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1 **Parent reported sleep problems in preschool children**
2 **with Sickle Cell Anemia and controls in East London**

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16 **WORD COUNT:** Abstract- 104 words; Main text- 1343 words

17 **TABLES:** 2

18 **RUNNING TITLE:** Sleep in preschool children with Sickle Cell Anemia

SCA	Sickle cell anemia
SDB	Sleep disordered breathing
SES	Socio-economic status

19

20 **Key words:** sickle cell disease, sleep, sleep disordered breathing, snoring,
21 obstructive sleep apnea, early intervention

22

23

24

Abstract

25 Snoring and poor sleep may affect cognition, particularly in young children
26 with chronic conditions. Parents of London preschoolers with sickle cell
27 anemia (SCA; n=22), matched controls (n=24) and unselected typically
28 developing (n=142) preschoolers completed sleep questionnaires.
29 Preschoolers with SCA had significantly more sleep problems when
30 compared to matched controls and the larger population. Snoring occurred at
31 least 1-2 nights a week for 79% of the SCA group. This is compared with 25%
32 of matched controls and 33% of larger population. Randomized controlled
33 trials to improve sleep in young children with SCA already at-risk for cognitive
34 dysfunction should be considered.

35

36

Introduction

37 Sleep problems are reported more frequently in preschool children than
38 school-age children and are associated with poorer quality of life, behavioral
39 problems, and higher rates of attention disorders.¹ More sleep problems have
40 been reported in school-age children with sickle cell anemia (SCA) when
41 compared to typically developing children.² An increased prevalence of sleep
42 disorders such as sleep disordered breathing (SDB) and enuresis, as well as
43 more disrupted sleep in general, has been reported in older children with
44 SCA², with abnormal polysomnography reported for up to 41%.³ High
45 glomerular filtration rate and other kidney complications related to sickle cell
46 anemia can also lead to increased enuresis, illustrating the complex
47 interaction of sleep problems in this patient population.⁴

48

49 Nocturnal physiologic disturbances associated with SDB, such as hemoglobin
50 oxygen desaturation,⁵ can affect cognitive functioning, in addition to the
51 impact of disrupted sleep and daytime tiredness on a child's ability to
52 concentrate.⁶ Research in the general pediatric population suggests that the
53 impact of sleep problems on cognitive functioning can be detected at
54 preschool age.⁷ Sleep interventions have been found to be more efficacious in
55 children younger than seven years⁸, indicating that early intervention may be
56 particularly pertinent to young children with SCA who are already at a greater
57 risk for cognitive dysfunction due to chronic desaturation associated with
58 SCA⁹. Thus, data on the rates of sleep problems in preschool children with
59 SCA are important to inform future research and practice. There is no
60 published study that has focused on children with SCA in the preschool age

61 range using a matched control group.¹⁰ To the authors' knowledge, this is the
62 first study to investigate the rate of parent-reported sleep problems in
63 preschool children with SCA with a comparison group matched for gender,
64 age, ethnicity and socio-economic status (SES).

65 **Methods**

66 *Participants*

67 Ethical approval was obtained from the local NHS committee and site-specific
68 approval was obtained from UCL Institute of Child Health and Barts Health
69 NHS Trust. Parents of patients aged between 36 and 72 months, with HbSS
70 genotype and no history of stroke or a co-morbid disorder, were approached
71 for this study. Data were also collected from ethnicity-, age-, gender- and
72 SES-matched control children recruited through the same clinics and local
73 schools. Data collected from parents of a larger sample of typically developing
74 preschool children through schools and preschools in Greater London were
75 included to establish the prevalence of sleep issues in unselected preschool
76 children in Greater London. Parents returned study packs with the
77 questionnaires and consent form to schools where they were collected by the
78 researcher.

