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<td>Authors(s)</td>
<td>Moran, Dermot</td>
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<tr>
<td>Publication date</td>
<td>2018</td>
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<td>Publication information</td>
<td>Phenomenology and Mind, 15 : 72-90</td>
</tr>
<tr>
<td>Link to online version</td>
<td><a href="http://www.fupress.net/index.php/pam/article/view/24973">http://www.fupress.net/index.php/pam/article/view/24973</a></td>
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<tr>
<td>Publisher's statement</td>
<td>CC BY 4.0 Firenze University Press</td>
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<td>Publisher's version (DOI)</td>
<td>10.13128/Phe_Mi-24973</td>
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WHAT IS THE PHENOMENOLOGICAL APPROACH? REVISITING INTENTIONAL EXPLICATION

abstract

In this paper I outline the main features of the phenomenological approach, focusing on the central themes of intentionality, embodiment, empathy, intersubjectivity, sociality and the life-world. I argue that phenomenology is primarily a philosophy of intentional explication that identifies the a priori, structural correlations between subjectivity and all forms of constituted objectivities apprehended in their horizontal contexts. Intentional description reveals the structurally necessary, meaning-informing interactions between embodied subjectivity (already caught in the nexus of intersubjectivity) in the context of embeddedness in the temporal, historical, and cultural life-world. I shall defend phenomenology as a holistic approach that rightfully defends the role of subjectivity in the constitution of objectivity and recognizes the inherent limitations of all forms of naturalism, objectivism and scientism.

keywords

phenomenology, description, intuition, intentionality, life-world, correlation
Introduction: The Reach of Phenomenology

Phenomenology is arguably the most influential and most widespread (in terms of geographical reach, especially in the non-anglophone world) philosophical movement of the twentieth century; and, as a method or approach, it continues to be extremely influential in the twenty-first century, especially in philosophy of mind and the cognitive sciences, in the medical humanities (especially philosophy of psychiatry), and in intercultural philosophy, and any discussions involving intentionality, consciousness, embodiment, affectivity, mood, empathy, social relations, and embeddedness in cultural tradition. Phenomenology is a flexible approach and there is not one universally accepted method, nevertheless it has distinctive features which I shall try to outline in this paper.

Phenomenology first emerged as the term for a scientific discipline, initially in the writings of Lambert, Kant and Hegel (Moran, 2000), but then was established as a specific method of doing philosophy by Franz Brentano in his Descriptive Psychology lectures (Brentano, 1995a) and by Edmund Husserl in his Logical Investigations (Husserl, 2001). In Germany, in the nineteen twenties, phenomenology interacted vigorously with contemporary competing philosophical movements, including the Vienna Circle, German Neo-Kantianism, Life-philosophy (Simmel), Hermeneutics (Dilthey), with the nascent Critical Theory of the first Frankfurt School (Horkheimer, Adorno), and also with Marxism (Arendt, Marcuse, Tran Duc Thao). Later phenomenology was brought into dialogue with Neo-Hegelianism (Kojève, Hyppolite, Sartre, Merleau-Ponty), structuralism and poststructuralism (Foucault, Derrida), and with philosophy of language (Reinach pioneered speech acts, Mulligan, 1987), e.g. the work of J. L. Austin and John Searle (Searle, 1983).

Phenomenology explores its main themes – intentionality, intuition, eidetic insight (Wesensschau), perception, embodiment, empathy, intersubjectivity, sociality, historicity, and the life-world – in an original and, judging by the amount of attention given to classical phenomenology by contemporary analytic philosophers, still relevant manner (Gallagher & Zahavi, 2012). These themes have largely been recovered and revisited in contemporary philosophy of mind (Dahlstrom 2015), philosophy of action, philosophy of the cognitive sciences, among other areas (Dennett, 1987; Noë, 2005; Hopp, 2011). Furthermore, phenomenology is currently valued not just for its original and still relevant discussions of the first-person perspective, embodiment, emotions and moods, intersubjectivity, historicity, etc., but also for its trenchant critique of various one-sided standpoints that have dominated much contemporary philosophy, e.g., naturalism (Zahavi 2004, 2010, 2013; Moran 2008, 2013a), objectivism, and scientism (Georgi, 2009). The phenomenological tradition is currently...
in the process of being re-invigorated through its engagement with the complex issues of intercultural hermeneutics (Waldenfels, 2007; Lau, 2016).

Both as a strict method – Husserl’s “philosophy as rigorous science” (Philosophie als strenge Wissenschaft, Husserl, 2002) and, more generally, as a theoretical approach, phenomenology is now well established not only within theoretical philosophy but also in various forms of applied philosophy and in disciplines, such as sociology (Alfred Schütz, Harold Garfinkel), psychology (Aron Gurwitsch), neurology (Francisco Varela, see Petitot & Varela 1999), psychiatry and psychotherapy (Karl Jaspers, Ludwig Binswanger, Erwin Straus (1966), Amadeo Giorgi (1997), Eugene T. Gendlin, Thomas Fuchs, Louis A. Sass, see Durt et al., 2017), and, more recently, as a method of qualitative analysis in the social and health sciences (Jonathan A. Smith et al., 2009) and in the medical humanities.

Phenomenology, first and foremost, as it evolved throughout the twentieth century and into the twenty-first, is best understood as a tradition and an outlook or approach rather than as a strict method. Despite Husserl’s best efforts to stipulate its methodological rigor (Husserl, 2014), each classical phenomenologist (e.g. Scheler, Heidegger, Stein, Sartre, Merleau-Ponty) has developed his or her own distinctive approach. As an established philosophical tradition, phenomenology has bequeathed a rich corpus of texts that are now philosophical classics, e.g. Husserl’s Logical Investigations (Husserl, 2001) Heidegger’s Being and Time (Heidegger, 2012), Sartre’s Being and Nothingness (Sartre, 1979), Merleau-Ponty’s Phenomenology of Perception (Merleau-Ponty, 2012), to name but a few, that are currently being rediscovered, especially in the Anglo-American tradition (Szanto, 2012), by philosophers, such as: Hubert L. Dreyfus (1991), Charles Taylor, Sean Dorrance Kelly (2000), Alva Noë, Shaun Gallagher, Evan Thompson (2007), John McDowell, Robert Brandom, among many others.

