor and incorporates a number of features such as provision of defined levels of
30 dissolved oxygen, constant average shear, enhanced gas-liquid mass transfer, aseptic
31 operation and the ability to remove biofilm for ex-situ analysis during or after continuous
32 cultivation.

33 *Conclusions:* The system was shown to be effective for the characterisation of the effects
34 of dissolved oxygen on a pure culture of *Staphylococcus epidermidis*. The versatility of
35 the system offers the potential for cultivating pure culture biofilm in defined, controlled
36 conditions and facilitates a range of analyses that can be performed ex situ.

37 *Significant and impact of study:* The ability to provide strict regulation of environmental
38 conditions and enhanced transfer of oxygen to the biofilm during cultivation are
39 important, firstly because oxygen is known to regulate biofilm development in several
40 microorganisms and secondly because many conventional biofilm cultivation systems
41 may not provide adequate oxygen supply to the biofilm.

42 **Keywords:** biofilm, reactor, cultivation, oxygen, sensor, antibiotics, shear,
43 *Staphylococcus epidermidis*.

44

45 **Introduction**

46 The interaction between a biofilm and the environment in which it is grown is not
47 completely understood. The biofilm mode of growth is believed to be a form of survival,
48 both in an environmental or controlled laboratory setting (Donlan and Costerton, 2002).
49 From the surface of skin to a potentially anaerobic environment in the depths of an
50 artificial implant, the bacteria that constitute a biofilm encounters a wide range of oxygen
51 levels (Rowlinson *et al.*, 2006). The majority of investigations into the influence of
52 controlled oxygen levels on growth and antibiotic susceptibility of a biofilm have focused
53 on *Pseudomonas aeruginosa* (Borriello *et al.*, 2004; Fonseca and Sousa, 2007). In
54 *Staphylococcus epidermidis* biofilm, oxygen gradients correspond to DNA synthesis
55 activity (Rani *et al.*, 2007), and gradients in nutrient concentration have been identified as
56 a factor in the increased susceptibility to antibiotics (Zheng and Stewart, 2002).
57 Difficulty in eradication of biofilm infection using common therapeutic means is well
58 documented (Stewart and Costerton, 2001), but due to a lack of tight environmental
59 regulation in many *in vitro* systems, the influence of oxygen gradients on biofilm
60 development and antibiotic susceptibility are unclear.

61 One obstacle in controlling the formation and development of a biofilm is the lack of a
62 fundamental understanding of mechanisms associated with the formation and effects of
63 physiological heterogeneity. Local micro-environmental conditions in the biofilm play an
64 important role in the behaviour of the biofilm by determining not only reaction rates but
65 also through induction of biological responses to sub-optimal conditions. Spatial physico-
66 chemical gradients determine local conditions in biofilms and, therefore, physiological
67 heterogeneity is inevitable. It is generally accepted that the existence of physiological

68 heterogeneity in biofilms arises mainly as a consequence of nutrient gradients formed by
69 the reaction-diffusion mechanism (Stewart, 2002; Werner *et al.*, 2004). Due to its low
70 solubility, oxygen is frequently the limiting nutrient in biofilms. Studies have shown that
71 oxygen limitation and consequent low metabolic activity in the interior of the biofilm are
72 correlated with antibiotic tolerance in *Pseudomonas aeruginosa* (Walters *et al.*, 2003;
73 Rani *et al.*, 2007).

74 This work initially sought to characterise a biofilm reactor, known as the rotating disk
75 reactor (RDR), commercially available from Biosurface Technologies Corp. (Bozeman,
76 MO, USA), as a means to investigate the effect of oxygen on the growth and antibiotic
77 susceptibility of *S. epidermidis* biofilm. A number of other lab based systems have been
78 used to cultivate *S. epidermidis* biofilm, such as the CDC reactor (Del Pozo *et al.*, 2009)
79 and glass flow cells (Rani *et al.*, 2005). The commercial RDR has been used for
80 monoculture biofilms, as shown in the case of *P. aeruginosa* (Hentzer *et al.*, 2001), but it
81 can also be utilized for mixed culture samples (Zelver *et al.*, 2001). Subsequently, the
82 RDR was developed as an American Society for Testing Materials (ASTM) Standard
83 Method (Designation E2196), for quantification of *P. aeruginosa* biofilm grown in shear
84 and continuous conditions. Table 1 summarizes details of published studies where the
85 commercial RDR was used to produce biofilm. A second phase of this work was to
86 develop and characterise a modified RDR system which was designed to provide
87 improved gas-liquid mass transfer and mixing. The primary objective of this part of the
88 study was to investigate if the modified RDR would allow biofilms to be cultivated under
89 strictly defined conditions in terms of oxygen levels, fluid shear and aseptic operation,

90 and to assess if the method was suitable for detection of respiratory activity stratification
91 in the biofilm.

92

93 **Materials and Methods**

94 **Bacterial strains and media**

95 *Staphylococcus epidermidis* 1457 (Mack *et al.*, 1992), a known strongly adherent biofilm
96 producing strain, was stored in Protect beads at -80°C, and revived in 50ml brain heart
97 infusion (BHI, Oxoid, U.K.) broth overnight in an incubated orbital shaker at 37°C and
98 150 rpm without aeration. This strain was a kind gift from Dietrich Mack to the O’Gara
99 laboratory.

100

101 **The Rotating Disk biofilm Reactor (RDR)**

102 Both the commercial rotating-disk reactor (designated as cRDR) and a modified rotating-
103 disk reactor (mRDR) were used in this study. The cRDR; (Biosurface Technologies Corp,
104 MT, USA), consists of a 1-litre glass vessel with a waste outlet located one-quarter of the
105 height (~40 mm) from the bottom of the reactor. This provides a working volume of
106 approximately 180 ml when the disk is in place and rotating, though this reduces with
107 increasing speeds of rotation due to vortex formation. The lid has an inoculation port and
108 three ports for liquid medium or gas input. The RDR can be operated in batch or
109 continuous flow and the velocity field under which the biofilm is formed is adjustable by
110 means of the magnetically driven rotating disk (diameter 70 mm), which sits at the
111 bottom of the reactor vessel. All rotational speeds reported were verified using a
112 tachometer prior to initiation of operation. Rotation of the disk provides continuous

113 mixing in the system. The disk holds six coupons in place (diameter 12.7 mm), which
114 are inserted prior to autoclaving. In these experiments polycarbonate coupons were used.
115 The disk is removed by a sterile hook when the biofilm is to be analysed. The entire
116 system, without the peristaltic pump, was autoclaved prior to use at 121°C for 15 min.
117 The reactor and feed were placed in an incubator at 37°C.

118

119 **Modified RDR (mRDR)**

120 The commercial RDR was modified to facilitate input of gas directly into the liquid
121 media. A stainless steel sparger (inner diameter 4mm, outer diameter 7mm) extended the
122 gas input port into the liquid, allowing gas to be sparged directly into the liquid. The
123 sparger was widened at the bottom (to 15 mm, for the bottom 19 mm of the pipe) to
124 simultaneously act as a baffle in the system, reducing the vortex formed when the disk
125 rotates. The lengths of the sparger were specified so that if gas was input through it,
126 bubbles would not interfere with the rotation of, or scour any biofilm adhering to, the
127 surface of the disk. The mRDR and cRDR are shown schematically in fig. 1.

