

# 1 Forest decision support systems for the analysis of ecosystem services 2 provisioning at the landscape scale under global climate and market 3 change scenarios 4

5 Eva-Maria Nordström<sup>1\*</sup>, Maarten Nieuwenhuis<sup>2\*</sup>, Emin Zeki Başkent<sup>3</sup>, Peter Biber<sup>4</sup>, Kevin Black<sup>2</sup>, Jose G.  
6 Borges<sup>5</sup>, Miguel N. Bugalho<sup>6</sup>, Giulia Corradini<sup>7</sup>, Edwin Corrigan<sup>2</sup>, Ljusk Ola Eriksson<sup>1</sup>, Adam Felton<sup>8</sup>,  
7 Nicklas Forsell<sup>9</sup>, Geerten Hengeveld<sup>10</sup>, Marjanke Hoogstra-Klein<sup>10</sup>, Anu Korosuo<sup>9</sup>, Matts Lindbladh<sup>8</sup>, Isak  
8 Lodin<sup>8</sup>, Anders Lundholm<sup>2</sup>, Marco Marto<sup>5</sup>, Mauro Masiero<sup>7</sup>, Gintautas Mozgeris<sup>11</sup>, Davide Pettenella<sup>7</sup>,  
9 Werner Poschenrieder<sup>4</sup>, Robert Sedmak<sup>12,13</sup>, Jan Tucek<sup>12</sup> and Davide Zoccatelli<sup>7,14</sup>

10  
11 \*Corresponding authors.

12 E-MN. Address: Department of Forest Resource Management, Swedish University of Agricultural Sciences (SLU),  
13 901 83 Umeå, Sweden. Telephone: +46 (0)90 7868258. Email: eva-maria.nordstrom@slu.se

14 MN. Address: UCD Forestry, School of Agriculture and Food Science, University College Dublin, Belfield, Dublin 4,  
15 Ireland. Telephone: +353 (0)1 7167004. Email: maarten.nieuwenhuis@ucd.ie

16  
17 <sup>1</sup>Department of Forest Resource Management, Swedish University of Agricultural Sciences (SLU), 901 83 Umeå,  
18 Sweden. Email: eva-maria.nordstrom@slu.se, ola.eriksson@slu.se

19 <sup>2</sup>UCD Forestry, School of Agriculture and Food Science, University College Dublin, Belfield, Dublin 4, Ireland. Email:  
20 maarten.nieuwenhuis@ucd.ie, kevin.g.black@gmail.com, edwint.corrigan@gmail.com,  
21 anders.lundholm@ucdconnect.ie

22 <sup>3</sup>Faculty of Forestry, Karadeniz Technical University, 61080 Trabzon, Turkey. Email: eminzekibaskent@gmail.com

23 <sup>4</sup>Chair of Forest Growth and Yield Science, TUM School of Life Sciences Weihenstephan, Technical University of  
24 Munich (TUM), Hans-Carl-von-Carlowitz-Platz 2, Freising 85354, Germany. Email: peter.biber@lrz.tum.de,  
25 werner.poschenrieder@lrz.tum.de

26 <sup>5</sup>Forest Research Centre, School of Agriculture, University of Lisbon, Tapada da Ajuda, Lisbon 1349-017, Portugal.  
27 Email: joseborges@isa.ulisboa.pt, marcovmarto@isa.ulisboa.pt

28 <sup>6</sup>Centre for Applied Ecology (CEABN-InBIO), School of Agriculture, University of Lisbon, Tapada da Ajuda, Lisbon  
29 1349-017, Portugal. Email: migbugalho@isa.ulisboa.pt

30 <sup>7</sup>TeESAF Department, University of Padova, Agripolis, v.le dell'Università 16, Legnaro I-35020, Italy. Email:  
31 giulia.corradini@unipd.it, mauro.masiero@unipd.it, davide.pettenella@unipd.it, zoccatelli.davide@gmail.com

32 <sup>8</sup>Southern Swedish Forest Research Centre, Swedish University of Agricultural Sciences (SLU), Box 49, 230 53  
33 Alnarp, Sweden. Email: adam.felton@slu.se, matts.lindbladh@slu.se, isak.lodin@slu.se

34 <sup>9</sup>Ecosystem Services and Management Program, International Institute for Applied Systems Analysis (IIASA),  
35 Schlossplatz 1, A-2361 Laxenburg, Austria. Email: forsell@iiasa.ac.at, korosuo@iiasa.ac.at

36 <sup>10</sup>Forest and Nature Conservation Policy Group, Wageningen University and Research, Droevendaalsesteeg 3,  
37 6708PB Wageningen, the Netherlands. Email: geerten.hengeveld@wur.nl, marjanke.hoogstra@wur.nl

38 <sup>11</sup>Institute of Forest Management and Wood Science, Aleksandras Stulginskis University, Studentu 11, LT-53361  
39 Akademija, Lithuania. Email: gintautas.mozgeris@asu.lt

40 <sup>12</sup>Department of Forest Management and Geodesy, Technical University in Zvolen, T.G. Masaryka 24, Zvolen 960  
41 53, Slovakia. Email: sedmak@tuzvo.sk, tucek@tuzvo.sk

42 <sup>13</sup>Department of Forest Management, Czech University of Life Sciences Prague, Kamýcká 1176, 165 21 Prague 6-  
43 Suchdol, Czech Republic

44 <sup>14</sup>Institute of Earth Sciences, The Edmond J. Safra Campus - Givat Ram, Hebrew University of Jerusalem, Jerusalem  
45 9190401, Israel

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## 52 **Abstract**

53 Sustainable forest management is driving the development of forest decision support systems (DSSs) to  
54 include models and methods concerned with climate change, biodiversity and various ecosystem  
55 services (ESs). The future development of forest landscapes is very much dependent on how forest  
56 owners act and what goes on in the wider world, thus models are needed that incorporate these  
57 aspects. The objective of this study is to assess how nine European state-of-the-art forest DSSs cope  
58 with these issues. The assessment focuses on the ability of these DSSs to generate landscape level  
59 scenarios to explore the output of current and alternative forest management models (FMMs) in terms  
60 of a range of ESs and the robustness of these FMMs in the face of increased risks and uncertainty.  
61 Results show that all DSSs assessed in this study can be used to quantify the impacts of both stand and  
62 landscape-level FMMs on the provision of a range of ESs over a typical planning horizon. DSSs can be  
63 used to assess how timber price trends may impact that provision over time. The inclusion of forest  
64 owner behavior as reflected by the adoption of specific FMMs seems to be also in the reach of all DSSs.  
65 Nevertheless, some DSSs need more data and development of models to estimate the impacts of  
66 climate change on biomass production and other ESs. Spatial analysis functionality need to be further  
67 developed for a more accurate assessment of the landscape level output of ESs from both current and  
68 alternative FMMs.