79

80 *Procedure*

81 All parents filled out an adapted version of the Children Sleep Habits
82 Questionnaire¹¹ previously used in a study that looked at rates of SDB in
83 SCA.³ The rater created weighted sleep composite scores based on the
84 amount of poor sleep symptoms and their prevalence. Scores range from 0
85 (no symptoms observed) to the possible highest rating of 80 (all of the 20

86 potential symptoms occurring '6-7 nights' a week). An independent t-test was
87 used to compare the sleep composite score between groups. Chi-square
88 analysis was used to compare the rates of parent-reported symptoms of
89 sleep-disordered breathing.

90 **Results**

91 Cases and controls were all Black British. Parents of 22 patients (Mean age
92 4.8, SD= 0.94), completed the sleep questionnaire, representing 23% of the
93 children with HbSS in this age range registered on the Barts Health NHS
94 Trust database. Three families refused to participate. Parents of 26 ethnicity,
95 age (Mean age 4.8, SD 0.88), gender and SES matched control children also
96 completed the sleep questionnaires. Two matched controls did not fully
97 complete the questionnaires, which were excluded from analysis. In the larger
98 unselected group (n=153 approached), of 142 questionnaires returned, 99
99 (70%) parents identified as White British and 41 (29%) identified as Mixed
100 Ethnicity or Other Minority while ethnicity data were missing for 2 (1%)
101 children; questionnaires were not returned for 11 (8%).

102

103 The patients had a significantly higher rate of sleep problems as reflected by a
104 higher sleep composite score (M=21.47, SD=11.67) when compared to the
105 matched controls (M=11.21, SD=9.83; p=.002). The unselected London
106 children had a slightly higher, but non-significant, mean sleep composite
107 scores than the matched controls (M=14.83, SD=9.58, N=131). Twenty seven
108 per cent of children with SCA, 2 (8%) of the matched controls and 10 (8%) of
109 the unselected London children had sleep composite scores greater than 1.5
110 standard deviations above the mean for the unselected London children. The

111 relation between patient hemoglobin levels (mean=9.1, SD=1.9) and sleep
112 score was explored. Interestingly, higher hemoglobin levels, which has been
113 previously been reported for otherwise healthy patients with obstructive sleep
114 apnea, was related to a higher sleep composite score ($r = .52, p = .01$)¹². Table I
115 shows the parent-reported rates for each of the 20 sleep problems, showing
116 snoring, resisting bedtime, restless sleep and bed-wetting to be the most
117 frequently reported issues for the patient group. The matched control group
118 had a similar rate of sleep problems to the London norm, but there was a
119 three-fold increase of sleep problems in preschool children with SCA.

120 **Discussion**

121

122 For patients with SCA, all except two (including the only patient who had
123 adenoids and/or tonsils removed in order to alleviate obstructive sleep apnea)
124 were reported to snore. For preschoolers with SCA, bed-wetting occurred at
125 least 1-2 times a week in 59% and snoring in 79% (27% 6-7 nights per week),
126 similar to previous findings in older children.² This is much higher than the
127 30% of 54-month-olds reported to experience bed-wetting at least once a
128 week in the unselected Avon population-based cohort born in 1991-2
129 (n=13,973)¹³ and the 13% of four year olds in the randomly selected
130 Leicestershire cohort (n=1,100) reported to snore most nights.¹⁴ There is a
131 lack of data on sleep behavior and sleep hygiene for typically developing
132 preschool children in the UK, but a recent study looked at typically developing
133 3 year-olds (n=84) and found a regular bedtime routine for 79% of their
134 cohort,¹⁵ similar to the rate of 77% reported for the current patient group.