128

129 **Cultivation procedure applied to both cRDR and mRDR**

130 The reactors were filled with BHI (Oxoid, Basingstoke, Hampshire, UK) and sterilized by
131 autoclaving and subsequently placed in an incubator at 37°C ± 1°C. 150 ml of liquid
132 BHI was used for the cRDR reactors, with 180ml used for mRDRs. The difference in
133 this starting volume relates to the influence the vortex has on the liquid volume in the
134 reactor, and is explained in the discussion section. A 1% (v/v) solution of an overnight
135 culture (adjusted to $A_{660} = 1.0$) was used to inoculate each reactor. This concentration of

136 cells equated to $\sim 10^5$ CFU ml⁻¹. For continuous operation, quarter-strength BHI was drip
137 fed from the lid into the reactor. Using a small modification to the tubing on the waste
138 line, samples of planktonic cells were removed for quantification over time. Samples
139 were taken throughout a 48 h run, with offline optical density measurements at 660nm
140 (O.D. _{660nm}) and colony forming units assessed at each time point.

141 Gas of known oxygen concentrations were sparged into mRDR. Nitrogen and air (Air
142 Products, Dublin) were blended in a gas mixing station, with oxygen concentration
143 verification performed offline using a gas analyser prior to the commencement of every
144 run. Gas was sparged at 0.5 L min⁻¹ throughout all runs. Concentrations of 0%, 7%, 14%
145 and 21% oxygen were examined for their influence on biofilm formation. Most
146 comparisons were performed between the 0% and 21% oxygen sparged biofilms.

147

148 **Monitoring of Dissolved Oxygen**

149 As a means of assessing oxygen utilization in the modified reactor by *S. epidermidis*
150 1457, fluorometric oxygen sensor spots (PreSens GmbH, Regensburg, Germany) were
151 used to record the dissolved oxygen levels within the RDR during experiments where the
152 sparged gas contained 0 and 21% oxygen. The sensor spots (Puskeiler *et al.*, 2005) were
153 adhered to the inner wall of the RDR between the air-liquid interface and the disk using a
154 minimal amount of silicone rubber compound 692–542 (RS Components, Corby, U.K.),
155 and transmitted readings to a detector outside of the reactor. This method to measure
156 oxygen via dynamic fluorescence quenching allows in situ measurement of dissolved
157 oxygen concentrations in aqueous solutions. The spots, which have an area of 28 mm²,
158 are designed to operate through glass or any transparent substance, and were sterilised in

159 place as the reactor was autoclaved. The accuracy of the spots is $\pm 0.4\%$ O₂ at 20.9% O₂
160 and $\pm 0.05\%$ O₂ at 0.2% O₂ (Liebsch *et al.*, 2000). The range of detection is 0- 45 mg L⁻¹.
161

162 **Volumetric mass transfer calculations for the cRDR and mRDR**

163 To calculate a value for the volumetric mass transfer in the cRDR and mRDR, nitrogen
164 was pumped into each reactor under normal operating conditions (37°C) using sterilised
165 H₂O as media and without bacterial inoculation. If a near saturated liquid mass is
166 contacted with an oxygen-free gas e.g., pure nitrogen, then desorption will occur with
167 oxygen transferring from the oxygen rich liquid to the sparging gas. An oxygen balance
168 on the liquid for a short time dt yields;

$$169 \quad -d(VC) = k_1 a VC dt$$

170 where a refers to the interfacial area per unit volume of liquid. Integration of the above
171 equation between time $t = 0$ and time $t = t$, when the oxygen concentrations are C_o (often
172 zero) and $C(t)$ respectively, yields,

173

$$174 \quad \ln \frac{C_o}{C(t)} = k_1 a t$$

175 a semi-log plot will yield a value for $k_1 a$.

176

177 **Quantification of biofilm**

178 The variation of biofilm CFUs adhering to coupons in the same reactor, and coupons in
179 different reactors under identical conditions, were assessed. Six coupon spaces within
180 one rotating disk ensures that the repeatability of biofilm formation within one reactor
181 can be easily analysed. To compare biofilm formation under the different reactors, each

182 type of RDR was run in one incubator with the same inoculum, media and disk rotational
183 speed.

184 To quantify biofilm adherence, coupons from two cRDRs and two mRDRs, thus 12
185 coupons from each type of reactor, were removed from the reactor, washed and placed in
186 9 ml sterile Ringers (Hall-Stoodley and Lappin-Scott, 1998). For the sonication method,
187 the coupons in Ringers were vortexed for 5 min, sonicated for 2 min and then vortexed
188 again for 2 min. The sonication was designed to lift the biofilm from the surface of the
189 coupon, and the vortexing was optimised to provide maximum disruption of the
190 aggregates to create a uniform solution which could be serially diluted and plated on
191 nutrient agar to assess the colony forming units. Plates were incubated at 37°C for 24 h.
192 Statistical comparisons were performed using the Student's t-test, and CFU calculations
193 according to Zilver *et al.* (1999).

194

195 **Influence of Disk rotational speed on biofilm formation**

196 Modifying the operation of a cRDR to produce biofilm under different shear conditions
197 relies on the interaction between the disk and the magnetic stirrer. Three rotational speed
198 settings were examined for *S. epidermidis* biofilm formation: low (100 rpm) medium
199 (150 rpm) and high (200 rpm) settings. The Reynolds numbers were 8,167, 12,250,
200 16,333 for the rotational speeds 100, 150 and 200 rpm respectively. All rotational speeds
201 were verified prior to inoculation using a tachometer (Tm-3011 tachometer; Veeder Root,
202 Richmond, U.K.). Experiments where the rotational speed was above 200 rpm are not
203 reported because the unstable nature of the disk rotation over an extended period of time,
204 such as 24 h, frequently led to the disk stopping before the conclusion of operation. The

205 interaction between the magnetic stirrer and the disk is a limitation of this system, which
206 requires careful consideration prior to selecting a rotational speed.

207

208 **Antibiotic susceptibility of *S. epidermidis* biofilm grown under different oxygen** 209 **concentrations**

210 In these experiments rifampicin treatment of biofilm samples were analysed for log
211 reduction in CFU counts after 24 h of treatment. Samples were removed from the RDR
212 after 48 h growth in different sparged oxygen conditions and treated with $2 \mu\text{g ml}^{-1}$, $1 \mu\text{g}$
213 ml^{-1} and $0.5 \mu\text{g ml}^{-1}$ for 24 h at 37°C . These experiments were performed to investigate
214 if the sparged oxygen concentration in which the biofilm was cultivated had an influence
215 on the antibiotic susceptibility of the cells.

216

217 **Application of fluorescent stains**

218 For mRDR coupons, biofilms were washed three times in Ringers to remove any
219 planktonic cells. Samples were stained with 5-cyano-2, 3-ditoyl tetrazolium chloride
220 (CTC), a tetrazolium salt which is reduced to a fluorescent derivative indicating redox
221 activity in a biofilm. It has previously been used as an indicator of respiratory activity in
222 bacteria (Rabinovitch and Stewart, 2006), and a final concentration of 0.04% in deionised
223 autoclaved water was applied directly to the coupon, prior to incubation at 37°C for 1 h
224 in the dark (Yu and McFetters, 1994). Samples were dipped in sterile ringers after this
225 time. For this method, as with all the analysis methods used in these experiments, the
226 biofilms adhered to the coupons were sacrificed and were not used for other analyses.
227 These experiments were only performed on mRDR biofilms as the oxygen levels in

228 which the biofilm was cultured was known, rather than in the cRDR where no attempt is
229 made to control the environment.