Phenomenology is also – and this distinguishes it from contemporary philosophy of mind—consciously reflective about what traditionality, or ‘life in tradition’, involves. Hence Husserl, Heidegger, Gadamer, Ricoeur, Waldenfels, and others, have played close attention to how subjective and cultural life are interwoven in a layered and sedimented manner, how traditions are handed on, distorted, emptied out of significance and reborn in the ebb and flow of collective social and historical intentionality. It is not just that all humans live in history, and tell their historical narratives, their orientation to existence is such as to be intrinsically historical. Some phenomenologists have been drawn toward Hegel’s or Dilthey’s discussions of historical evolution and development, but phenomenology tends to look more at how humans live temporally in an historical tradition. As Heidegger puts it in Being and Time, Being historical or ‘historicity’ (Geschichtlichkeit) is an a priori condition of being human (Heidegger, 1962). Or, as Merleau-Ponty famously put it, human being is “an historical idea, not a natural species” (Merleau-Ponty, 2012). Human existence then has to be understood in terms of its overall temporal and historical dimensions. At the same time, Husserl’s reduction is an attempt to break with the natural flow of this historicity (Husserl, 1970, p. 151).There is, so to speak, even such a thing as the ‘historical way’ to the reduction, that Husserl speaks about in 1937 and which he regarded as more primary than the way outlined in Ideas I (Moran, 2013b). Furthermore, as Husserl explored, all cultures live within horizons of familiarity and strangeness. Every life-world has dimensions of “home-world” and “alien-world” (Steinbock, 1995); everyone lives within horizons which lay out structures of normality and abnormality, harmony and surprise, yet these structural dimensions of experience rarely are foregrounded in intentional explication.
Phenomenology essentially is intentional description, i.e. it aims to describe every kind of object or ‘objectual’ situation or state of affairs, in terms of its correlation with an apprehending subjectivity. In this sense, phenomenology is a philosophy of correlation. Full explanation requires taking the subjective point-of-view into consideration. In his *Crisis of European Sciences* Husserl claimed his real philosophical breakthrough came in 1898 when he realized that there was a “universal a priori of correlation between experienced object and manners of givenness” (Husserl, 1970, p. 166 n.). Every object must be understood not solely as it is ‘in itself’ but in necessary relation to the subjective acts that disclose it. Anything that is – whatever its meaning and to whatever region it belongs – is “an index of a subjective system of correlations”, Husserl, 1970, p. 165). Husserl writes:

The correlation between world (the world of which we always speak) and its subjective manners of givenness never evoked philosophical wonder (that is, prior to the first breakthrough of “transcendental phenomenology” in the *Logical Investigations*), in spite of the fact that it had made itself felt even in pre-Socratic philosophy and among the Sophists – though here only as a motive for skeptical argumentation. This correlation never aroused a philosophical interest of its own which could have made it the object of an appropriate scientific attitude. (Husserl, 1970, p. 165)

According to this a priori correlation, the manner in which entities in the world present themselves is always related to the subjective way of apprehending these entities. As Husserl puts it in his 1917 Inaugural Address to Freiburg University entitled “Pure Phenomenology: Its Method and Field of Investigation”:

To every object there correspond an ideally closed system of truths that are true of it and, on the other hand, an ideal system of possible cognitive processes by virtue of which the object and the truths about it would be given to any cognitive subject. (Moran & Mooney, 2002, p. 125)

Phenomenology then is a form of correlationism, although this is not to condemn it, as Quentin Meillassoux attempts to do in his recent attack on correlationism (Meillassoux, 2009). Correlationism aims to give a fuller account of ontology by including the subjective dimension, hence *phenomenological ontology*. Phenomenology, broadly speaking, involves the careful, unprejudiced description of conscious, lived experiences (Husserl’s *Erlebnisse*), precisely according to the manner that they are experienced, without the imposition of external explanatory frameworks, whether these be drawn from the natural or social sciences, from religion, or even from common sense or ordinary language use. In *Being and Time*, Heidegger defines phenomenology as “to let that which shows itself be seen from itself in the very way in which it shows itself from itself” (Heidegger, 1962, p. 58). This formulation is close to that found in Husserl’s *Crisis of European Sciences*: “to take the conscious life, completely without prejudice, just as what it quite immediately gives itself, as itself, to be” (Husserl, 1970, p. 233). In this sense, phenomenology seeks to remain descriptively true to what conscious experience – understood in the widest possible sense – reveals itself to be to the disciplined observer. For Merleau-Ponty, the practice of phenomenological seeing is meant to disrupt the everyday. It aims at “disclosure of the world” (*révélation du monde*). In his *Phenomenology of Perception* (1945), he writes: “true philosophy entails learning to see the world anew” (Merleau-Ponty, 2012, p. lxxxv). The key to the methodology is the manner of this observation – which is not introspection (as it is often portrayed) but rather methodical reflective analysis that is seeking for invariants
and essential features structuring the flow of experience. Husserl often made somewhat
grandiose claims about phenomenology as the “science of sciences”, and an ultimate
presuppositionless science, and these claims can easily be challenged, but his overall vision of
a foundational science that explored the entire life of consciousness uncovering its essential
structures has an enduring appeal. This Husserl writes in his Lectures on Passive Synthesis:

But if one has learned to see phenomenologically and has learned to grasp the essence
of intentional analysis, if one has—expressed in the form of the Goethian myth—found
the way to the mother of knowledge, to its realm of pure consciousness in which all
being arises constitutively and from which all knowledge as knowledge of beings has to
fashion its ultimate comprehensible clarification, then one will initially make the quite
astounding discovery that those types of lived experience are not a matter of arbitrary
special features of an accidental life of consciousness, but rather that terms like
“perception,” “memory,” “expectation,” etc., express universal, essential structures,
that is, strictly necessary structures of every conceivable stream of consciousness, thus,
so to speak, formal structures of a life of consciousness as such whose profound study
and exact conceptual circumscription, whose systematic graduated levels of foundation
and genetic development is the first great task of a transcendental phenomenology. It
is precisely nothing less that the science of the essential shapes [Wesensgestaltungen] of
consciousness as such, as the science of maternal origins. (Husserl, 2001a, pp. 365-6).