69 **Keywords:** ALTERFOR, biodiversity, forest management models, forest owner behaviour

## 70 **Introduction**

71 Ecosystem Services (ESs) are the benefits that humans obtain from ecosystems (Millennium Ecosystem  
72 Assessment 2005). Since the ES concept includes economic, ecological as well as social values of nature,  
73 it can be a used as tool for decision and policy making concerning sustainable resource management.  
74 Ecosystem service delivery is strongly dependent on ecosystem management and frequently implies

75 trade-offs among services (Bugalho et al. 2011, 2016). However, to allow for the analysis of trade-offs  
76 and effects of land use and management on the provision of ES, the ES concept needs to be  
77 operationalized through quantitative assessments based on mapping and modelling (Seppelt et al. 2011;  
78 Borges et al. 2014a; Andrew et al. 2015).

79 Even before ESs became a widely known concept, forest management was concerned with assessing the  
80 benefits produced by forests under different kind of management (Grêt-Regamey et al. 2016; Kindler  
81 2016). Since the start of modern forestry, forest management has mainly focused on wood production  
82 and on how to manage forests efficiently for a sustainable yield of wood. However, multiple-use forestry  
83 has long been practiced and was formally introduced already in the 1960s in the US (Hoogstra-Klein et  
84 al. 2017). Later, the concept of sustainable forest management emphasized the need for inclusion of  
85 ecological and social aspects and consideration of future generations (United Nations 1992). In the past  
86 30 years, advanced forest decision support systems (DSS) have been developed to enable analysis of  
87 complex problems related to forest management (Reynolds et al. 2008; Borges et al. 2014b). A forest  
88 DSS is a software system that can be used for modelling of forest development based on both biological  
89 processes and management effects over long time horizons. Though many forest DSSs were initially  
90 developed with a strong focus on wood production, the wider perspective required in the analysis of  
91 sustainable forest management is driving the development of DSSs to include models and methods  
92 concerned with, e.g., climate change, biodiversity and various ESs (Borges et al. 2014b; Vacik and Lexer  
93 2014).

94  
95 A number of studies have addressed the question of how forest DSSs can be used to assess the future  
96 provisioning of ESs. Some of the earliest examples are from the US where DSSs for ecosystem  
97 management were developed to support forest management aimed at production of goods and services  
98 as well as maintaining ecosystem structures and functions (Rauscher 1999; Reynolds 2005). The Forest

99 Planning Model (FORPLAN) was developed in the late 1970s to support planning for multiple use and  
100 sustained yield of goods and services (Kent et al. 1991). NED (Twery et al. 2005) and the Ecosystem  
101 Management Decision Support (EMDS) system (Rauscher 1999; Reynolds 2005) was then developed by  
102 the USDA Forest Service, starting some 20 years ago.

103  
104 In a more recent study, Biber et al. (2015) analyzed the effects of forest management intensity on ESs  
105 delivery by compiling information from case studies in ten European countries where ten different DSSs  
106 were used for scenario analysis. The results showed that there was an obvious strong positive  
107 correlation between management intensity and wood production. However, for biodiversity the  
108 correlation with management intensity depended on the forest region in which the case study area was  
109 located. In some forest regions there was a trade-off between biodiversity and management intensity,  
110 but in others a positive correlation between biodiversity and more intense management was found. For  
111 other ESs, the correlation with management intensity was only weak and negative. For instance, there  
112 was no clear trend for the relationship between non-wood products (mushrooms, cork, pine cones and  
113 grazing) and management intensity. Further, Biber et al. (2015) concluded that local data and DSSs are a  
114 useful complement to large-scale studies since they provide the most accurate and relevant information  
115 available on a local level. The reader is referred to Corrigan and Nieuwenhuis (2017), Borges et al. (2017)  
116 and Hengeveld et al. (2015) for a detailed description of how three of these DSSs were used to assess a  
117 wide range of ESs in case study areas in Ireland and Portugal. Further, in their review of the same ten  
118 DSSs included in Biber et al. (2015), Orazio et al. (2017) pointed out that even though the set of DSSs is  
119 diverse, all of these DSSs can take ecological and socioeconomic conditions into account, in one way or  
120 another. However, modelling of tree development and wood production output are still the strongest  
121 parts in the DSSs and there is a need to develop the modelling to include indicators for other ESs and  
122 biodiversity. Further, only some of the DSSs were able to include climate effects on forest growth and

123 most do not include other land uses. Most DSSs are thus well suited for current conditions but need  
124 further development to be useful under a changing climate as well as under new, alternative forest  
125 management regimes. This is in line with conclusions from more general reviews of DSSs in forest  
126 management (Reynolds et al. 2008; Muys et al. 2010; Vacik and Lexer 2014).

127

128 The studies mentioned above focus mainly on scenarios describing the development of the forest over  
129 time, given biological processes such as growth and mortality, and the effects of harvesting and  
130 silvicultural activities on the delivery of ESs and biodiversity conservation, i.e., the supply side. The  
131 demand for ESs is rarely explicitly considered in these scenarios. However, the future development of a  
132 forest landscape is very much dependent on what goes on in the world around this landscape. Drivers  
133 like economic development, population growth and climate change will affect the demand for various  
134 ESs and should also be considered at the landscape level. There are scenarios that could be used for this  
135 type of analysis; for instance, the fifth Assessment Report of the Intergovernmental Panel on Climate  
136 Change (IPCC) has set up a scenario framework which allows for global analysis of climate change  
137 impacts and mitigation options under different socioeconomic development and covers a wide range of  
138 potential future trajectories for global development of climate change, economic growth, population  
139 development and overall use of natural resources (IPCC 2013, 2014a, b).

140

141 Furthermore, even projecting the forest development subject to external drivers is not sufficient when  
142 scenarios are supposed to reflect management responses on landscape level to various policies, climate  
143 change and market developments. The forest owner behavior as a response to policy, climate change,  
144 changing prices for forest products and other stakeholders will in many cases be an important factor  
145 that needs to be considered in the analysis (Mozgeris et al. 2016; Rinaldi et al. 2015).

146

147 The challenges in including ESs and biodiversity in scenario analysis using forest DSSs that have been  
148 highlighted above are in line with general issues that have been identified as problematic in ESs  
149 assessment for decision support: i) use of simplistic approaches due to lack of data and realistic models,  
150 ii) focus on only a limited number of ESs, often due to a lack of information on others despite their  
151 relevance to decision making, iii) precision, accuracy and uncertainties in assessments are not dealt  
152 with, and iv) that the demand for ESs is rarely considered since this usually requires an interdisciplinary  
153 approach (Eigenbrod et al. 2010; Seppelt et al. 2011; Wolff et al. 2015; Grêt-Regamey et al. 2016).

154

155 The objective of this study is to assess how a number of European state-of-the-art (i.e., the highest level  
156 of general development achieved in each country) forest management planning DSSs cope with  
157 modeling of ESs. The assessment will focus on the ability of these DSSs to generate landscape level  
158 scenarios to explore the output of current and alternative silvicultural approaches and forest  
159 management models (FMMs) in terms of a range of ESs and the robustness of these FMMs in the face of  
160 increased risks and uncertainty. With this general objective in view, this study more specifically aims to:

161

- 162 – evaluate the capacity of forest DSSs to project the output of ESs over time at the landscape  
163 level, under different global climate change and market scenarios and taking forest owner  
164 behaviour into account, and
- 165 – highlight needs for the further development of DSSs and propose approaches that could be used  
166 to improve modelling.