135

136 Sleep problems may be an avenue for intervention in preschool children with
137 SCA. The average sleep duration on a school night for the patient group was
138 10.5 hours. However, the sleep duration recommended by the UK National
139 Health Service and the Royal College of Psychiatrists for three to five year
140 olds is 11-12 hours. Table II shows that 33% of the group has at least one
141 caffeinated drink during the day and 32% have televisions in their bedrooms.
142 Mindell et al. found that preschool children (n=385) who consumed one or
143 more caffeinated beverages slept over 40 minutes less than those who did
144 not, and children with a TV in their own bedroom slept 30% less on average.¹⁶
145 Behavioral interventions that focus on positive sleep hygiene, e.g. removing
146 caffeine and TV from the environment and encouraging night-time reading,¹⁶
147 may have a positive impact on sleep behaviors in children with SCA.
148 Symptoms of SDB, particularly snoring, were reported frequently. Despite no
149 medical evaluation for mechanically obstructed airways in the current study,
150 there is accumulating evidence for improved SDB with medical interventions
151 and potentially also a positive impact on cognitive outcomes. Encouragingly, a
152 recent study found that older children with SCA who received 6 weeks of
153 auto-adjusting continuous positive airways pressure for SDB improved on an
154 attention control task.¹⁷ There are no published investigations on the impact of
155 sleep interventions in young children with SCA, despite positive findings for
156 sleep interventions such as Montelukast and adenotonsillectomy in otherwise
157 typically developing preschool children with obstructive sleep apnea.^{18,19}
158
159 In conclusion, preschool children with SCA have a greater burden of sleep
160 problems than matched controls. Hence, a future focus on sleep problems in

161 the preschool years, a developmental stage when problems typically emerge,
162 and further establishing the impact on cognitive development, could lead to
163 earlier targeted interventions. With the current evidence base, it is difficult to
164 justify adenotonsillectomy for primary snoring in this vulnerable group, but our
165 recently funded trial of Montelukast aims to investigate the natural history of
166 SDB and the impact of a SDB intervention on cognition in preschool children
167 with sickle cell anemia.

Conflict of Interest

No conflict of interest.

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Author Contributions

MD, MDeH, FJK and PT designed the study; MD analysed the study and wrote the first draft of the manuscript under the supervision of the other authors; all authors edited the drafts and approved the final version.

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Table I Differences in rates of parent-reported nighttime symptoms between patients and matched-controls

Nighttime weekly symptom occurrence N (%)	Never (Does not occur)	Not often (<1 night)	Sometimes (1 to 2 nights)	Often (3 to 5 nights)	Always (6 to 7 nights)	Don't Know/ Missing	P*
Snores							
<i>Patients</i>	2 (9.1)	3 (13.6)	9 (40.9)	2 (9.1)	6 (27.3)	-	<.001
<i>Matched Controls</i>	14 (58.3)	4 (16.7)	4 (16.7)	1 (4.2)	1 (4.2)	-	
<i>London Cohort</i>	52 (36.6)	26 (18.3)	36 (25.4)	8 (5.6)	3 (2.1)	17 (12)	
Difficulty breathing while asleep							
<i>Patients</i>	14 (63.6)	2 (9.1)	2 (9.1)	1 (4.5)	2 (9.1)	1 (4.5)	.002
<i>Matched Controls</i>	20 (83.3)	3 (12.5)	1 (4.2)	-	-	-	
<i>London Cohort</i>	112(78.9)	12 (8.5)	4 (2.8)	2 (1.4)	-	12 (8.5)	
Stops breathing during sleep							
<i>Patients</i>	19 (86.4)	1 (4.5)	2 (9.1)	-	-	-	.01
<i>Matched Controls</i>	23 (95.8)	-	1 (4.2)	-	-	-	
<i>London Cohort</i>	120(84.5)	2 (1.4)	1 (0.7)	-	-	18 (12.7)	
Noisy breathing							
<i>Patients</i>	10 (45.5)	1 (4.5)	6 (27.3)	2 (9.1)	3 (13.6)	-	.03
<i>Matched Controls</i>	17 (70.8)	2 (8.3)	1 (4.2)	-	2 (8.3)	2 (8.3)	
<i>London Cohort</i>	68 (47.9)	26 (18.3)	25 (17.6)	9 (6.3)	3 (2.1)	11 (7.7)	
Restless sleep							
<i>Patients</i>	6 (27.3)	4 (18.2)	6 (27.3)	4 (18.2)	2 (4.5)	-	<.001
<i>Matched Controls</i>	17 (70.8)	5 (20.8)	1 (4.2)	-	1 (4.2)	-	
<i>London Cohort</i>	45 (31.7)	46 (32.4)	26 (18.3)	9 (6.3)	1 (0.7)	15 (10.6)	
Sweating when sleeping							
<i>Patients</i>	9 (40.9)	1 (4.5)	8 (36.4)	2 (9.1)	1 (4.5)	1 (4.5)	.02