This is Husserlian phenomenology in a nutshell: “the science of the essential shapes
[Wesensgestaltungen] of consciousness as such”. Husserl is uncovering the formal structures of any consciousness whatsoever and thereby
the various manners of givenness (Gegebenheit – what Heidegger called “the magic word” of
phenomenology) through which the world is manifest to consciousness. Husserl is here self-
consciously radicalizing Kant’s project of a critique of pure reason. For Husserl, if one can
uncover the essential a priori structures of subjectivity (in its fullest sense – the whole of mental
life or ‘life of spirit’ (Geistesleben), as he terms it), the source of all knowledge about the world,
actual, possible, impossible, and so on, will be revealed. Husserl sought to uncover the essential
nature of subjectivity itself, from its deepest layer of originary time-consciousness, up through
the layers of embodied sensuous, perceptual and emotional life, to the very core of the self in its
relations with other selves and to the horizoning, shared world. Husserl’s structural account of
inner awareness of temporality (Husserl, 1990) is still a vital source for philosophical reflection.

Phenomenology has advocated both the ideal of a completely presuppositionless starting point
for philosophical reflection and also the idea that all experiences are interpreted in terms
of one’s linguistic, cultural and intentional horizons. Husserlian phenomenology, ab initio,
claimed to be “presuppositionless” (voraussetzunglos: Husserl, 2001, vol. 1, p. 177). Thus he
writes even in his Amsterdam Lectures of 1928:

We will refrain from any traditional prejudices, even the most universally obvious
ones of traditional logic, which already have perhaps taken from Nature unnoticed
elements of meaning. We will hold ourselves resolutely to what phenomenological
reflection presents to us as consciousness and object of consciousness, and purely
to what comes to actual, evident self-givenness. In other words, we will interrogate
exclusively the phenomenological experience, clearly and quite concretely thinking
into a reflective experience of consciousness, without interest in determining
concretely occurring facts. (Husserl, 1997)
WHAT IS THE PHENOMENOLOGICAL APPROACH?

Husserl remained committed to presuppositionless as a methodological principle. On the other hand, Heidegger’s development of hermeneutical philosophy, brought interpretation and ‘pre-judgment’ (Vorurteil) to the heart of the phenomenological discussion of understanding (Verstehen). Indeed, Husserl, too, in his late work, although he retained the goal of final ‘clarification’ (Klärung) did recognise the embeddedness of human being within their cultures and the manner in which their horizons were determined by their cultural embeddedness. As an example of how themes can return in different form (and without recognition of its Vorwelt), consider the phenomenon that, after several centuries of discussion of presupposition, prejudgement (Vorurteil), hermeneutics, conflict of interpretation, and so on, ‘implicit bias’ has now emerged in empirical psychology and has been, somewhat uncritically, embraced by contemporary analytic philosophy, without reference to the longer tradition. One wonders how Hans-Georg Gadamer’s assertion that all understanding is misunderstanding, and Paul Ricoeur’s hermeneutics of suspicion (Gadamer 1984) might sit with those enthusiastically detecting and claiming to neutralise if not eliminate implicit bias.

5. Intentionality as the Principal Theme of Phenomenology

Phenomenology begins from the recognition of intentionality: our subjective comportment and conscious behavior is essentially both meaning-apprehending and meaning-making, overall making sense of the world. Husserl speaks of Sinnegebung, ‘sense-giving’, ‘sense-bestowal’; ‘sense-explication’ (Sinnauslegung), captured in ‘reflexion’ (Besinnung). Furthermore, phenomenology maintains that intentionality is all pervasive: all aspects of live involve a coming together of subjective attitude and objective meaning. Husserl calls intentionality (Intentionalität) – elsewhere described as ‘directedness’ (Gerichtetsein) or ‘aboutness’ (Meinung) – the “principal theme” (Hauptthema) of phenomenology (Husserl, 2014, p. 161). Intentionality was reinserted into modern philosophy (recovered by the Scholastics) by Franz Brentano in his 1874 *Psychology from the Empirical Standpoint* (Brentano, 1995). In his effort to define the domain of psychological science, Brentano proposed that intentionality was the defining characteristic of all and only mental phenomena (Moran, 1996). Initially, Brentano conceived of intentionality rather narrowly as a relation between a mental act and its ‘internal’ object (dreaming about winning the race is an act of dreaming that is directed at a peculiar object winning the race, in this case a complex state-of affairs). Husserl expanded this insight to make intentionality the meaning-endowing character of all lived experiences. Furthermore, Husserl treated intentionality not narrowly as a relation between a mental act and its object, and especially not as a kind of representation of the outer world in the inner mind (Drummond 2012; 1992; 1990), but as expressing the fundamental ways embodied subjects, interacting with other subjects, apprehend the world as senseful and respond actively generating further commitments of sense. Intentionality is essentially a claim about the sensefulness of experience based on the irreducible, essential interrelatedness between signifying consciousness and the surrounding world of significance. This interpretative activity need not be explicitly linguistic. In this regard, Husserl distinguishes between ‘sense’ (Sinn) (e.g. of non-linguistic perceptions) and ‘meaning’ (Bedeutung) that is expressed in language. Our embodied sensings, perceptions, feelings, moods, emotions, imaginings, thoughts, already have ‘sense’ prior to verbal articulation and may in fact be consciously experienced in an embodied manner. Our perceptual vista simply unfolds for us as we turn our eyes around to view the landscape; our hearing immediately experiences being in the midst of a noisy scene with car engines, slamming doors, human voices, barking dogs, or whatever. Even ‘white noise’ has a certain experiential significance – and is experienced as uncomfortable, distressing, and so on. All experiencing is experiencing of something and as something. We feel the day to be gloomy and we lack energy and enthusiasm. These are all forms of sense-disclosure. Furthermore, experiences occur against a backdrop of previously meaningful experiences,
in what Husserl calls the ‘harmoniousness’ (*Einstimmigkeit*) of our experience, which in turn motivates certain expectations of continuity, how the future situation will pan out, and so on. We have the sense of the world unfolding, going on, outside us and within us. Aron Gurwitsch especially developed Husserl’s account of the theme and its ‘thematic field’:

> What is given in genuine sense experience presents itself as a member of a system, becoming what it phenomenally is by its relatedness to the system, by the role which it plays in it, by its significance for it. (Gurwitsch, 1966, p. 348)

Husserl’s and Gurwitsch’s accounts of perception had a major impact on Merleau-Ponty’s *Phenomenology of Perception* (1945; Merleau-Ponty, 2012), which is still extraordinarily influential in contemporary philosophy of mind.