## 167 **Material and methods**

### 168 **Assessment of DSSs**

169 This study considers nine DSSs (Table 1) that are currently used as decision support tools for European  
170 forest management and investigates how they can be used to analyze the impacts of different FMMs on  
171 the provisioning of ESs in a range of forest landscapes in nine European countries (Germany, Ireland,  
172 Italy, Lithuania, Netherlands, Portugal, Slovakia, Sweden and Turkey). These DSSs are all part of the  
173 European Union project ALTERFOR ([www.alterfor-project.eu](http://www.alterfor-project.eu)), in which they will be used to examine  
174 currently used and alternative FMMs in case study areas in each country and the potential to optimize  
175 the forest management with regard to ES provisioning in different European countries. The case study  
176 areas are briefly presented in Table 2, including some information on the main ESs and stakeholders in  
177 each case study area. The assessment of the DSSs in this study is based on the properties of the DSSs  
178 rather than the results from applying the DSSs in the case studies to create scenarios. However,  
179 investigating how a DSS handles different ESs requires a context in which the DSS operates, i.e., a  
180 landscape in which certain ESs are important and could be quantified in certain ways. Thus, in this study  
181 the function of the case studies was to provide a range of forest landscapes with different focuses on ES  
182 provision and different stakeholders as a background for the assessment of the DSSs.

183

184 [INSERT TABLE 1 AROUND HERE]

185 [INSERT TABLE 2 AROUND HERE}

186

187 More specifically, by forest DSS we mean a software system used for analysis pertaining to the domain  
188 of forest management. Thus, it includes stand simulators, growth and yield models, and associated tools  
189 that are integrated into systems that make landscape projections for management planning. However, it  
190 does not encompass general purpose software systems like Microsoft Excel or GIS software, unless the  
191 DSS is implemented on those platforms. With this definition, a mere transfer of data from the DSS to a  
192 GIS for calculating an index does not make the GIS part of the DSS as the term is used here.

193

194 In the analysis of future output of ESs under various FMMS, the capability to include information on  
195 climate change and socioeconomic development from global scenarios as well as the behavior of forest  
196 owners at landscape level are important elements. Specific properties that are critical for DSSs to be  
197 able to handle these requirements were formulated based on existing knowledge and experiences from  
198 the INTEGRAL project (e.g., Biber et al. 2015; Orazio et al. 2017) and other studies (Muys et al. 2010;  
199 Vacik and Lexer 2014). These properties are:

200 1) capability to deal with changing market prices over time for timber and biomass assortments;

201 2) capability to include climate change effects in landscape level scenarios;

202 3) the spatial specificity of the landscape scale analyses (i.e., the extent to which location of and  
203 spatial relationships between forest stands is known);

204 4) inclusion of forest owner behaviour, in terms of the existing FMMS that different owner types  
205 use and alternative FMMS that may be used in the future.

206 More detailed descriptions of these properties are presented in the section “Specific DSS properties  
207 considered in the assessment”. These were defined by the authors in collaboration with researchers  
208 within the ALTERFOR project.

209

210 Information on the critical properties of the DSSs was solicited from researchers working with the  
211 systems in a number of steps. Initially, a questionnaire was sent out, in which a description of each DSS  
212 (Table 1) and their capabilities was requested based on a series of targeted questions. The information  
213 requested related both to the current status of the DSS at that time and to the developments that were  
214 planned to improve the DSS, referring to the specific properties mentioned above. These questionnaires  
215 were followed-up with telephone interviews that allowed for further discussion of missing or incomplete

216 answers. A follow up request for information was sent out six months later and the researchers were  
217 asked to report on the progress in DSS development and indicate if and how their respective DSSs  
218 included the four properties listed above. This information, together with the earlier questionnaires,  
219 provided a structure for the reporting of the results in this paper. Based on the comprehensive  
220 information resulting from this process, a more detailed analysis was carried out to identify those  
221 properties and ESs for which proper DSS design solutions had been found and, more importantly,  
222 properties and ESs which in some DSSs were causing difficulties in terms of proper system integration.  
223 The purpose of this analysis was to identify basic commonalities, contrasts and 'best practice' among all  
224 DSSs in dealing with the critical properties and the analysis was carried out in collaboration with  
225 researchers with expertise on the different ESs.

## 226 **Ecosystem services considered in the assessment**

227 Many forest DSSs are designed to primarily project the output of timber and other biomass, but with  
228 increasing focus on sustainable forest management and the need to take other ESs into account,  
229 development of DSSs are going in this direction. Besides timber and biomass, this study includes  
230 biodiversity and four important ES categories that forest ecosystems provide and that forest  
231 management may affect in different ways:

- 232 1) Biodiversity conservation (hereafter "biodiversity" and considered an ES) – based on three  
233 habitat proxies for biodiversity at both stand and landscape scales, i.e., tree species  
234 composition, forest structures (e.g. large trees, dead wood, etc.), and spatial-temporal  
235 disturbance patterns. The specifics will of course vary (to some extent) between case study  
236 areas and the wildlife supported will depend on context and the proximity of species pools.
- 237 2) Carbon sequestration (including carbon storage in the forest) – based on three main carbon  
238 pools, i.e., above and below ground biomass, deadwood, and harvested wood products.

- 239 3) Other regulatory services (hereafter “regulatory services” and not including carbon  
240 sequestration) – other regulatory services apart from carbon sequestration, including forest  
241 attributes (e.g. tree species composition, stand age, etc.) that influence the risk and impact of  
242 catastrophic events at both stand and landscape scales, i.e., wildfire, windstorms, pests,  
243 snowstorms and droughts.
- 244 4) Recreational and aesthetic value – based on visual forest characteristics at both stand and  
245 landscape scales, conceptualized through the concepts of stewardship,  
246 naturalness/disturbances, complexity, visual scale, historicity/imageability, and ephemera (i.e.,  
247 landscape changes that are the outcome of seasonal variation (Ode et al. 2008)).
- 248 5) Water – includes five water-related ESs, i.e., water yield, flood protection, water flow  
249 maintenance, erosion control, and chemical conditions.

250 Variables that are needed as output from the DSSs for evaluating the effects on ESs under different  
251 scenarios and FMMs are listed in Table 3. They were identified as part of this study by experts on these  
252 ESs, who developed standards for how each of these ESs should be modeled using a typical forest DSS,  
253 based on the available input data and specifying the resulting outputs (Nieuwenhuis and Nordström  
254 2017).