230

231 **Cryoembedding biofilms**

232 To prevent damage occurring to a sample exposed to freezing conditions, biofilms were
233 embedded in a protective medium (O.C.T. Tissue-tek embedding compound, Sakura, The
234 Netherlands) for 10 min after staining. This allows the O.C.T. time for complete
235 penetration of the biofilm and maximum protection. For coupon samples the embedded
236 biofilm is placed into a chamber of containing n-hexane surrounded by liquid carbon
237 dioxide for 10 min according to the procedure outlined by Fox *et al.* (1995). Biofilms
238 were stored at -80°C prior to sectioning. Biofilms were stored in triplicate for each of the
239 subsequent analyses.

240 **Cryosectioning**

241 Cryosectioning was performed at -23°C (Yu *et al.*, 1994) in a Microm HM500 (Microm,
242 Walldorf, Germany). For biofilms attached to coupons, the substratum was removed
243 prior to the biofilm being mounted on the slicing stage. The samples were positioned to
244 enable a cross section of the biofilm to be taken. Slices of 5µm were cut and stored on
245 Poly-L-Lysine coated slides prior to counterstaining with 100 µg ml⁻¹ of 4', 6-diamidino-
246 2-phenylindole (DAPI) in the dark for 3 min. Poly-L-Lysine is used to create an
247 attraction between the slides and the sample, and in this method DAPI is a counterstain to
248 the previously used CTC, which indicates areas of respiratory activity only. Slides were
249 viewed using an Olympus BX51 fluorescent microscope (Olympus, Japan) at 40x
250 magnification. Fluorescent images were overlaid using Adobe Photoshop (Adobe

251 Systems, CA, U.S.A.), and thickness measurements were calculated using Able Image
252 Analyser (Mu Labs, Slovenia).

253

254 **Results**

255 **Influence of Disk Rotational speed on biofilm formation**

256 Three rotational speed settings were examined for *S. epidermidis* biofilm formation in the
257 commercial RDR, with an inversely proportional relationship identified between
258 rotational speed and biofilm quantity. The greatest biofilm accumulation occurred at the
259 lowest speed examined, 100 rpm, where 9.16 ± 0.2 log CFU cm⁻² of biofilm adhered to
260 the coupons. Experiments at 150 rpm gave 8.05 ± 0.31 log CFU cm⁻² biofilm and 200
261 rpm gave 7.66 ± 0.35 log CFU cm⁻². Fonseca and Sousa (2007) noted that shear had a
262 negative effect on biofilm formation in *P. aeruginosa*, which correlates with our results.
263 For the purposes of maintaining consistency in subsequent investigations, 200 rpm was
264 chosen as the rotational speed of the disk. Although the exact value of shear encountered
265 in *in vivo* biofilms is unknown it is known that biofilms in, for example, artificial
266 implants do not necessary encounter static conditions (Costerton *et al.*, 2007).

267

268 **Biofilm formation in anaerobic atmospheric conditions**

269 To examine the influence anaerobic conditions have on *S. epidermidis* biofilm formation,
270 filtered nitrogen gas (Air Products, Dublin) was pumped into the headspace of the cRDR
271 at 0.5 L ml⁻¹ for the duration of a 24 h batch run. Biofilm formed in greater amounts with
272 nitrogen pumped into the headspace, with 8.31 ± 0.19 log CFU cm⁻² biofilm forming on
273 coupons in anaerobic conditions compared to 7.65 ± 0.14 log CFU cm⁻² biofilm in non-

274 aerated conditions. This is a statistically significant difference in biofilm formation on
275 the coupons in terms of culturable cells ($p < 0.05$). Biofilm formation occurred at the
276 interface between the disk, liquid and headspace (not shown), and this accumulation was
277 a factor in the construction of the sparger with a widened base to alter the hydrodynamic
278 conditions and reduce the size of the vortex formed by the rotation of the disk.

279

280 **Biofilm formation under various sparged oxygen conditions in the mRDR**

281 To quantify planktonic cell growth, samples were taken throughout a 48 h run and
282 analysed for O.D. and viable cells. Fig. 2A shows planktonic growth for a nitrogen
283 sparged system, and Fig. 2B for a 21% oxygen sparged system. The CFU counts for both
284 are similar, particularly when the oxygen is consumed in the 21% sparged oxygen
285 reactors (fig. 2B), but the optical density of the planktonic liquid is greater for the 21%
286 oxygen reactors. In a dynamic system such as the modified RDR, attachment and
287 reattachment of biofilm is expected to be ongoing throughout the duration of a run, but
288 with biofilm formation greater under anaerobic conditions, this may explain why the
289 O.D. in nitrogen sparged conditions is so much lower than in 21% oxygen conditions.

290

291 **Oxygen mass transfer rate measurements**

292 Using the sensor spots to assess dissolved oxygen in the reactor under abiotic conditions,
293 calculations of the volumetric transfer of oxygen under different rotational speeds were
294 performed for the cRDR and mRDR (Table 2). The modifications to input gas directly
295 into the media in the mRDR have a considerable effect on the transfer of oxygen into the

296 media compared to the cRDR, with a 10 fold difference in volumetric mass transfer at
297 200 rpm.

298

299 **Dissolved oxygen concentration in liquid**

300 Sensor spots on the inner wall of the modified reactor were positioned to give direct
301 readings of dissolved oxygen from the liquid medium. Fig. 3 displays the profiles for
302 0%, 21% and 100% sparged oxygen. Profiles are shown up to 24 h, and are the mean of
303 two independent runs. Oxygen concentration at saturation was ~38 mg/L. The dissolved
304 oxygen for the 0% sparged oxygen drops below detection within 30 min, and the 21%
305 sparged oxygen drops below detection after 4.5 h.

306 To examine the extent of oxygen limitation in the system, 100% oxygen was sparged into
307 the reactor. The profile shows that despite the use of pure oxygen in the gas supplied and
308 the enhanced rate of oxygen supply in the mRDR, the demand for oxygen exceeded the
309 rate of supply under these conditions, and the dissolved oxygen drops below detection
310 after 7 h. From 16 h onwards, as the growth rate of the *S. epidermidis* cells begins to
311 reduce and they enter stationary phase where they consume less oxygen, the dissolved
312 oxygen profile begins to rise to ~35 mg L⁻¹ again when oxygen demand diminishes.

313 **Cryoslicing of biofilms cultivated under different oxygen concentrations**

314 Biofilms stained with CTC and DAPI were examined at 40X magnification. Biofilms
315 cultured in oxygen concentrations of 0%, 7% and 21% are displayed in Fig. 4. Thickness
316 measurements are included in Fig. 5. Overall coverage of the coupon surface with
317 biofilm cultivated in 0% oxygen was greater than biofilm grown in 21% oxygen, and a
318 statistical difference is noted ($p < 0.05$). Similar results were reported for biofilm viable

319 cell counts under these conditions when assessed by colony forming units after the
320 biofilm was removed from the surface of the coupon. These images were acquired with
321 the intention of investigating if gradients in respiratory activity occurred between the
322 biofilms grown in different oxygen conditions. To perform this, any biofilm that could
323 be sliced from the surface of the coupon was used, and thus these pictures give a narrow
324 field of view on the entire biofilm forming on the coupon. However, from what is
325 displayed in these photos, activity gradients are not apparent, with CTC evenly
326 distributed throughout the image.