Intentionality is widely discussed in analytic philosophy (Dennett, 1987; Searle, 1983) also, but phenomenology generally opposes immanent, representationalist, causal, and naturalist accounts of intentionality (Dreyfus 2000, 2007), and instead approach conscious acts and states as conferring ‘sense’ (*Sinn*), ‘meaning’, or ‘significance’ (*Bedeutung, Meinung*), in part constituted through embodied ‘comportment’ (Verhalten) and intersubjective ‘interaction’ (Ineinandersein), inhabiting a pre-given world already charged with significance (Dreyfus 1991; 2007a). Human beings cast a web of sense over their experiences, so even disturbed, pathological subjects imbue their fantasies and paranoid thoughts with senseful plausibility (Gendlin 1962). Indeed, for Husserl, so long as subjectivity exists, the idea of complete disorder, chaos, and senselessness (*Unsinn*), pure discordance, is literally unthinkable since, at the very least, the subjective flow of egoic consciousness will continue (Husserl 2014).

Husserl has a tiered or nested account of how intentional states are founded and interrelated. Perception is the primal or ‘originary’ form of intuiting, whereas memory and imagination are reproductive modifications of perception. Memory is a form of ‘calling to mind’ or ‘representing’ (*Vergegenwärtigung*) that no longer has the distinctive bodily presence that characterizes perception. Imagining is yet another form of presenting which posits an object somewhat detached from perceptual surroundings. Furthermore, genuine representing or mental envisaging is, for phenomenology, just one peculiar intentional mode. Pictorial representing has its own structural character that is founded on the more direct perceptual intentionality. Empty or ‘signitive’ intendings, for Husserl, constitute the largest class of our conscious acts, and have a particular relevance in mathematics and scientific discourse where signs are manipulated in an empty way but ultimately, for Husserl must be grounded in fulfilled intuitions.

For Husserl, not just the modes of the intentional stance but, correlative, the objects of intention are multiple. For instance, one can perceive objects and their properties, but also one can perceive actions, processes, relations, and complexes or events that Husserl calls “states of affairs” (*Sachverhalte*, Husserl 2001, vol. 2, 155). Perceptions found perceptual statements. Perceptual statements and judgments are, in turn, complex multi-layered acts – linguistic utterances select aspects of the overall perceived state of affairs (I can say that I see the blackbird, the blackbird flying, that the bird is startled, etc.) but never reproduce the intuitive fullness of the actual sensuous perception itself. In this regard, as Merleau-Ponty insists, perception is richer than thought; and conceptual judgements at best one-sidedly articulate what is implicit in our incredibly rich perceptions.

Furthermore, Husserl recognized that all objects that occupy space are apprehended in ‘profiles’ or ‘adumbrations’ (*Abschattungen*), and their essence is never exhausted by these profiles. An object is always further determinable and portends ever new contexts in which these prefigured experiences can be fulfilled. Physical objects present with an ‘excess’
WHAT IS THE PHENOMENOLOGICAL APPROACH?

(Überschuss), whereby their determinate features are supplemented with a horizon of indeterminacy of features that can be explored in further perceptions (Hopp, 2011). In Ideas I (Husserl 2014), Husserl speaks of “modes of indeterminate suggestion and non-intuitive co-presence” (Husserl 2014, 183) that are wrapped up in the experience. Husserl writes:

No matter how completely we may perceive a thing, it is never given in perception with the characteristics that qualify it and make it up as a sensible thing from all sides at once. ... Every aspect, every continuity of single adumbrations, regardless how far this continuity may extend, offers us only sides. And to our mind this is not just a mere statement of fact: It is inconceivable that external perception would exhaust the sensible-material content of its perceived object; it is inconceivable that a perceptual object could be given in the entirety of its sensibly intuited features, literally, from all sides at once in a self-contained perception. (Husserl, 2001a, pp. 39–40)

Perception, for Husserl, anchors us to the world. Perception is the primal or ‘originary’ form of intuiting, accompanied by the firm conviction of things (Husserl’s Urglaube) being present thus and so. Perceptual objects are given to apprehension with full ‘bodily presence’ (Husserl: leibhaftig; Merleau-Ponty: en chair et os). Husserl writes:

[Perception] is what originally makes us conscious of the realities existing for us and “the” world as actually existing. To cancel out all such perception, actual and possible, means, for our total life of consciousness, to cancel out the world as objective sense and as reality accepted by us; it means to remove from all thought about the world (in every signification of this word) the original basis of sense and legitimacy. (Husserl, 1974, p. 26)

The perceived object is directly presented as a whole, even if it is really presented as possessing an indefinite number of further profiles that can be brought into view. Perception is also truth-giving, truth-grasping. It has a ‘filled’ character that provides the intuitive basis for our sense of truth. Husserl conceives of the structure of intentionality as essentially a dynamic movement of empty intentions towards fulfillment. Actual perception is the paradigm case of fulfilled intuition (albeit that the object always presents in profiles or shadings, Abschattungen). There are different degrees of fulfillment. Husserl also connects intentionality with the manner that objectivities have ‘self-givenness’ (Selbstgegebenheit) in experience, a phenomenon he often calls ‘self-evidence’ (Evidenz), and which he strongly distinguished from psychological feelings of certainty. Husserl sees intentionality and evidence as essentially correlated:

The concept of any intentionality whatever - any life-process of consciousness-of something or other - and the concept of evidence, the intentionality that is the giving of something-itself [Selbstgebung] are essentially correlative. (Husserl, 1969, p. 160)

Perfect evidence, for Husserl, involves the grasping of the object in full givenness and with the clarity and distinctness appropriate to it. For Husserl, intentionality is related to the self-givenness of experiences and self-givenness has the remarkable character of manifestness or what Husserl calls ‘phenomenality’. Thus he writes:

... one can say of every mental process that in it something is appearing to the particular I insofar as the I is somehow conscious of it. Accordingly, phenomenality [die Phänomenalität], as a characteristic that specifically belongs to appearing and to the
thing that appears, would, if understood in this broadened sense of the term, be the fundamental characteristic of the mental. (Husserl, 1997)

For Husserl, a physical object, apprehended phenomenologically, supports a potentially indefinite number of possible modes of access to it. Hence Husserl speaks of the intended object as “an idea in the Kantian sense” (Husserl, 2014, p. 284). Intentional objects already contain the possible modes of approach to them as a series of lawfully related noemata (Drummond & Embree, 1992). The aspectual shapes or modes of approach to the object can be visualized as ‘windows’ or avenues of approach to the object, set up in an essentially pre-determined way. Thus, in the Amsterdam Lectures, Husserl describes the noema of a house in a house-perception as opening onto an infinite horizon of other possible profiles of the house:

The question immediately arises as to how come it is evident that this pointing-ahead belongs to the phenomenon-in-consciousness? How come this horizon-consciousness refers us in fact to further actually unexperienced traits of the same <phenomenon>? Certainly, this is already an interpretation which goes beyond the moment of experiencing, which we have called the “horizon-consciousness,” which is, indeed, as is easily determined, completely non-intuitive and thus in and of itself empty. (Husserl, 1997, pp. 226-227)

In his mature works, Husserl expands his earlier analyses of the specific features of intentional objects to consider the non-objectual intentionality of horizons, fringes, that manifest themselves in the temporal flow of a unified “nexus of consciousness” (Bewusstseinszusammenhang, Husserl, 1969, p. 159) and ultimately form the life-world (Lebenswelt) that is ‘always already there’ but can never be objectified. In Ideas I Husserl defines the ‘horizon’ as “‘what is co-given’ but not genuinely” (Husserl, 2014, p. 77) and, in Experience and Judgment, he speaks of “the horizon of typical pre-acquaintance in which every object is pregiven” (Husserl, 1973, p. 150). Husserl believed he has made a genuine breakthrough with his concept of horizonal-intentionality (Horizont-Intentionalität), originally inspired by William James. Husserl’s ‘horizon-intentionality’ is later taken up by Gurwitsch and Merleau-Ponty to explicate the complex manners experiences are framed by temporal and other horizons that have their own kind of significance.

Intentionality covers the whole of conscious life; everything is an achievement or accomplishment of intentional consciousness: “… nothing exists for me otherwise than by virtue of the actual and potential performance of my own consciousness [Bewusstseinsleistung]” (Husserl, 1969, p. 234). Life is intentional, ‘accomplishing’ life with its potentially infinite horizons of intentional implication, uniting together into the collective experience known as spirit. As Husserl writes in the Crisis:

... conscious life is through and through an intentionally accomplishing life [intentional leistendes Leben] through which the life-world ... in part attains anew and in part has already attained its meaning and validity. All real mundane objectivity is constituted accomplishment in this sense, including that of men and animals (Husserl, 1970, p. 204).

The Husserlian account of lived experiences is extraordinarily rich. For phenomenology, not just perceptions and judgments (the traditional focus of epistemology) but also feelings, emotions (Vendrell Ferran 2008, 2015), acts of willing, moods, have a peculiar manifestness of their own which contributes to the manner in which consciousness engages with the world. For Husserl, intentional experiences form a seamless unity in the flow of consciousness but

6. The Intentionality of Emotions and Moods
nevertheless, there is a structural order of experiences. Husserl’s account of intentionality was further radicalized by Heidegger and by Merleau-Ponty’s analyses of embodied, habitual and practical intentionality, focusing especially on pre-theoretical, habitual, practical behavior in an environment of already-given significance. Phenomenologists have seen the significance of moods as being ways in which the world is disclosed and also the manner in which one is disclosed to oneself. Thus Stephan Strasser claims that moods are “the primordial phenomenological characteristic of self-experiencing life” (Strasser, 1997, p. 121). Heidegger, in Being and Time, made significant contributions with his analyses of ‘fundamental moods’ (Grundstimmungen), disposition or ‘state-of-mind’ (Befindlichkeit), and the experience of ‘thrownness’ (Geworfenheit), finding oneself always thrown into a situation. Heidegger (1962) discussed fundamental moods such as anxiety (Angst) which he distinguished from fear, a relationship to something in particular, but has as its object one’s whole being-in-the-world. One’s whole being is experienced as groundless and unsupported. Similarly Aurel Kolnai (2004) developed an analysis of disgust, and Sartre develops this with his account of vertigo or nausea – where one experiences one’s own absolute freedom. Vertigo is not so much the fear of falling as the fear of jumping. One’s inner freedom is limitless and, in this sense, truly terrifying, opening up before one like a chasm. Sartre’s phenomenological accounts of the experience of freedom have enduring value (Sartre 1979).

Part of the enduring appeal of phenomenology is that it respects the centrality and ineliminability of the first-person point of view, the first and primary standpoint from which humans encounter the world. Phenomenology argues that the objective view – what Merleau-Ponty calls, in his 1945 Phenomenology of Perception, “the view from nowhere” (la vue de nulle part, Merleau-Ponty, 2012)—is achieved only by abstracting from the original first-person stance with which humans engage the world.

All knowing requires the engagement of subjectivity and is the achievement of a first-person. This is why Husserl stresses his so called ‘Cartesian’ way of practicing phenomenology, which Husserl articulated especially in the Cartesian Meditations, lectures given in Paris in 1929 (Husserl, 1960). Subsequently, in the Crisis, Husserl identified at least three ‘ways’ of performing the reduction: the “Cartesian way” (Husserl, 1970, p. 154), the “way from psychology” (Weg von der Psychologie, Husserl, 1970, p. 208), and the way from the pregiven life-world (Husserl, 1970, p. 136). Husserl admires Descartes’ attempt to overthrow the existing sciences and set forth the indubitable grounds for a first philosophy that will be “a science grounded on an absolute foundation” (Husserl, 1960, p. 1). Husserl also agrees with Descartes that the practice of philosophy must be a first-person affair, and that the meditator must accept only his or her own existence as having an absolute indubitability. For Husserl, Descartes has uncovered the fundamental fact that all being is relative to consciousness and that the transcendental ego has absolute being (Husserl, 1967, p. 69). There is an undeniable priority to my self-experience. Phenomenology attends to whatever has immediate ‘self-givenness’ in the realm of self-conscious intuitive experience. One can turn the focus of one’s attention away from matters in the world to what is given in the stream of one’s own first-person conscious experiencing. One can attend to the various manners of givenness of whatever is encountered within that stream of experiencing.

This requires the meditating self or ego to come to understand the essential nature of the self in general, and thereby modifying its own nature to include the possibility of other different natures (other egos). Husserl thought that this insight into the essence of egohood or selfhood belonged exclusively to conscious experiences of the form of the cogito and offered a unique starting point for gaining what he considered to be apodictic knowledge. Note, however, that
Husserl has no interest in what is uniquely subjective and idiosyncratic to my experiencing – he is interested in the essential form of self-experiencing as such. Of course, Husserl’s embrace of Cartesianism is now seen as just one strand in his complex layered set of approaches. Husserl in later years sought to embed this phenomenology of self-experience into a wider phenomenology of the experience of alterity.