255

256 [INSERT TABLE 3 AROUND HERE]

257

## 258 **Global climate change and market scenarios applied in the assessment**

259 Global scenarios to be used as a background for landscape level scenarios produced by forest DSSs  
260 should provide trends in the demand and prices for various timber assortments at least at the country  
261 level based on developments in trade and on global markets. To include effects of climate change on

262 forest growth and development, the global scenarios should also provide information on climate effects,  
263 namely temperature and precipitation.

264 The global scenarios considered in this study provide this information with 10-year intervals until 2100  
265 and reflect three alternative development pathways for this period:

266 1) Current development – Taking into account the EU policies until 2020 that are in the current  
267 legislation, thereafter continuing with some development towards the climate targets, following  
268 typical pathways of the past.

269 2) Rapid development of EU bioenergy sector – Taking into account EU policies that aim at a 80%  
270 reduction in emissions by 2050. Outside the EU, it is assumed that only the climate change  
271 mitigation policies that were in place before 2015 are in effect.

272 3) Global development toward the climate targets – Climate policies are assumed to be taken into  
273 action globally, but their effects are mostly seen in the latter half of the century.

274 These three scenarios were prepared using the global land use model GLOBIOM/G4M (Havlík et al.  
275 2011; Kindermann et al. 2013) and were based on the policy targets for the European Union combined  
276 with the Representative Concentration Pathways (RCP) - Shared Socioeconomic reference Pathways  
277 (SSP) framework developed for the IPCC (IPCC 2013, 2014a, b; van Vuuren et al. 2011, 2014). The  
278 framework consisted of two sets of independent scenarios in a matrix that allowed for various  
279 combinations of scenarios: the four RCPs corresponding to different levels of radiative forcing, and the  
280 SSPs that express the development of socioeconomic drivers. Since these are the most recent scenarios  
281 produced by the IPCC based on substantial scientific input, they were the most appropriate scenarios  
282 available for this kind of analysis, but any global scenarios providing similar information could be used.  
283 The three global scenarios in this study are all based on the SSP2 “Middle of the road” scenario in

284 combination with RCP4.5 (Current development), RCP8.5 (Rapid development of EU bioenergy sector)  
285 and RCP2.6 (Global development toward the climate targets). The climate model used to produce these  
286 scenarios was HadGEM2-ES.

## 287 **Specific DSS properties considered in the assessment**

### 288 ***Timber assortments and prices***

289 Timber and timber assortments is the basic output for most forest DSSs, but since there may still be  
290 differences, the DSSs are categorized into different levels of detail concerning the modeling. Timber  
291 assortments are classified in two main categories, 'stemwood' and 'other biomass' (i.e., tops, branches  
292 and stumps). For each category, the level of detail provided by each DSS is described using four levels of  
293 increasing complexity:

- 294 1) harvested wood is given only in total volumes for each category (stemwood and other biomass),
- 295 2) harvested wood is given in volumes per stemwood assortments (sawlogs, pulpwood and  
296 firewood) and also that the extracted volume of other biomass need to be available,
- 297 3) in addition to level 2, harvesting costs have to be included, and
- 298 4) in addition to level 3, transport costs should be included as well.

299 The capability to include and model changing timber prices and the effect on forest management is  
300 needed as a link to global climate and market change scenarios that shows how prices for timber change  
301 due to, e.g., market developments for bioenergy due to climate policies. For this project, the global  
302 scenarios produced with the GLOBIOM/G4M model are downscaled to national level. These price trends  
303 were expressed as average decadal mill gate prices for two assortment categories, sawlog and  
304 pulpwood. In the DSSs, this price information (and linear interpolation) should be used in the  
305 simulation/optimisation of the choice of FMMs over the planning horizon. Price changes should

306 therefore be reflected in the harvest levels. The most important aspect of the prices is their trend, so  
307 the global trend should be properly reflected when landscape level scenarios are produced for each case  
308 study.

### 309 ***Climate change***

310 The global scenarios described in the section on timber prices also include climate change trends for  
311 each country, indicating overall temperature and precipitation changes over the period until 2100 for  
312 each country. To fully incorporate climate change effects, the DSSs should be capable of modelling  
313 climate change in terms of its impact on tree growth and tree mortality. As these are the fundamental  
314 processes behind forest dynamics from tree to landscape level, such DSSs can also provide ESs provision  
315 trends under changing climate. In the assessment, climate change trends that can be incorporated in the  
316 DSSs are described and variables in the DSSs that are impacted by these trends and the data sources for  
317 the models used in the DSSs to represent these impacts are identified.

### 318 ***Owner behaviour***

319 The Forest Landscape Development Scenarios (FoLDS) framework (Hengeveld et al. 2017) has been  
320 presented as an approach to model forest owner behaviour, and in this study the FoLDS framework will  
321 be used as a baseline for the assessment of how owner behaviour is included in the DSSs.

322

323 In the FoLDS framework, different forest owner types (OTs) are defined along with their potential use of  
324 different forest management models (FMMs). This can be described using a so-called OT-FMM matrix. In  
325 this matrix, the proportions of the forest estate owned by different OTs are identified, and for each OT,  
326 the proportions of their forests that are managed using different FMMs are quantified. In order to  
327 reflect changing conditions over time, the values in this OT-FMM matrix should be dynamic, reflecting  
328 changes in OT proportions and in the FMMs that each OT uses. For instance, forests may be inherited by

329 city dwellers from farmers, resulting in different OT proportions, as well as changed management  
330 objectives resulting in the use of different FMMs. At the same time, within (certain) OTs, the changing  
331 market conditions (reflected by demand and prices) and the changes in climate will result in changes in  
332 the (proportions of) FMMs used. Certain OT and their choice of FMMs may also be influenced by other  
333 stakeholders. Existing FMMs are forest management models that are currently being used, while  
334 alternative FMMs are management models that will be introduced in the future to deal with changing  
335 market and climate conditions, and owner and stakeholder requirements. Existing OTs are categories of  
336 forest owners grouped according to their management objectives and use of FMMs. New OTs may  
337 develop over time based on changing market, socio-economic, environmental and climate conditions.

338

339 Thus, to incorporate the OT-FMM approach in a DSS, data on existing FMM proportions for existing OTs  
340 and variables influencing OT behaviour (i.e., the selection and proportions of FMMs used) are needed. In  
341 addition, alternative FMMs and new OTs and their behavior need to be defined based on sound  
342 assumptions. For each decade (or other period), an OT-FMM matrix in which the proportions of existing  
343 and alternative FMMs used by each existing and new OT can then be defined.

344

345

### 346 ***Spatial specificity***

347 The level of spatial specificity in the DSS is relevant especially in the modeling of ESs but also affects  
348 other aspects (e.g., the possibility to include transportation costs in the costs for harvesting). In this  
349 study, spatial specificity in a DSS is considered to depend on the source of the spatial data used in the  
350 DSS, the data format, and if forest stands, inventory plots or other basic forest information units are  
351 used as a basis or if they are grouped into homogenous strata (based on stand, site and management  
352 characteristics) and, if so, at what scale. The reason is that grouping will result in a partial loss of spatial

353 specificity, as the location of each stand is lost in the strata. If no grouping takes place, the level of  
354 spatial specificity is still affected by whether the adjacency of stands is known within the DSS and how  
355 this information is used.