327

328 **Antibiotic Susceptibility of biofilm cultivated under different oxygen concentrations**

329 The mRDR can be utilised as a reactor for producing monoculture biofilm, under
330 different oxygen concentrations, for susceptibility testing and thickness measurements.
331 In these experiments rifampicin treatment of biofilm samples grown in the RDR for 48 h
332 in different sparged oxygen conditions were analysed for CFU log reduction after 24 h of
333 treatment. All concentrations of rifampicin tested showed a reduction in viable cells and
334 a reduction in thickness. For the highest concentration of rifampicin tested ($2 \mu\text{g ml}^{-1}$),
335 biofilm Log CFU cm^{-2} counts in 0% sparged oxygen conditions fell from 8.9 prior to
336 treatment to 7.56 post treatment. In 21% sparged oxygen biofilm samples, Log CFU cm^{-2}
337 counts fell from 8.57 prior to treatment to 7.02 post treatment.

338

339

340

341 **Discussion**

342

343 **Commercial Rotating Disk Reactor (cRDR)**

344 The majority of biofilm reactors available are designed for either reproduction of biofilm,
345 or for visualising the adherence of cells (McClellan *et al.*, 2004). The RDR is a novel
346 system, and is shown here to be suitable for producing monoculture staphylococcal
347 biofilm for a variety of investigations. Like other commercial bioreactors, the RDR can
348 be used for a variety of purposes, and with the additional applications of cryoembedding
349 and cryoslicing, can produce six biofilm samples adhered to removable coupons that can
350 be quantified or sectioned for microscopy. The RDR can also be used to harvest cells for
351 RNA extraction, which can enable detailed analysis of genetic changes in biofilms in
352 response to imposed environmental conditions as discussed previously (Cotter *et al.*,
353 2009). For this work the authors found the RDR easier to modify than the CDC reactor,
354 and preferable to glass flow cells as biofilm was easier to extract and quantify.

355 The RDR is completely autoclavable and therefore allows for cultivation of pure culture
356 biofilms on easily removable coupons for ex situ analysis. To enable assessment of
357 viable cells, biofilm was removed by sonication. The design of the RDR disk, which
358 holds the coupons on place, allows contact between the nutrient media and both sides of
359 the coupon. Scraping both the top and bottom of coupons showed no increase in biofilm
360 CFUs compared to scraping the top only, and we conclude that the biofilm forming on
361 the bottom of the coupons is minimal. This may be due to the design of the disk, in
362 which the bottom of the coupon is exposed to the media, but is not flush with the bottom
363 of the rotating disk. This may play a role in reducing the biofilm formation on the

364 underside of the coupon. This discovery resulted in only the top surface of the coupon
365 being taken into consideration in quantifying biofilm CFU cm⁻².

366 Pumping nitrogen gas into the headspace of a cRDR showed greater biofilm adherence at
367 the interface between the vortex and the disk. A thick, wide band of biofilm that
368 accumulated at this intersection (not shown) is accounted for by the sideways rocking
369 motion that the disk experiences when it rotates at higher speeds. This accumulation
370 band did not correspond with the positioning of the coupon surfaces, and had no bearing
371 on the CFU counts reported.

372 Comparison of batch and continuous RDR operations must take into account the change
373 in liquid volume due to the influence of the vortex. The positioning of the effluent port
374 (fig. 1), which is closed for batch operations, can become a factor with a larger vortex. In
375 continuous operations the feed volume that needs to be added prior to the liquid volume
376 reaching the height of the effluent port, thus creating continuous conditions, will vary
377 depending on the speed of the disks rotation. This speed differential dictates the height
378 reached by the vortex, and is an important consideration for future work using this
379 reactor.

380 The rotational speed of the disk had little observed effect on the volumetric mass transfer
381 coefficients of oxygen (k_La) values in the cRDR as increasing the rotational speed of the
382 disk does not alter the size of the interface between the vortex and reactor headspace. In
383 contrast, there is a significant effect of rotational speed on k_La values in the mRDR where
384 the sparger acts as a baffle and the mixing is enhanced. Rotational speed also influences
385 shear at the liquid biofilm interface. The effect of shear on biofilm structure is well
386 documented in different systems (Stoodley et al., 1999a, 1999b; Tsai, 2005). Although

387 the quantification of biofilm structure was not an objective of the present work, the RDR
388 can be expected to be a suitable system for the study of the effect of shear on biofilm
389 structure. Greater rotational speed increases oxygen transfer rate and reduces biofilm
390 formation; these results correlate with previously published data identifying that higher
391 oxygen levels reduce biofilm formation via repression of the *icaADBC* operon in *S.*
392 *epidermidis*, which controls production of the exopolysaccharide PIA (Cotter *et al.*,
393 2009). However, choice of rotational speed in laboratory rotating disk reactors should be
394 selected based on other practical constraints such as the prevention of an excessive vortex
395 which may result in hydrodynamic conditions adjacent to the biofilm resulting in possible
396 detachment.

397

398 **Modified Rotating Disk Reactor (mRDR)**

399 The modifications to the RDR methodology used a constant stream of blended gas
400 through a sparger to accurately control oxygen concentrations within the reactor and
401 media. An important advantage of the RDR is that, unlike flow cells, the hydrodynamic
402 conditions in the bulk liquid surrounding the biofilm do not vary significantly during the
403 course of biofilm development. The presence of the sparger caused the vortex to migrate
404 to the free surface of the media, and though a shallow vortex was still present, it had no
405 interaction with the surface of the disk. With the vortex all but eliminated, the difference
406 in the liquid volume between batch and continuous operations was also negligible, with a
407 volume of 180 ml remaining constant after the initiation of feed. The sparger was
408 designed to add oxygen to the liquid at a higher rate than in the cRDR, moreover it served
409 to eliminate this vortex-disk interaction and distribute the biofilm more evenly across the

410 surface of the disk. Direct sparging into the media increased the mass transfer of the gas
411 into the liquid by 10 fold compared to the cRDR, and biofilm CFUs were increased
412 further when nitrogen was sparged into mRDR compared to the headspace being pumped
413 with nitrogen in the cRDR. Results in table 2 show a moderate increase in the k_{La} with
414 increased rotational speed in the cRDR, as the vortex size determines the rate of oxygen
415 transfer from the headspace into the liquid. In contrast, increased rotational speed has a
416 significant positive effect on mass transfer in the mRDR where the k_{La} is determined
417 primarily by the dispersion of bubbles from the sparged gas. Thus, the potential for strict
418 regulation of environmental conditions and better mass transfer of oxygen in the mRDR
419 indicate the obvious advantages in using this reactor for the purposes of growing biofilm
420 under defined conditions. When comparing the mRDR k_{La} values with a stirred tank
421 bioreactor, the deficiency in mixing within the mRDR is obvious. But for overall
422 convenience in extracting biofilm for a range of purposes, the system is an important
423 option for *in vitro* studies and could be used in future for similar aerobic and anaerobic
424 investigations using a range of medically or environmentally relevant bacteria. The cells
425 adhering to the coupons still undergo the same shear forces irrespective of the action of
426 the sparger.