As Husserl’s phenomenology developed, he increasingly emphasised that we are incarnate, that is to say, embodied, situated, finite human beings, already in the world and for whom the world has a given, taken-for-granted, ‘natural’ status. Husserl himself speaks of the ‘phenomenology of embodiment’ (Phänomenologie der Leiblichkeit). Similarly, he attended to the en-worlded nature of our experience. Much of Husserl’s puzzlement came from trying to figure out how it is that the lived world comes to have this taken-for-grantedness, self-givenness and obviousness in the natural attitude.

Phenomenology is interested not just in the first-person, but in the second-person, in the alter ego, both singular and plural, and the constitution of various forms of ‘I-Thou’ relations (Husserl speaks of the ‘Ich-Du-Beziehung’) and ‘we-intentionality’ (Wir-Intentionalität) in what is now known as social or collective intentionality. Indeed, some of the most fruitful discussions concerning the formation of the ‘we’ and ‘we-world’ (Wir-Welt), as Husserl calls it, have emerged in recent social philosophy in dialogue with phenomenology (Moran & Szanto, 2015). Phenomenology is, as Husserl put it, a science of subjectivity, but it also a science of subjectivity embodied and embedded and involved in the intersubjective constitution of objectivity and of the world.

Phenomenologists can begin from their own experience, but they are open to understanding other people’s experiences, as they are experienced (examples can be found in real life, in literature, or, simply, through the process of imaginative variation, a procedure Husserl himself practiced). In other words, close attention must be paid to the subject’s own account of their experiences, emotions, and how they form their general sense of matters that affect them. Phenomenology is replete with descriptive analyses of affective life, feelings, emotions, moods, existential concerns, and various dimensions of lived embodiment and more generally ‘being-in-the-world’ (In-der-Welt-Sein, Heidegger, 1962; être-au-monde, Merleau-Ponty, 2012).

One of phenomenology’s greatest and most useful discoveries is that of the ‘natural attitude’ (die natürliche Einstellung)—a term that Husserl uses from as early as 1906-7, but which emerges in print in Ideas I (Husserl, 2014, p. 48). In order to focus on the manner of experiencing, it necessarily involves interrupting natural, straight-forward experiencing. According to Husserl, in our everyday practices and routines, we are in a certain attitude (he calls it ‘the natural attitude’) towards things and towards the world, and somehow this is a state of self-forgetfulness. The world presents itself as simply there, given, available to us. Husserl’s insight (inspired in part by Avenarius’ discussion of non-dualistic experiential life in Der menschliche Weltbegriff) is that the ordinary, natural world that surrounds us on all sides, in which we live and move and have our being, is actually itself the correlate of a very powerful yet also quite specific and particular attitude: the natural attitude. In his 1935 Vienna Lecture Husserl defines an attitude as “a habitually fixed style of willing life (Stil des Willenlebens) comprising directions of the will or interests that are prescribed by this style, comprising the ultimate ends, the cultural accomplishments whose total style is thereby determined” (Husserl, 1970, p. 280). In his Kant Society lecture in 1974, he describes the natural attitude:

The natural attitude is the form in which the total life of humanity is realized in running its natural, practical course. It was the only form from millennium to
millennium, until out of science and philosophy there developed unique motivations for a revolution. (Husserl, 1974, 20)

Disrupting the natural attitude and undermining its hold on us will be central to Husserl’s practice of the phenomenological method. It is important also to emphasize that the scientific worldview which is now entwined with the natural worldview does not necessarily provide us with a grasp of reality and truth in the fullest sense.

In order to lay bare the intentional constitution of the natural attitude, phenomenologists apply the procedure of ‘suspension’, ‘bracketing’, or phenomenological reduction, to strip away the presuppositions embedded in the natural attitude. Husserl’s procedure of epoché (a term he introduced in Ideas I to stand for the set of operations that excludes the naive, natural, objective attitude to the world) is an explicit radicalisation and modification of Cartesian doubt. Husserl interpreted Descartes as attempting a universal world-negation, whereas he himself sought not negation but rather neutralisation of worldly commitments. Breaking with the ‘natural attitude’ to prepare the mind for the scientific attitude is also part of Descartes’ programme. Husserl says that his phenomenological epoché differs from Cartesian doubt (Husserl, 2014), in that he interprets the actual, historical Cartesian doubt as a kind of dogmatic scepticism, involving the dogmatic denial of the existence of the world, rather than as the more Pyrrhonian scepticism, which keeps existential judgements in suspense and remains uncommitted. Husserl wants to uncover the “genuine sense of the necessary regress to the ego” (Husserl, 1960, p. 6). His method is a treating of assumptions as mere “acceptance-phenomena”, “inhibiting”, “putting out of play” (Husserl, 1960, p. 20). In Ideas I, for instance, Husserl speaks of epoché as rigorous ‘exclusion’ (Ausschaltung) and an ‘abstention’ (Enthaltung) from the methods and propositions of the philosophical tradition (Husserl, 2014, p. 52). The epoché and the associated ‘phenomenological reductions’ are meant to allow the nature of the experiences to become manifest without distortion and to lead back (Latin: re-ducere) to the apprehension of the essential (‘eidetic’) structures, contents, and objects and how they interconnect with other forms of consciousness.

Husserl borrowed the epoché from the Greek sceptics – and it is noteworthy in the quotation above from the Crisis that Husserl speaks of the correlation between world and subjectivity as already arising under the mode of skepticism about knowledge already in the ancient Greeks. Indeed, Husserl thinks ancient Greek philosophers – a “few Greek eccentrics” (ein Paar griechischen Sonderlingen, Husserl, 1970, p. 289), as he puts it in the Vienna Lecture– were responsible for an entirely new attitude that broke with the prevailing mytho-poiec way of seeing the cosmos and led to the ‘break into’ (Einbruch) of the theoretical attitude which is the source of Western science (the only truly unbounded or infinite science). Heidegger, however, does not make explicit use of Husserl’s phenomenological reduction, whereas Husserl thought it as essential to the point of claiming that anyone who misunderstood the importance of the reduction could not be doing phenomenology. Merleau-Ponty accepted a modified version of the phenomenological reduction. He famously said that the lesson of Husserl’s reduction was that it could not be carried out to completion because it ran up against the indissoluble life-world – this “rupture can teach us nothing but the unmovitated springing forth of the world” (Merleau-Ponty, 2012, p. lxxvii).