## 356 **Results**

357 The results of the assessment of the DSSs are summarized for the ESs and for each property in the  
358 following sections. Table 4 shows a classification of the nine DSSs according to their ability to quantify  
359 the variables required for the ES provision assessment. A green cell indicates that the variable is part of  
360 the DSS and that the ES is assessed within the DSS and a red cell indicates that the variable is not part of  
361 the DSS. A yellow cell indicates that some of the analysis required to produce the outputs for the  
362 variable in question can only be done outside of the DSS, though based on the DSS  
363 simulation/optimisation outputs, i.e., by using models or software that are not part of the DSS. For  
364 instance, frequently separate GIS software is needed for spatial analysis since several DSSs lack this  
365 functionality. When a DSS does not include certain models, e.g., for dead wood, harvest residues or  
366 below ground biomass, this also results in a yellow cell since separate models are then used to calculate  
367 the variables based on output from simulation/optimization carried out in the DSS.

368

## 369 **Ecosystem Services**

370 Most of the DSSs include the standard forest inventory variables (Table 4); however, non-timber related  
371 variables such as those associated with stand structure and dead wood are less often an integral part of  
372 the DSSs and need to be quantified outside of the DSS, for instance in a stand-alone GIS, or are not part  
373 of the DSS at all. In most DSSs, the definition of decision variables is based on harvest related options.  
374 These options need to be considered in order to address concerns with both wood and non-wood goods  
375 and services. Nevertheless, the outcome of the simulation or optimization depends in most cases on

376 timber related criteria (i.e., they are the decision variables), while other criteria are more often  
377 addressed when analyzing the results of the simulation and optimization processes. This demonstrates  
378 that most DSSs have their origin in traditional forest management, with environmental and social  
379 elements added at a later stage.

380

381 [INSERT TABLE 4 AROUND HERE]

382

### 383 **Timber assortments and prices**

384 Concerning the timber assortment ‘stemwood’, most DSSs can output harvested wood volumes per  
385 stemwood assortment (sawlogs, pulpwood and firewood). Furthermore, most DSS may include  
386 harvesting costs. In some cases, the analysis is conducted considering stumpage prices and thus  
387 harvesting and transportation costs are considered indirectly (e.g., SADfLOR). SILVA is the only DSS that  
388 can include transportation costs (based on assumptions on distances). Kupolis, EFISCEN-space, Sibyla,  
389 Heureka and ETÇAP can only include transportation costs in the forest up to the roadside.

390 Most DSSs use look-up tables to account for dynamic timber prices (Supplementary Table S1). In many  
391 cases, the modeling would also be based on the assumption that rising timber prices would lead to at  
392 least some increased management activity or even changes in FMMs for some OTs. A chain of effects  
393 from changing prices to changing FMMs and changes in ESs provisioning levels seems to be expected for  
394 most of the DSSs.

395

### 396 **Climate change**

397 All but three of the DSSs currently include climate models of some kind (Supplementary Table S2), which  
398 allow for the modeling of climate change effects on growth rates, either on tree or stand level. In  
399 Kupolis, SADfLOR and ETÇAP, which do not explicitly include climate models, climate change effects  
400 could be included in a similar way by adjusting growth rates; the main problem in these cases is the lack  
401 of data on climate change effects on growth. In some DSSs the climate change scenarios used to assess  
402 the impact on forest growth, and hence forest products supply, do not correspond to the global  
403 scenarios used to derive timber price and demand. Therefore, supply and demand are not perfectly  
404 balanced and may not be directly comparable in these cases.

405

#### 406 **Owner behaviour**

407 All of the DSSs can somehow take owner behavior in terms of FMMs into account and make the OT-  
408 FMM matrix dynamic over time in scenarios. The OT-FMM matrix describing the current situation is  
409 based on multiple sources: information from stakeholders, expert knowledge, scientific studies, forest  
410 statistics and inventory data (Supplementary Table S3). These will also be the basic sources for  
411 formulation of OT-FMM matrices that describe the future state, but there is obviously a great challenge  
412 in making predictions about future OTs and alternative FMMs.

413

#### 414 **Spatial specificity**

415 The level of spatial specificity varies between the DSSs (Supplementary Table S4). Half of the DSSs use  
416 stand-level data and the rest group stands into strata in the analysis, resulting in a loss of stand-level  
417 spatial specificity in the assessment of the ESs. Most of the DSSs are spatial to the degree that the  
418 locations of stands in the landscape are known, but only two of them (SADfLOR and ETÇAP) can handle  
419 the more complex issue of adjacency, i.e., the relative location of stands in relation to each other.



## 421 **Discussion**

422 This study is motivated by the need to provide policy makers as well as forest owners with decision  
423 support on how various FMMs will affect the output of ESs and biodiversity, and how global drivers as  
424 well as forest owner behavior on local level can influence future development. The capacity of a number  
425 of forest DSSs to perform the kind of analyses needed is assessed based on their capabilities to model  
426 the provisioning of ES under various FMMs and properties of the DSSs relevant to that. The discussion  
427 focuses on how the DSSs cope with the modelling of timber and biomass, biodiversity, carbon  
428 sequestration, regulatory services, recreational and aesthetic value and water. Certain properties of the  
429 DSSs and lessons learned concerning methodological approaches are also discussed and needs for future  
430 development of the DSSs are identified.

## 431 **Modelling of ecosystem services**

### 432 **Timber and biomass**

433 For most DSSs in this study, timber is clearly the ES which has been in focus when the DSS was  
434 developed and all DSSs are very strong in the modelling of timber, both the standing stock and  
435 harvested volumes. This is in line with previous research on forest DSSs (Vacik and Lexer 2014; Nobre et  
436 al. 2016). The DSSs can output harvested volumes of stemwood and the basic assortments sawlog,  
437 pulpwood and firewood. However, not all these DSSs can model output of residues that can be used for,  
438 e.g., bioenergy, probably because this is not a traditional assortment in the area where those DSSs are  
439 used. This may be a limiting factor when scenarios with alternative FMMs are created, but using  
440 estimates based on results from DSSs applied in similar types of forest could be a solution to this  
441 problem.

442 An issue that required adjustment of the timber and biomass prices used in the modelling was that the  
443 global scenarios considered in this study included prices for material delivered to the industry (i.e., mill  
444 gate prices) while almost all DSSs only included harvesting and primary in-forest transport costs and not  
445 secondary transport costs such as road haulage. This is because the systems are not designed to link  
446 harvesting operations in individual stands with the particular industries that will process the timber and  
447 biomass, while the prices in the global scenarios consider the industry relevant mill gate prices because  
448 the underlying reasoning is based on economic partial equilibrium modelling. This means that the global  
449 scenario prices will have to be adjusted in each DSS to reflect the average secondary timber and biomass  
450 transport costs within the case study areas.