427 The most important and commonly used coupon-based biofilm production system
428 alternative to the RDR is the CDC reactor, also commercially available from Biosurface
429 Technologies (Bozeman, Montana, USA). The CDC reactor incorporates 18 coupons
430 which sit into six removable rods (Williams and Bloebaum, 2010). The input of sparged
431 gas is not an option. In the CDC reactor the coupons are static, with a stirrer used to
432 create shear on the surface of the coupons. This lack of environmental control and

433 difference in coupon quantity are some of few differences between the reactors, as both
434 systems are capable of being used to produce monoculture biofilm adhered to coupons
435 for a variety of analyses.

436

437 **Dissolved Oxygen readings from the RDR**

438 Dissolved oxygen in a biofilm system was measured by non-invasive sensor spots, which
439 have, to our knowledge, not previously been used in biofilm reactors. The sensor spots
440 have previously been used in shake flask cultures for both microbial and mammalian cell
441 culture (Puskeiler *et al.*, 2005; Naciri *et al.*, 2008). There is a large potential for use in
442 quantifying dissolved oxygen in glass biofilm reactors, as our investigations focusing on
443 biofilm formation on the surface of the spots, which could interfere with the fluorescent
444 quenching system, showed that cell adherence was not at a significant level to affect the
445 readings. Cells did adhere to the spots, but the level of adherence was significantly lower
446 than the quantity of biofilm adhering to the coupons on the rotating disk. Dissolved
447 oxygen measurement in the nutrient media in the mRDR demonstrated the poor mixing in
448 the reactor, and the low oxygen transfer rate that still prevailed despite sparging with gas.
449 Dissolved oxygen profiles were created with pure oxygen sparged into the reactor. Even
450 at this high concentration of oxygen, and high flow rate, complete utilisation of oxygen
451 by the *S. epidermidis* cells occurs. It is assumed that these low levels of dissolved
452 oxygen are likely to create an oxygen limited environment, which alters the biofilms
453 susceptibility to antibiotic attack (Borriello *et al.*, 2004). The lack of axial flow in the
454 system may be a reason for the profiles that tend towards 0 mg L⁻¹. The possibility of
455 introducing a second sparger and further gas to increase the levels of dissolved oxygen in

456 the media were hampered by the foaming that occurred when gas flow rates greater than
457 0.5 L min⁻¹ were used. This may be related to the size of the bubbles of gas sparged into
458 the reactor. Smaller bubbles would enable greater oxygen transfer into the liquid, but
459 overall it must be concluded that the mRDR significantly increased the amount of oxygen
460 that could be transferred into the liquid when compared to the cRDR.

461 Though the 0% and 21% sparged oxygen profiles look identical from 7 h onwards, it is
462 important to note that there is a difference in the two conditions; cultures sparged with
463 0% oxygen are forced to grow anaerobically, whereas cultures sparged with air consume
464 the amount of oxygen at the rate supplied. The sparged oxygen concentration results in
465 the difference in biofilm accumulation, where a statistical difference was previously
466 noted between the 0% and 21% sparged oxygen concentrations (Cotter *et al.*, 2009)

467 The majority of staphylococcal strains that form biofilm are facultative anaerobes, though
468 some strict anaerobes have been isolated (Rowlinson *et al.*, 2006). Oxygen conditions
469 vary *in vivo*, and differences in the response of staphylococci are noted, particularly in
470 regard to their production of extracellular polysaccharides (McKenney *et al.*, 1999).
471 Expression of PIA, a key extracellular polysaccharide produced by Staphylococci,
472 increases under anaerobic conditions (Cramton *et al.*, 2001), as oxygen alters the
473 physiology of the cells. These factors must be considered when attempting to understand
474 the utilisation of available oxygen by planktonic cells and the switch to a biofilm
475 phenotype in aerobic and anaerobic conditions.

476

477 **Analysis of biofilm thickness**

478 The influence of oxygen on biofilm formation, quantified by adherence of viable cells,
479 translated well in thickness measurements of samples that were cryosectioned and viewed
480 at 40X. Data from the slices showed a statistical difference between the 0% and 21%
481 sparged oxygen biofilms, the same conditions that showed a difference in the CFU
482 counts. The thickness data tested may not be as statistically convincingly as the
483 corresponding CFU data. The resultant images are of such a small area that comparisons
484 in thickness measurements, which are taken directly from these images, may not
485 accurately reflect the differences in biofilm coverage on the coupon. However, from the
486 small area imaged the difference in viable cells does translate to a thickness difference.

487 Gradients of respiratory activity in the biofilm samples were not visible using CTC and
488 DAPI in combination, however these stains have previously been proven to highlight
489 gradients where present in biofilm systems (Heffernan *et al.*, 2009). There is no
490 fundamental reason why the RDR could not be used to view gradients in oxygen or
491 nutrients in a biofilm, if present, provided the correct staining methods are employed.
492 This could result in the RDR coupon system being a convenient way of examining
493 biofilms for gradients prior to removing the entire biofilm for mRNA extraction. It has
494 been shown that zones of DNA synthetic activity and oxygen respiration correspond in *S.*
495 *epidermidis* biofilms (Rani *et al.*, 2007), which could lead to differing mRNA expression
496 levels depending on the where in the biofilm the cells originated from. If no gradients are
497 detected, such as in this case where homogeneity in respiratory activity is present, it
498 eliminates the weakness of using whole biofilm populations to execute mRNA expression
499 profiling.

500

501 **Antibiotic susceptibility**

502 Biofilms of *S. epidermidis* cultivated on mRDR coupons were analysed for susceptibility
503 to rifampicin. At the highest concentration of rifampicin tested ($2 \mu\text{g ml}^{-1}$) a large
504 quantity of biofilm remained, and the antibiotic failed to eradicate ~84% of the viable
505 cells in both the 0% and 21% sparged oxygen biofilm samples. The statistically
506 insignificant biofilm susceptibility ($p = 0.18$ by performed by a two way analysis of
507 variance (ANOVA)) under different oxygen concentrations measured here is consistent
508 with the data of Norden and Shaffer (1983), who reported that minimum bactericidal
509 concentrations of rifampicin challenging *S. aureus* were identical under aerobic and
510 anaerobic conditions. Zheng and Stewart (2004) found similar results, but both studies
511 concentrated on the atmospheric oxygen conditions that the rifampicin treatment was
512 performed in. This is the first time biofilm cultured in conditions where the sparged
513 oxygen into the media is controlled. The biofilm is cultivated in a defined sparged
514 oxygen concentration, and rifampicin treatment also takes place in the same oxygen
515 conditions.

516 The method of antibiotic treatment of biofilm involved washing the antibiotic from the
517 coupon prior to slicing or quantification of viable cells. For the subsequent thickness
518 measurements taken from cryosectioned slices, it is hypothesised that this essential
519 washing step may have removed the dead cells on the top layer of the biofilm, which may
520 account for the erratic nature of the thickness measurements, which show no statistical
521 differences.

522 The potential use of additional fluorescent markers prior to cryoslicing, or susceptibility
523 testing with different or combinations of antibiotics, enable further analysis to be
524 performed using biofilm cultivated on RDR coupons.