<i>Matched Controls</i>	18 (75.0)	2 (18.3)	4 (16.7)	-	-	-	
<i>London Cohort</i>	42 (29.6)	39 (27.5)	26 (18.3)	13 (9.2)	8 (5.6)	14 (9.9)	
Nightmares							
<i>Patients</i>	6 (27.3)	5 (22.7)	5 (22.7)	3 (13.6)	-	3 (13.6)	.03
<i>Matched Controls</i>	18 (75.0)	3 (12.5)	3 (12.5)	-	-	-	
<i>London Cohort</i>	47 (33.1)	59 (41.5)	18 (12.7)	5 (3.5)	-	13 (9.2)	
Sleep walking							
<i>Patients</i>	19 (86.4)	1 (4.5)	-	-	-	2 (9.1)	.84
<i>Matched Controls</i>	20 (83.3)	2 (8.3)	2 (8.3)	-	-	-	
<i>London Cohort</i>	118(83.1)	9 (6.3)	2 (1.4)	1 (0.7)	-	12 (8.5)	
Sleep talking							
<i>Patients</i>	9 (40.9)	7 (31.8)	3 (13.6)	1 (4.5)	1 (4.5)	-	.18
<i>Matched Controls</i>	18 (75.0)	3 (12.5)	1 (4.2)	1 (4.2)	-	-	
<i>London Cohort</i>	56 (39.4)	47 (33.1)	17 (12.0)	8 (5.6)	1 (0.7)	13 (19.2)	
Screaming in sleep							
<i>Patients</i>	15 (68.2)	2 (9.1)	4 (18.2)	-	-	-	.18
<i>Matched Controls</i>	19 (79.2)	2 (8.3)	2 (8.3)	-	-	1 (4.2)	
<i>London Cohort</i>	100(70.4)	21 (14.8)	9 (6.3)	-	-	12 (8.5)	
Kicks/jerks legs in sleep							
<i>Patients</i>	13 (59.1)	5 (22.7)	3 (13.6)	-	-	1 (4.5)	.14
<i>Matched Controls</i>	20 (83.3)	2 (8.3)	2 (8.3)	-	-	-	
<i>London Cohort</i>	66 (46.5)	24 (16.9)	20 (14.1)	9 (6.3)	4 (2.8)	19 (13.4)	
Uncomfortable feelings in legs before falling asleep							
<i>Patients</i>	12 (54.5)	1 (4.5)	4 (18.2)	2 (9.1)	1(4.5)	2(9.1)	.001
<i>Matched Controls</i>	19 (79.2)	3 (12.5)	1 (4.2)	1 (4.2)	-	-	
<i>London Cohort</i>	96 (67.6)	20 (14.1)	8 (5.6)	1 (0.7)	-	17(12.0)	
Resists going to bed at bedtime							
<i>Patients</i>	4 (18.2)	2 (22.7)	8 (36.4)	3 (13.6)	-	-	.08
<i>Matched Controls</i>	18 (75.0)	2 (8.3)	3 (12.5)	-	-	-	
<i>London Cohort</i>	51 (35.9)	41 (28.9)	25 (17.6)	11 (7.7)	3(2.1)	11(7.7)	
Trouble falling asleep							