#### 451 **Biodiversity**

452 As the necessary parameters for modelling population-level responses are generally limited to a small  
453 number of forest species (Johansson et al. 2016), the landscape scale implications for biodiversity from  
454 forest management alternatives are often projected using biodiversity proxies (Felton et al. 2017b). In  
455 this assessment we evaluated three categories of biodiversity proxies: forest structure, tree species  
456 composition, and spatial-temporal disturbance patterns, all with demonstrated relevance to the  
457 maintenance of biodiversity in production forest stands (Felton et al. 2017a). In this regard most of the  
458 DSSs assessed appear to provide at least minimal indicators of direct relevance to each of these three  
459 broad categories of habitat-relevant proxies. With respect to tree species composition, for example, all  
460 of the DSSs are capable of modeling relevant outcomes. Capturing changes in tree species composition  
461 is vital as a particular tree species provides distinctive resources and habitats which may now be rare  
462 due to recent and historic shifts in land-use in many regions of Europe (Lindbladh et al. 2014; Reitalu et  
463 al. 2013; Wulf and Rujner 2011). These changes are frequently associated with population declines and  
464 increased extinction risk for many forest species (Berg et al. 1994; Lindenmayer et al. 2006).

465

466 There are however some limitations with respect to DSS capabilities. A subset of the DSSs assessed were  
467 unable to project some forest structures, including the provision of dead wood of different sizes, and in  
468 one case, the capacity to model large trees. Large trees may be vital to habitat provision in forest  
469 ecosystems, due to the resources and environments created by their well-developed crowns, complex  
470 bark features, stem hollows, and sap flows (Lindenmayer et al. 2012; Siitonen and Ranius 2015). The  
471 presence of old and large trees is also directly relevant to the provision of coarse woody debris within  
472 forest landscapes (Jonsson et al. 2006; Lindenmayer and Franklin 2002). Dead wood is also a critical  
473 resource for a large number of species in forests, which may represent a quarter of all forest species in  
474 some regions (Siitonen 2001; Stokland et al. 2003). The capacity to model dead wood is thus often an  
475 important capacity of DSSs when modelling habitat availability in these regions. The inability to do so  
476 generally resulted from a lack of available input data for dead wood amounts and categories within  
477 different forest types at different stages of forest development, or a lack of model parameters for  
478 projecting, for example, dead wood decomposition rates. Qualitative assessments and/or expert input  
479 may be means of at least partially compensating for such limitations. Careful consideration of trade-offs  
480 is however required. For instance, increased amount of woody debris may lead to significant increase of  
481 wildfire hazard in some ecosystems, which may ultimately induce loss of habitat and biodiversity in case  
482 of occurrence of severe wildfire.

483

484 We also note that there are limitations with regards to the extent to which spatially explicit  
485 considerations can be analyzed by these DSSs. In the case of biodiversity conservation indicators, it is  
486 crucial that DSSs may extend from stand to landscape scale and include spatial components, as pointed  
487 out by previous studies (Filyushkina et al. 2016; Nobre et al. 2016). There are biodiversity components  
488 that may only be assessed at the landscape level. This is especially the case with respect to adjacency  
489 issues. The spatial configuration of habitat availability and the proximity of source populations are of

490 direct relevance to understanding population dynamics and emergent patterns in forest biodiversity  
491 (Fahrig 2003). Additional complexities and concerns may be raised regarding the ability of DSSs to  
492 capture the wide variation in resultant habitat availability that arises due to everything from ownership  
493 differences in silvicultural interventions to fine scale differences in site conditions. More specifically, the  
494 complexities and uncertainties involved in projecting the interactions of climate change, abiotic and  
495 biotic disturbance regimes, and forest dynamics, highlight the need for caution when interpreting DSS  
496 projections of future habitat availability. Despite these limitations, we believe that in general, current  
497 DSSs, in combination with qualitative assessments and expert opinion, should provide output of  
498 sufficient resolution to distinguish FMMs in terms of their habitat provisioning capabilities.

#### 499 **Carbon sequestration**

500 The variables listed in table 4 are useful for characterizing carbon stocks and for estimating carbon stock  
501 changes or carbon gains and losses. These issues can be addressed, in a harmonized manner, by using  
502 well developed conversion factors for standing volume (stocks) or volume increment (carbon gains) in  
503 the case of above and below ground biomass (IPCC 2006). In the case of deadwood, carbon fluxes can be  
504 estimated using inflows of carbon from harvest residues, the existing deadwood pool and published  
505 decomposition factors (see Olajuyigbe et al. 2011; Yatskov et al. 2003). Carbon dynamics of harvested  
506 wood products could be derived from timber assortments based on relationships between timber  
507 assortments and semi-finished wood products (Donlan et al. 2013) and published half-lives using the  
508 harvested wood products decay model (IPCC 2006). However, it must be recognized that the model  
509 system boundary would not be limited to regional carbon stock changes given the large influence of  
510 timber trade.

511 Alternative FMMs for carbon sequestration could be used to analyze effects of, e.g., plantation/clearfell  
512 versus continuous cover forestry (Lundmark et al. 2016), rotation age and thinning intensity (Chikumbo

513 and Starka 2012), low impact management versus extensive management (Vanderberg et al. 2011), fate  
514 of harvested wood products and product substitution (Lundmark et al. 2016; Moore et al. 2012).  
515 Different silvicultural practices and forest disturbance events influence forest and product carbon  
516 storage over different time periods. The most common approach to account for this is to derive  
517 estimates assuming steady-state to steady-state transitions by running model simulations for 3  
518 rotations, typically 200-400 years (e.g., Lundmark et al. 2016).

519 Carbon assessment only includes aboveground, belowground biomass, deadwood and harvested wood  
520 product pools. However, carbon sequestration of European forest ecosystems is also influenced by the  
521 balance of numerous other greenhouse gases such as N<sub>2</sub>O, CH<sub>4</sub> and CO, particularly in relation to  
522 fertilizers, forest fires and drainage of peatland soils (IPCC 2006). In countries where non-CO<sub>2</sub> emissions  
523 from forest may be large, such as resulting from the drainage of organic soils (Ireland, Sweden) or forest  
524 fires (Portugal, Italy), additional efforts would be required to provide a more comprehensive greenhouse  
525 gas footprint. Mineral soil carbon stock changes have not been included in the DSSs because of the large  
526 uncertainty and difficulty in deriving these estimates. Current knowledge remains inconclusive on both  
527 the magnitude and direction of carbon stock changes in mineral forest soils associated with forest type,  
528 management and other disturbances, and cannot support broad generalizations (IPCC 2006). Emissions  
529 from drained organic soils, on the other hand are well described and easily estimated if sufficient detail  
530 on soil type and extent of drainage is known (IPCC 2006).

531 In many forest DSSs, land use change (i.e., afforestation or deforestation) can be included, but the  
532 impact of such change on the carbon dynamics cannot be modeled, and yet such change will have a  
533 profound influence on the regional carbon balance. This is confounded by the inability of most DSSs to  
534 provide estimates of soil and dead organic matter stock changes, which may occur for years after a land

535 use transition occurs. Estimation of soil stock changes, in particular, requires a high spatial resolution for  
536 input data (i.e., soils types, etc.).