Husserl’s ‘bracketing’ is a complex procedure, demanding perpetual vigilance. In one sense, what it brings to light is the extraordinary web of assumptions and practical beliefs that make up what he calls ‘the natural attitude’. It has not been universally adopted within phenomenology, nevertheless, something like this bracketing and suspension is necessary to allow phenomena to come into view and be apprehended in the least distorted manner.
Husserl is notorious for his conception that all “sense and being” (*Sinn und Sein*, Hua I 117; *Seinsinn*, I 118) is constituted by the transcendental ego. Nevertheless, the philosophical idea of the ‘transcendental ego’ can be rehabilitated and given a reasonably plausible reconstruction. For Husserl, the ego is a dynamic entity, a self that grows and develops, sediments properties that become convictions, and has a history. When a decision is made, this is not just a passing episode but becomes a permanent possession (even if it is later renounced). Husserl characterises the ‘pure’ or ‘transcendental’ ego as an “Ego-pole” (*Ichpol*, Husserl, 1960, p. 68) or centre of experiences, including all actions and affections, as the “substrate of habitualities” (Husserl, 1960, p. 69). The ego is not an empty ‘pole’ that sends and receives conscious ‘rays’, it is a concrete living self, an individual, an “abiding personal ego” (*als stehendes und bleibendes personales Ich*, Husserl, 1960, pp. 66-67), a monad. It collects its experiences together into an enduring unity through the performance of various complex syntheses and becomes a self, with convictions, values, an outlook, a history: “The ego constitutes himself for himself in, so to speak, the unity of a history” (Husserl, 1960, p. 75). The transcendental ego, moreover, necessarily functions within the open field of all possible egos – transcendental intersubjectivity (akin to the open field of language which is an intersubjective network that is activated in each speaker). Husserl writes:

But each soul also stands in community [*Vergemeinschaftung*] with others which are intentionally interrelated, that is, in a purely intentional, internally and essentially closed nexus [*Zusammenhang*], that of intersubjectivity. (Husserl, 1970, p. 238)

Merleau-Ponty correctly emphasized this point – transcendental subjectivity is already an intersubjectivity, but that is not to say that this statement resolves the extremely difficult problems concerning the constitution of the transcendental ego. Indeed, many phenomenologists, beginning with Heidegger, abandoned the essentially Kantian language of transcendental subjectivity in favor of discussion of the conditions of the possibility of historical human existence. However, I believe much can still be learned from the mature Husserl’s meditations on transcendental subjectivity especially in relation to the constitution of its enviroring world.

Classical phenomenology has many detailed analyses of the structures of conscious life and of the ‘life-world’ – the ordinary, everyday, pre-scientific world that we all inhabit and which provides a unified backdrop for all our intentional activities across history. The mature Husserl thus enlarged the scope of phenomenology by suggesting new approaches that moved beyond the exploration of subjectivity, specifically, in the *Crisis*, “by inquiring back from the life-world”, which is, of course, also the accepted, social and communal world that is, in Heidegger’s words, “always already there” (*immer schon da*). It is precisely the experience of intersubjectivity and the concept of a horizional world as backdrop to all experience that requires a new kind of phenomenology of collective cultural experience. Heidegger’s distinction between inauthentic and authentic selfhood, and especially his discussion of ‘the one’, *das Man*, anyone, also contributes to the understanding of a kind of participatory stance that is anonymous and not personally defined in which we stand in the world. The life-world is an extra-ordinary and enduring innovation. Hans-Georg Gadamer has written:

In Husserl’s later work the magic word *Lebenswelt* (lifeworld) appears—one of those rare and wonderful artificial words (it does not appear before Husserl) that have found their way into the general linguistic consciousness, thus attesting to the fact that they bring an unrecognized or forgotten truth to language. So the word “*Lebenswelt*”
WHAT IS THE PHENOMENOLOGICAL APPROACH?

has reminded us of all the presuppositions that underlie all scientific knowledge. (Gadamer, 1998, p. 55)

Many twentieth-century European philosophers—including Jan Patočka (2016), Jürgen Habermas (1987), and Hans Blumenberg (1986) – have taken over Husserl’s conception of the life-world. The life-world is a world of cumulative tradition acquired through what Husserl calls sedimentation (Sedimentierung, Husserl, 1970, p. 362), according to which certain earlier experiences become passively enfolded in our on-going experience, just as language retains earlier meanings in its etymologies. As Husserl says in the “Origin of Geometry”, “cultural structures, appear on the scene in the form of tradition; they claim, so to speak, to be ‘sedimentations’ (Sedimentierungen) of a truth-meaning that can be made originally self-evident” (Husserl, 1970, p. 367). Indeed, Husserl speaks of ‘sedimentation’ as “traditionalization” (Husserl, 1970, p. 52). There never has been such an investigation of the ‘life-world’ as “subsoil” (Untergrund) for all forms of theoretical truth (Husserl, 1970, p. 124). This science of the life-world would be descriptive of the life-world in its own terms, bracketing conceptions intruding from the natural and cultural sciences. In this sense, Husserl speaks of an “ontology of the life-world” (Ontologie der Lebenswelt, see Crisis § 51). In the Kant Society lecture Husserl speaks of the results of the phenomenological method as follows:

The world took on an infinite wideness as soon as the actual life-world, the world in the “how” of the givenness of mental process [die wirkliche Lebenswelt, die Welt im Wie der Erlebnisgegebenheit], was observed. It took on the whole range of the manifold subjective appearances, modes of consciousness, modes of possible position-taking; for it was, for the subject, never given otherwise than in this subjective milieu, and in purely intuitive description of the subjectively given there was no in-itself that is not given in subjective modes of the for-me or for-us, and the in-itself itself appears as a characteristic in this context and has to undergo therein its clarification of sense. (Husserl, 1974, p. 11).