525

526 **Conclusion**

527 The commercial RDR is used to grow monoculture biofilm, and has been modified to
528 allow greater control of oxygen levels in the nutrient media. The addition of a sparger,
529 which allowed greater mass transfer of oxygen into the media, also enforced a baffle
530 effect on the system which reduced the vortex caused by the rotation of the disk. Results
531 from the mRDR showed oxygen concentration plays an important role in the quantity of
532 *S. epidermidis* biofilm forming on the removable coupons.

533 The versatility of the RDR and the modifications made to it in this work identify it as a
534 reactor with potential for cultivating pure culture biofilm in defined, controlled
535 conditions. The range of ex-situ analysis described herein, including biofilm and
536 planktonic CFUs, with staining and cryoslicing of biofilm, are performed on biofilm
537 cultivated under defined oxygen concentrations, indicating the potential for the RDR to
538 become an important, commonly used biofilm reactor.

539 Limitations in the mRDR were observed when an increase in the gas flow rate above 0.5
540 L min⁻¹ resulted in foaming of the media, which could wet the inlet or outlet filter and
541 compromise the aseptic environment. Increased agitation speed also has an effect on
542 foaming, and the stability of the disk became compromised above 300 rpm.

543 Antibiotic susceptibility of biofilm cultivated in different oxygen concentrations was also
544 examined, with different concentrations of rifampicin. The concentration of oxygen

545 sparged into the growth media for the biofilm made no statistical difference to the
546 effectiveness of the antibiotic, concurring with previous results (Zheng and Stewart,
547 2004).

548 Modifications to the reactor greatly increase the oxygen transfer rate, and with evidence
549 of the influence that oxygen has on *S. epidermidis* biofilm formation, shows an important
550 improvement in the development of the rotating-disk system. Though higher oxygen
551 transfer rates are desirable, the mRDR facilitates a large range of analysis that can be
552 performed with biofilm grown on its removable coupons and is a potential solution for
553 growing monoculture biofilm under defined oxygen concentrations and performing a
554 multitude of quantification and image-based analysis.

555

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558

559

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- 717

718 **Figure legends**

719

720 1. Schematics of (a) commercial and (b) modified Rotating-Disk Reactor in
721 continuous mode. Dimensions are recorded in mm.

722 2. Planktonic cells of *S. epidermidis* 1457 taken from a modified RDR sparged with
723 (A) 0% oxygen and (B) 21% oxygen at a flow rate of 0.5 L h⁻¹. Quarter strength
724 media was supplied at the rate of 90 ml h⁻¹. Log CFU ml⁻¹ (●) and optical density
725 (660 nm) (○) are the mean of three independent reactors.

726 3. Dissolved oxygen profiles for *S. epidermidis* 1457 in the liquid phase grown in a
727 modified RDR sparged with different oxygen concentrations. Profiles are a mean
728 of two independent runs.

729 4. Overlaid fluorescent images of biofilm cryoslices grown in a modified RDR with
730 sparged gas of different oxygen concentrations. Slices of 5 μm thickness were
731 stained with CTC and DAPI, and imaged at 40X magnification. The coupon was
732 located at the bottom of these images before removal prior to slicing. Bar = 50
733 μm. (A) Biofilm from 0% oxygen environment. (B) Biofilm from 7% oxygen
734 environment. (C) Biofilm from 21% oxygen environment.

735 5. Mean thickness measurements from *S. epidermidis* 1457 biofilm grown in a
736 modified RDR under different sparged oxygen concentrations. Error bars are
737 standard error of the mean of two slices of three biofilms, measured 12 times for
738 thickness.

739

740

741

742 **Table legends**

743

744 1. Summary of some published studies where the commercial RDR was used to
745 produce biofilm.

746 2. Volumetric mass transfer coefficients in the mRDR and cRDR at different
747 rotational speeds.

748

749

750 **Table 1**

Organism	Operational Mode	RPM	Gas input	Source	Year
Mixed	Continuous	50	None	Khan <i>et al.</i>	2010
<i>P. aeruginosa</i>	Continuous	200	None	Garo <i>et al.</i>	2007
<i>P. aeruginosa</i>	Continuous	N/A	None	Banin <i>et al.</i>	2006
<i>P. aeruginosa</i>	Batch	N/A	None	Boles <i>et al.</i>	2004
<i>Streptococcus mutans</i>	Continuous	100	CO ₂ filled headspace	Vinogradov <i>et al.</i>	2004
<i>P. aeruginosa</i>	Continuous	N/A	None	Teitzel & Parsek	2003
<i>S. aureus</i>	Continuous	N/A	None	Yarwood <i>et al.</i>	2003
<i>P. aeruginosa</i>	Continuous	N/A	None	Singh <i>et al.</i>	2002
<i>P. aeruginosa</i>	Continuous	N/A	None	Hentzer <i>et al.</i>	2001
<i>P. aeruginosa</i>	Continuous	N/A	N/A	Zelver <i>et al.</i>	1999
Mixed	Continuous	N/A	N ₂ into headspace [†]	Zelver <i>et al.</i>	1999

751

752 *Note: In this paper the nitrogen is sparged into the bulk feed medium, creating a

753 positive pressure forcing the gas into the headspace of the reactor.