537 Perhaps the most influential process influencing forest mitigation potential is, and one not considered in  
538 this context, the effect of energy and product substitution. Dearing Oliver et al. (2014) suggest that the  
539 use of wood products for substitution could reduce global emission by 14% to 31%. Lundmark et al.  
540 (2016), suggest that product substitution had the greatest influence on overall mitigation capacity when  
541 different FMMs were compared. Life cycle analysis of wood products provides a way of measuring the  
542 CO<sub>2</sub> savings that can be made by use of wood products and replacement of high CO<sub>2</sub> emission potential  
543 products such as energy, cement, etc. (Sathre and O'Connor 2010). The overall concept is avoidance of  
544 emissions by replacement of processes or products using wood as a substitution (Sathre and O'Connor  
545 2010). This is a complex problem and can only be introduced at the stand or regional scale using broad  
546 generalizations for the fate of harvested products (see Lundmark et al. 2016). The only feasible solution  
547 is to perform sensitivity or scenario analysis on different FMMs and use displacement factors (Sathre  
548 and O'Connor 2010) to estimate emission savings due to product substitution above a BAU scenario. The  
549 use of the three global scenarios presented for this study may provide a framework.

#### 550 **Regulatory services**

551 Results evince that all DSSs in this study are able to quantify stand-level variables required to assess the  
552 likelihood and damage associated to catastrophic events in the respective case study areas. This  
553 information is an important basis for supporting regulatory ecosystem services at the landscape level,  
554 but not entirely sufficient since spatial aspects are important to the regulatory services defined in this  
555 study, i.e., wildfire, windstorms, pests, snowstorms and droughts. Most DSSs lack spatial analysis  
556 components to assess how a catastrophic event may spread over a landscape. Moreover, the  
557 comparability of results across case studies will depend on the definition of vulnerability classes

558 according to the values of the stand-level variables. The literature underlines the local specificity of  
559 models to assess the contribution of each FMM to the mitigation of impacts of catastrophic events. For  
560 example, this was demonstrated by research that analyzed the correlation of inventory variables over  
561 which forest managers have control and a) the likelihood of occurrence of wildfires (e.g., Botequim et al.  
562 2013; Garcia-Gonzalo et al. 2012), b) the damage caused by wildfires (e.g., Gonzalez et al. 2007;  
563 Marques et al. 2011) and c) the damage caused by windstorms (Zeng et al. 2010). For example, in the  
564 Mediterranean region an increased frequency of extreme events such as fire and droughts is highly likely  
565 as a result of climate change and will result in changes in ES output.

566 Future climate and forest management are likely to have a large influence on future forest disturbances  
567 such as pest outbreaks, forest fires and windthrow effects. These disturbances are recognized as among  
568 the most important components of forest greenhouse gas emissions and the effects may last for  
569 hundreds of years after a disturbance event (Kurz et al. 2009; Moore et al. 2012; Vilen and Fernandes  
570 2011). It would be important to include also likely emissions from disturbance under different FMMs in  
571 scenario analysis. For example, low intervention management may result in limited regeneration and a  
572 build-up of fuel sources (dead wood), which could increase the likelihood of fires, windthrow, etc.  
573 Ideally, these risks must be included in the FMMs applied in the DSSs. A possible approach is the use of  
574 mean disturbance intervals or disturbance probabilities for different forest management scenarios (see  
575 Vanderberg et al. 2011). The complexity of modeling risks and effects of climate change and the need  
576 for developing this further to provide relevant decision support for the development of adaptation and  
577 mitigation strategies has been pointed out in previous reviews of forest DSS (Muys et al. 2010; Vacik and  
578 Lexer 2014; Orazio et al. 2017).

579 **Recreational and aesthetic value**

580 Existing studies present experiences made with quantifying the recreational and aesthetic value in  
581 forestry as well as in other fields, such as landscape research, and together they add up to an extensive  
582 list of possible criteria and indicators that could be used to measure this value. The assessment of the  
583 capabilities of the DSSs showed that variables related to other factors than traditional forest attributes  
584 and silvicultural activities are difficult to implement. Considering that most forest DSSs have not been  
585 specifically developed to include modeling of recreational and aesthetic values, the pragmatic approach  
586 to provide output on this value was to focus on variables related to forest attributes (cf. Edwards et al.  
587 2011). Focusing on these attributes provided a list as defined in Table 4.

588 All DSSs in this study have the capability to provide information on the output of recreational and  
589 aesthetic value as they are defined in terms of these variables, but all the DSSs do not include all these  
590 variables; what output can be delivered varies between DSSs. In order to still be able to compare  
591 outcomes from different DSSs, a potential solution is to accept that the DSSs use different indicators for  
592 recreational and aesthetic value and instead determine a total index score based on different indicators  
593 for this ES and compare the outcomes for different FMMs for different countries. The forest data  
594 commonly used as input for the DSSs might in some cases be complemented with data from other  
595 sources. Especially variables related to spatial aspects are out of limits to many DSSs, e.g., spatial  
596 relationships between different stands or between a forest stand and another feature in the landscape,  
597 and may have to be omitted. However, as is the case for many of the DSSs, GIS analysis may be  
598 performed outside the DSS to complement the DSS output.

#### 599 **Water**

600 Most DSSs are not built with a focus on water related ESs. It is often difficult to relate ES indicators to  
601 simple parameters at the stand level without additional modeling. For example, most DSSs do not  
602 include evapotranspiration, soil water storage, annual erosion or nutrients uptake. To quantify the

603 variation in these indicators additional modelling is required. Some DSSs do have built-in quantification  
604 of ESs (such as soil erosion and sedimentation risk for Ireland), but others need to be integrated with  
605 additional models. For most DSSs, outputs can be used to feed a simplified model able to evaluate some  
606 water related ESs. For instance, though not explicitly included in the DSS, a rough estimation of water  
607 yield is relatively simple to obtain from DSS outputs. For erosion control and chemical conditions some  
608 of the parameters are available from the DSSs, such as the annual felling area and tree species  
609 composition. For a better estimation, soil properties (e.g., water storage capacity and soil infiltration)  
610 should be included as well as indicators such as local slopes or proximity to rivers, which is a spatial  
611 variable. Flood protection and water flow maintenance are difficult to estimate since important  
612 parameters are often missing, but inclusion of soil properties would be of help.

613 Spatial aspects are important for water related ESs on landscape level and the capabilities of the DSSs in  
614 this respect could be improved. An important factor would be the inclusion of other land use than  
615 forestry in the analysis, since water related ES provisioning is often similar even under different forest  
616 management. However, an explicit spatial distribution of FMMS would also improve the output.

617

## 618 **Alternative forest management models**

619 Of the four properties identified as critical for the DSSs to project the output of ESs, the capability to  
620 deal with changing timber and biomass prices over time, the capability to include climate change effects,  
621 and the spatial specificity of the landscape scale analyses have been discussed above in connection to  
622 the ESs. However, the capability to include alternative FMMS that may be used in the future needs some  
623 further attention.