Husserl contrasts the practically experienced life-world with the world as given in objective science. He writes in Ideas II:

In ordinary life [im gewöhnlichen Leben] we have nothing whatever to do with nature-objects [Naturobjekten]. What we take as things are pictures, statues, gardens, houses, tables, clothes, tools, etc. They are value-objects [Wertobjekte] of various kinds, use-objects [gebrauchsobjekte], practical objects. They are not objects which can be found in natural science. [Es sind keine naturwissenschaftlichen Objekte] (Husserl, 1989, p. 29)

Husserl in fact thinks the concept of an objective ‘world in itself’ that is considered independent of all subjectivity is a peculiar theoretical construction – useful in the sciences but one-sided in terms of metaphysics:

The contrast between the subjectivity of the life-world and the “objective,” the “true” world, lies in the fact that the latter is a theoretical-logical substruction [Substraktion], the substruction of something that is in principle not perceivable, in principle not experienceable in its own proper being, whereas the subjective, in the life-world [das lebensweltlich Subjektive], is distinguished in all respects precisely by its being actually experienceable. (Husserl, 1970, p. 127)
Phenomenology as a descriptive practice opposes any form of analysis that reduces the unified nexus of conscious flowing life to a collection of isolated and atomistic mental experiences. Lived experiences are the starting point – but these cannot be narrowly thought of as, for example, stimuli (Quine’s ‘noises and scratches’) or as sense data. Experience is a multi-modal sensory intertwining and interweaving, not just of the different senses (touch, sight, hearing, smell, taste) and our bodily proprioception, but also as experience filtered by mood and inflected by emotion and various cognitive attitudes. Phenomenology has always recognized that perception is a multimodal, embodied achievement, an intertwining of various sense modalities (sight, touch) and of bodily proprioceptive movements (called ‘kinaestheses’ in the psychological terminology of the time), such as eye movements, hand movements, movements of the body (to get nearer, get a better grip, look more closely), involving looking over the object, pointing, grasping, moving around the object, and so on. The body is in the world as the “heart is in the organism”, Merleau-Ponty declares (Merleau-Ponty, 2012, p. 209). Embodied perception brings the visible, tangible, spatial and material world alive. There is a very fine attunement between lived body and world. Moreover, disorders of the embodied relation to the world involve a significant modification of the way the world appears, as in pathological cases such as the case of the brain damaged former soldier Schneider, discussed by Merleau-Ponty (2012, pp. 105-140). More recently, for example, Louis A. Sass and Elizabeth Pienkos (2013), who have studied delusion from the phenomenological perspective, have identified necessary structural features of delusions, e.g., mutations of time, space, causality, self-experience, or sense of reality, that challenge accounts of delusion as a belief mode or doxastic state. They discuss the delusional mood which involves feelings of strangeness and tension, and a sense of tantalizing yet ineffable meaning. This simply illustrates how phenomenology is being used in descriptions of embodied experience in a wide range of settings.

Phenomenology, moreover, recognises that persons are in part constituted through their feelings, emotions and moods. Moods ‘colour’ emotions (Husserl, Stein) can intensify, weaken, extend, curtail emotions. One’s world is filtered through emotions and moods (Scheler, Heidegger). Emotions are not just felt by and expressed in the body (e.g. facial expressions, smiling) but the whole body is inhabited emotionally: e.g. the whole body can be tense; a way of walking can be nervous, one’s social world can be experienced as anxiety-filled, and so on.

Phenomenology is also very useful for explorings the notions of foreground, background and horizon in experiences. The philosophical discussion of the intentional greatly benefits from being broadened to the discussion of overall being-in-the-world. There is always in any experience a combination of present and absent moments, to see the front side is at the same time to have an empty intending apprehension of the rear side of an object in the form of a determinable indeterminacy. Furthermore, as Heidegger emphasizes, what one sees is constrained by one’s background assumptions and by the overall context of the ‘environment’ (Umwelt) and life-world. A craftsperson can identify the appropriate tools for a particular task and immediately sees what needs to be done. The gardener identifies some plants as flowers and others as weeds, not based on botanical classification but based on their usefulness and appropriateness in the context of gardening (itself a cultural and historically inflected practice). This is what Heidegger means when he says we first encounter objects in a practical way as ‘ready-to-hand’ (zuhanden) rather than merely as ‘present-at-hand’ (vorhanden), objects merely theoretically apprehended, shorn of their context of usefulness (Heidegger, 1962; Dreyfus, 1991). For Heidegger, humans are already embedded (‘immer schon da’) in a pre-formed, and largely intuitively-apprehended, historical and cultural world. Moreover, they are oriented primarily to the future, and the past is always implicitly interpreted in terms of this future project. Human beings live in an Umwelt with which one interacts, very much like one “lays down a path in walking” as Francisco Varela put it. Or like the manner in which a spider
What is the Phenomenological Approach?

I am continually conscious of individual things in the world, as things that interest me, move me, disturb me, etc., but in doing this I always have consciousness of the world itself, as that in which I myself am, although it is not there as is a thing, does not affect me as things do, is not, in a sense similar to things, an object of my dealings. If I were not conscious of the world as world, without its being capable of becoming objective in the manner of an [individual] object, how could I survey the world reflectively and put knowledge of the world into play, thus lifting myself above the simple, straightforwardly directed life that always has to do with things? (Husserl, 1970, p. 251)

One of the challenges of phenomenology is how to express its descriptive findings. The ability to make fine discriminations must be matched with an equal ability to translate these discriminations into appropriately fine-grained linguistic communication (for a critique of phenomenology as lacking analytic rigor, see Searle, 2005). Husserl himself recognized this problem but did not address it centrally at least until some of his later writings, for instance his essay “On the Origin of Geometry” (Husserl, 1970), where he accorded to written language an enormously important role in fixing the meanings of ideal objectivities such as occur in mathematics so that they can be accessed as the same over and over again. For Heidegger, however, the issue of language became inescapable and marked a major turning in his conception of phenomenology and its possibilities. Subsequent phenomenology (Jacques Derrida, for instance, in so far as his work is motivated by phenomenology and continues to work within the phenomenological *epoché*, as he himself has attested) has had to grapple with the complexity of the relationship between language and experience in ways that have frequently challenged many of Husserl’s assumptions.

Phenomenology is an enduring resource for philosophers interested in the first-person perspective, the subjective experience of embodied living, perceiving, and knowing, the affective life with its disclosive moods, the existential experience of temporality and the complex co-intentionalities involved in sociality, empathy and intersubjective communal life. Phenomenology still has much to contribute in the understanding of life-world, the experience of the other, and the complex phenomena of interculturality.

References

What is the Phenomenological Approach?