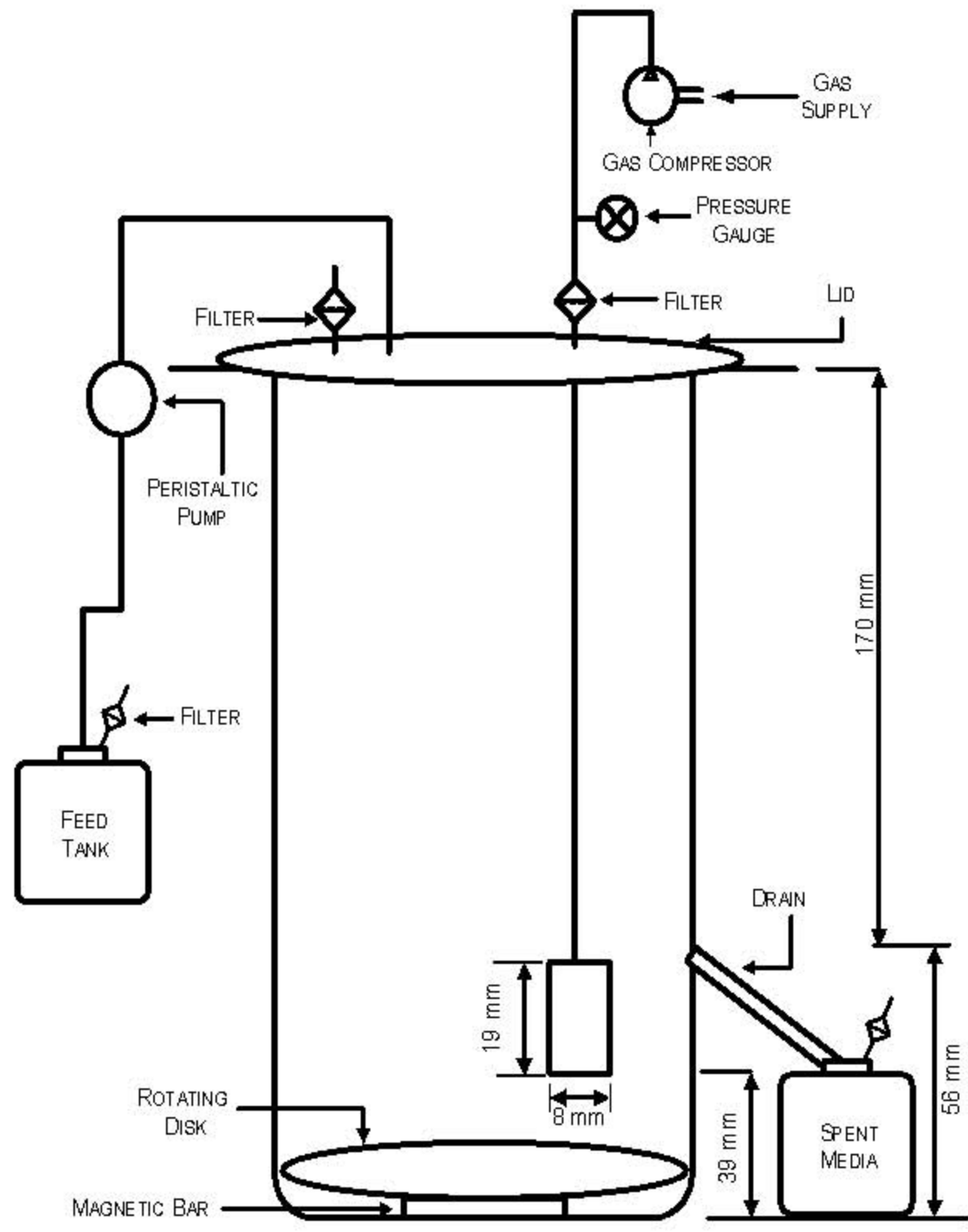
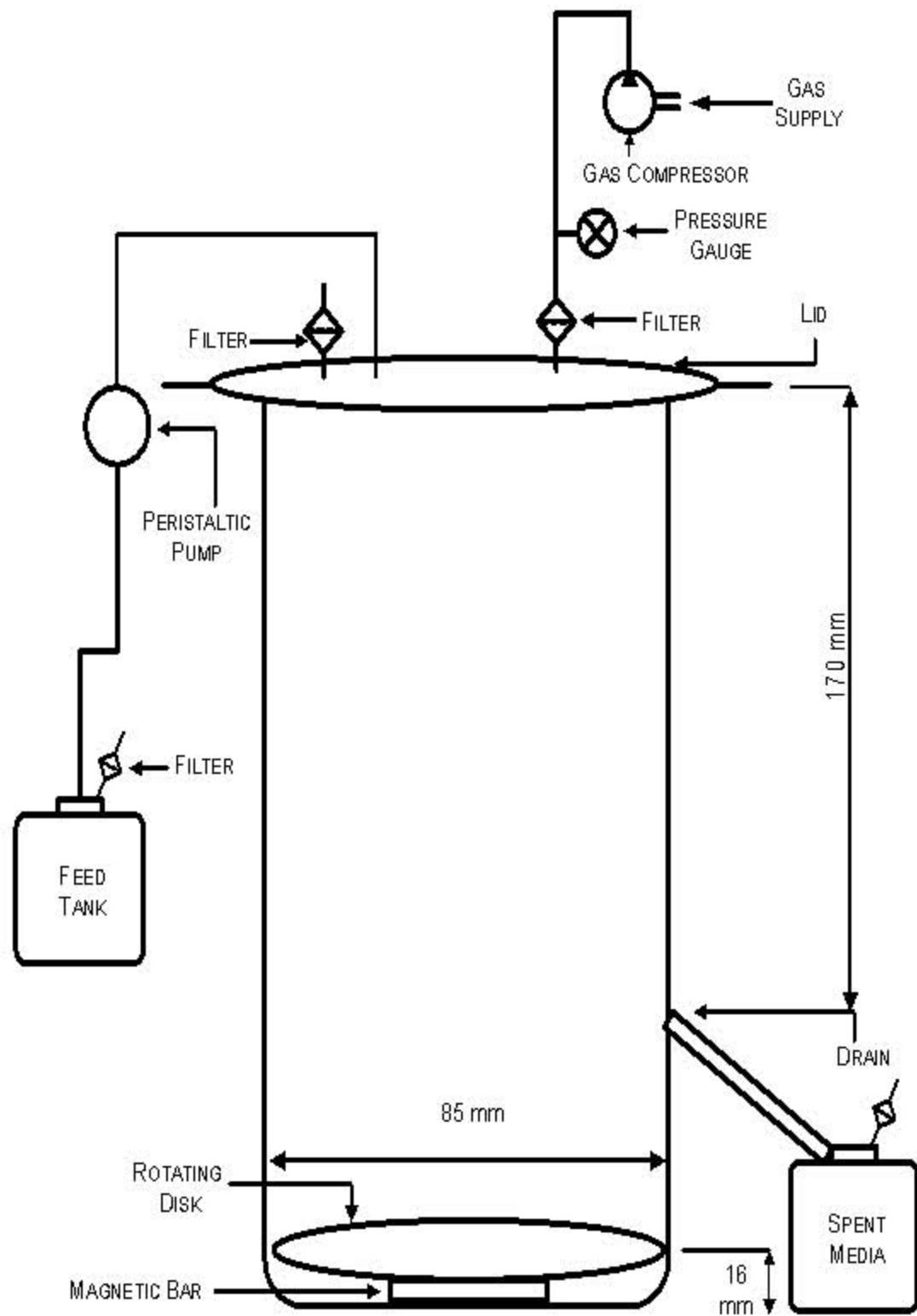
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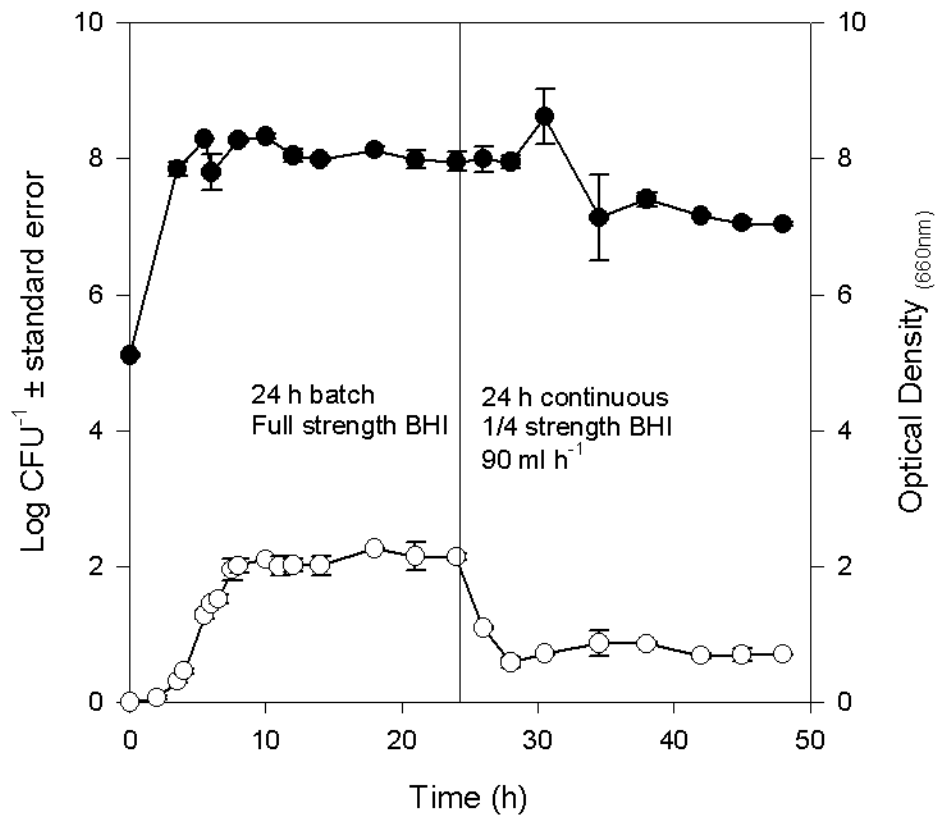
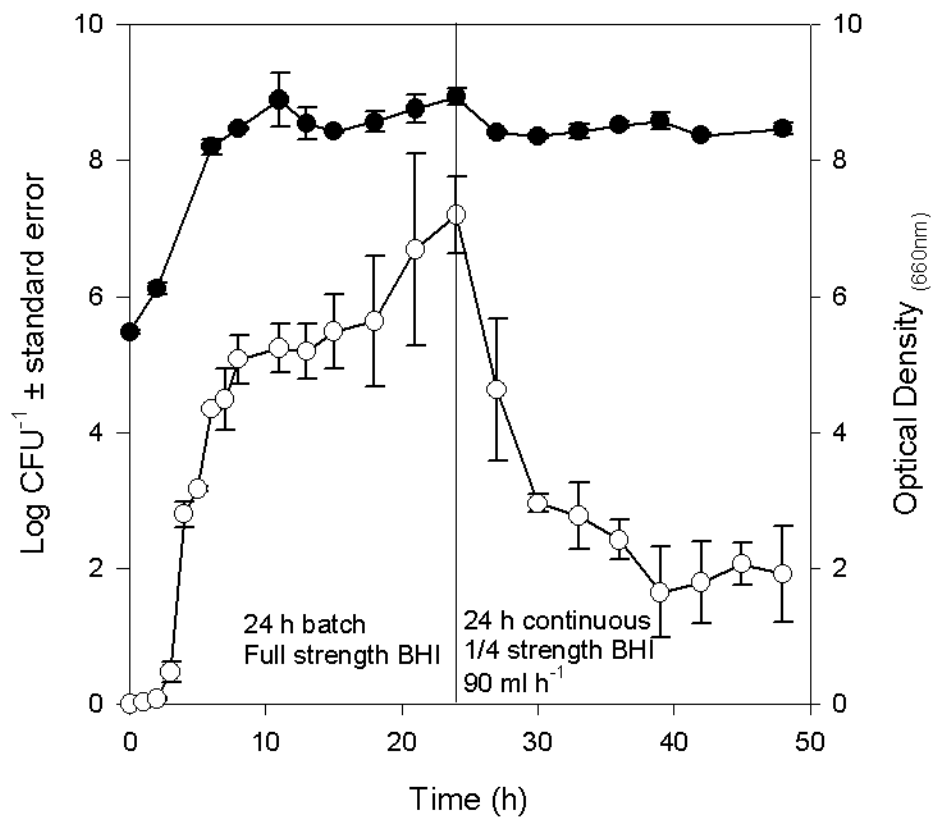
755 **Table 2**