624 The DSSs are mainly developed to address current issues and solve existing tasks. DSSs that are tailored  
625 to stands of horizontally homogeneous cohorts have often been designed to describe competition and  
626 growth on the stand level rather than on the individual tree level. Such models have successfully been  
627 applied to silvicultural systems that focus on large even-aged stands. However, if other ESs beyond  
628 wood production, climatic resilience and risk management are to be considered, a multi-species stand  
629 structure with a continuous distribution of age classes may become relevant. Such alternative FMMs  
630 usually go beyond the scope of operational DSS and there is a risk that alternative FMMs may be limited  
631 by the existing functionality of DSSs and the current FMMs, which have also been highlighted by  
632 previous reviews of forest DSS (Muys et al. 2010; Filyushkina 2016; Nobre et al. 2016). To use existing  
633 empirical growth and yield models to include very different FMMs in scenarios can be both difficult, e.g.,  
634 if a DSS has been built and used mainly for even-aged forestry, models for tree growth and regeneration  
635 will probably have to be adjusted or newly developed if the DSS is to be used to create scenarios that  
636 include FMMs based on continuous cover forestry. Further development of the DSSs in this respect may  
637 thus be essential if indeed the provision of ESs depends on mixed uneven-aged stands. To cover growth  
638 and structure development of highly heterogeneous stands, model developers will need to describe the  
639 effect of position-dependent thinning interventions on nearest-neighbour competition and growth.  
640 While much of the theory implemented within modern DSSs will persist and contribute to future  
641 development, many models may require an increase in their spatial discretization down to the individual  
642 tree level. Nevertheless, the landscape ecology literature demonstrates that addressing the provision of  
643 ESs other than timber may be achieved by targeting landscape structure and composition variables  
644 (Borges and Hoganson 2000). It is landscape-scale process and form that provide the framework to  
645 ecological functioning (Baker 1992). The relation between the forested landscape spatial structure and  
646 its ecological characteristics was highlighted by several authors (e.g., Bradshaw 1992; Franklin and  
647 Forman 1987; Naiman et al. 1993). Hunter (1990) further emphasized that biodiversity in a forested

648 landscape would be best preserved in a land mosaic characterized by a diverse array of stands. The DSSs  
649 that report spatial analysis functionalities may thus be used to generate alternative landscape-level  
650 FMMs and assess their contribution to the provision of a wide range of ESs.

### 651 **Landscape scale decision support**

652 The DSSs included in this study originated from stand-level forest management planning models that  
653 incorporate single tree or stand growth and yield models. As is known from landscape ecology,  
654 addressing the provision of ESs other than timber requires the evaluation of landscape-level structures  
655 and composition variables. This study has shown that the assessed DSSs have been developed further  
656 and are now capable of dealing with the analysis of ESs at the landscape level, but only for the forest  
657 component. Only a few forest DSSs are capable of landscape analysis that includes other land cover than  
658 forest and other land use than forestry, as shown in a review of the 63 DSSs listed on the wiki produced  
659 within FORSYS, the EU-COST Action FP0804 Forest Management Decision Support Systems (Packalen et  
660 al. 2013). Ecosystem service and climate impact research, beyond the prediction of productivity and  
661 species composition, needs to address the above and below ground interactions within and between  
662 forests and with neighbouring landscape units. A widened spectrum of ecosystem services that result  
663 from the interaction among different components of the landscape, such as forests, agricultural areas  
664 and anthropogenic systems can then be considered. For example, models that use a detailed  
665 physiological component (Gutsch et al. 2002) are particularly suitable to represent hydrological  
666 processes including lateral fluxes. Coupling of hydrological and ecosystem models may enhance the  
667 quality of landscape-related case studies and enables the capturing of feedback processes between the  
668 forest and the hydrological system, such as groundwater recharge and nutrient and pollutant discharge  
669 (Molina-Herrera et al. 2015).

670 The study at hand underpins that all the DSSs presented can quantify essential stand properties for  
671 assessing forest vulnerability due to catastrophic events, which forms the basis for defining an effective  
672 regulatory ecosystem service framework at the landscape level. However, the lateral interaction of  
673 landscape elements is particularly relevant in the case of catastrophic events, such as the spread of fire  
674 across the landscape (Luo et al. 2014) or the protection of forest areas against storm damage as a result  
675 of shelter provided by other forests on the windward side and by other topographical landscape  
676 features. Seed dispersal is also an important long-term landscape-level process within the scope of  
677 forest resilience after fires and wind throw (Wang et al. 2013). Therefore, quantifying disturbance  
678 processes and preventative management approaches is a typical objective of landscape models (e.g.  
679 Syphard et al. 2011).

680 The rapid increase of computational capacity within research and land-use management institutions will  
681 promote the integration of all landscape components into the DSSs so that interactions between and  
682 within all landscape elements can be incorporated in the ES assessments (e.g. Schumacher et al. 2004).  
683 At the same time, the refinement of the forest representation within the DSSs will continue (e.g.  
684 through the development of physiological single-tree growth models) and will facilitate a more accurate  
685 and detailed assessment of the effects of climate change on the development and productivity of the  
686 forest component of the landscape.

687

## 688 **Conclusions**

689 To sum up, all DSSs assessed may be used to estimate the impacts of both stand and landscape-level  
690 FMMs on the provision of a range of ecosystem services over a typical temporal planning horizon (e.g.,  
691 one and a half rotation in the case of even-aged structures). Results evince further that DSSs can be  
692 used to assess how timber price trends may impact that provision over time. The inclusion of forest

693 owner behavior as reflected by the adoption of specific FMMs seems to be also in the reach of all DSS.  
694 Nevertheless, in some cases the DSSs need more data and models that may help to estimate the impacts  
695 of climate change on biomass production and other ESs. In scenarios covering long time horizons it is  
696 crucial to include modelling of climate change effects, since the outputs of most ESs are likely to change  
697 due to a changing climate. In many DSSs, the spatial analysis functionality need to be further developed  
698 for a more accurate assessment of the landscape level output of ESs from both current and alternative  
699 FMMs. The capability to include alternative and truly innovative FMMs is also an issue for many of the  
700 DSSs, e.g., FMMs driven by the production of other ESs than timber and biomass.

701 Even though the DSSs produce estimates of the same ESs using the same variables, different methods  
702 are used in the modelling approaches. The question is if the methodologies used to estimate the ESs  
703 have an impact on the outputs and, ultimately, if the outputs, in terms of ES estimates, are really  
704 comparable (cf. Biber et al. 2015). However, insisting on uniform methodologies could result in a loss of  
705 relevance of ES estimations at the local landscape scale. We hope that this study has taken a few steps  
706 in the direction of making outputs of different DSSs comparable by assessing their capabilities to  
707 estimate certain ESs in an integrated manner using a range of global scenarios.

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