<i>Patients</i>	8 (36.4)	6 (27.3)	4 (18.2)	2 (9.1)	1(4.5)	-	.41
<i>Matched Controls</i>	20 (80.3)	3 (12.5)	19 (4.2)	-	-	-	
<i>London Cohort</i>	56 (39.4)	48 (33.8)	17 (12.0)	6 (4.2)	2(1.4)	13(9.2)	
Feels like can't move arms/legs when falling asleep							
<i>Patients</i>	17 (77.3)	1 (4.5)	1 (4.5)	1 (4.5)	1 (4.5)	1 (4.5)	.02
<i>Matched Controls</i>	20 (83.3)	4 (16.7)-	-	-	-	-	
<i>London Cohort</i>	108(76.1)	9 (6.3)	6 (4.2)	1 (0.7)	-	18 (12.7)	
Wakes up at night							
<i>Patients</i>	6 (27.3)	4 (18.2)	5 (22.7)	4 (18.2)	1 (4.5)	2 (9.1)	.28
<i>Matched Controls</i>	12 (50.0)	7 (29.2)	4 (16.7)	1 (4.2)	-	-	
<i>London Cohort</i>	34 (23.9)	53 (37.3)	24 (16.9)	11 (7.7)	8 (5.6)	12 (8.5)	
Gets out of bed at night							
<i>Patients</i>	9 (40.9)	3 (13.6)	6 (27.3)	4 (18.2)	-	-	.09
<i>Matched Controls</i>	13 (54.2)	8 (33.3)	2 (8.3)	1 (4.2)	-	-	
<i>London Cohort</i>	60 (42.3)	35 (24.6)	16 (11.3)	11 (7.7)	6 (4.2)	14 (9.9)	
Trouble staying in bed at night							
<i>Patients</i>	9 (40.9)	3 (13.6)	3 (13.6)	2 (9.1)	3 (13.6)	-	.03
<i>Matched Controls</i>	18 (75.0)	4 (16.7)	1 (4.2)	1 (4.2)	-	-	
<i>London Cohort</i>	79 (55.6)	30 (21.1)	8 (5.6)	9 (6.3)	4 (2.8)	-	
Grinds his/her teeth							
<i>Patients</i>	15 (68.2)	1 (4.5)	1 (4.5)	3 (13.6)	1 (4.5)	-	.58
<i>Matched Controls</i>	18 (75.0)	2 (8.3)	-	3 (12.5)	-	-	
<i>London Cohort</i>	80 (56.3)	17 (12.0)	10 (7.0)	10 (7.0)	2 (1.4)	23 (16.2)	
Wets the bed							
<i>Patients</i>	6 (27.3)	2 (9.1)	9 (40.9)	1 (4.5)	3 (13.6)	-	<.001
<i>Matched Controls</i>	13 (54.2)	6 (25.0)	4 (16.7)	1 (4.2)	-	-	
<i>London Cohort</i>	77 (54.2)	22 (15.5)	16 (11.3)	9 (6.3)	3 (2.1)	15 (10.6)	

*P-values indicates group differences between patients and matched controls using Chi-square analysis

Table II. Sleep behavior habits of patients with SCA

Variable	Mean (SD)
School Bed Time (pm)	7.50 (2.5 hours)
School Actual Sleep Time (hours)	8.8 (1.02)
School Wake Time (am)	7.30 (.62 hours)
Hours asleep per night during week	10.38 (1.4)
Non-school Bed Time (pm)	9.40 (.89 hours)
Non-school Actual Sleep Time (hours)	9.8 (1.1)
Non-school Wake Time (am)	9.00 (1.3 hours)
Number of Naps during Day	1.2 (1.18)
Regular Bed Routine	77%
Own Bedroom	62%
Own Bed	77%
TV in Bedroom	32%
Typically has one or more caffeinated drinks during the day	33%