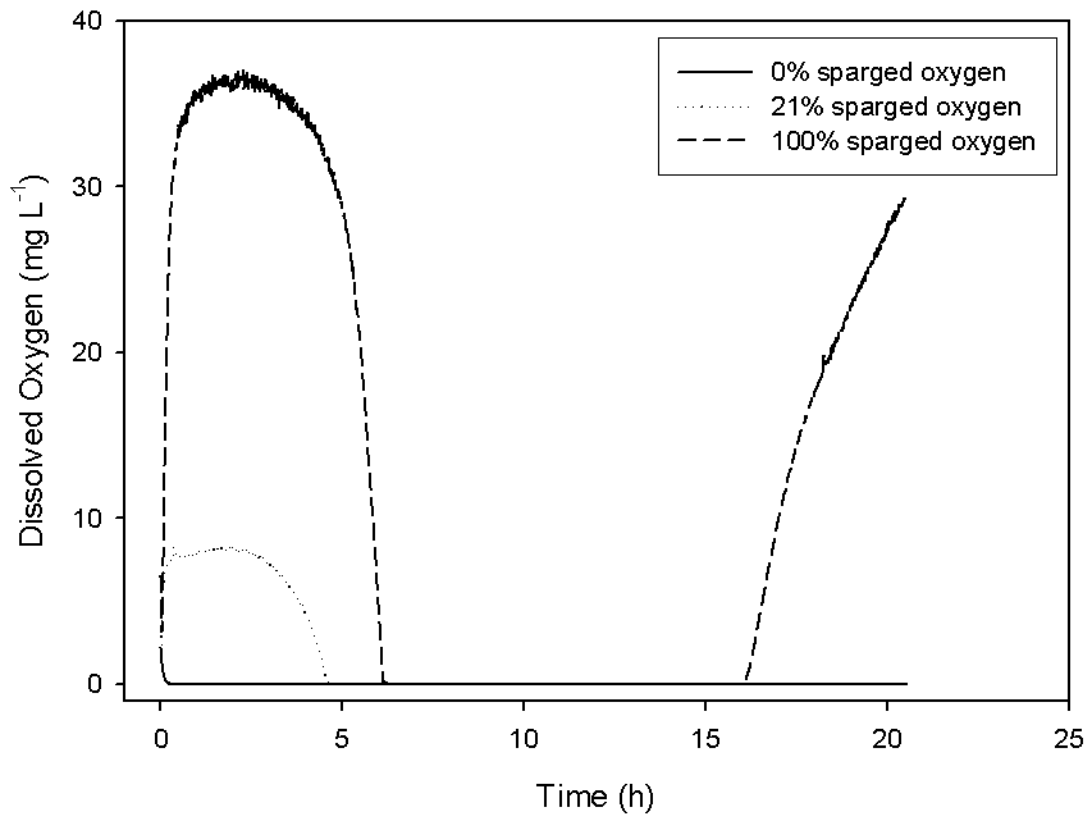
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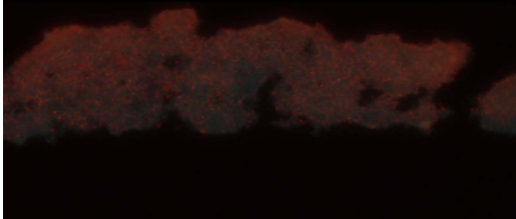
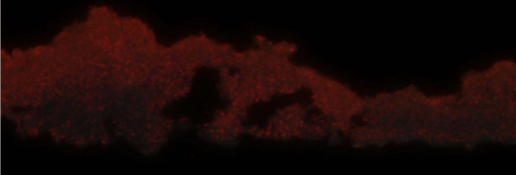
Speed (rpm)	k_{La} (hr^{-1})	
	mRDR	cRDR
150	0.204	0.0210
200	0.352	0.0323
300	0.950	0.0411

757



A**B**



A50 μm **B**50 μm **C**50 μm 