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THE USE OF BAYESIAN STATISTICS TO PREDICT PATTERNS OF SPATIAL REPEATABILITY

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Abstract:

Statistical spatial repeatability (SSR) is an extension to the well known concept of spatial repeatability. SSR states that the mean of many patterns of dynamic tyre force applied to a pavement surface is similar for a fleet of trucks of a given type. A model which can accurately predict patterns of SSR could subsequently be used in whole-life pavement deterioration models as a means of describing pavement loading due to a fleet of vehicles. This paper presents a method for predicting patterns of SSR, through the use of a truck fleet model inferred from measurements of dynamic tyre forces. A Bayesian statistical inference algorithm is used to determine the distributions of multiple parameters of a fleet of quarter-car heavy vehicle ride models, based on prior assumed distributions and the set of observed dynamic tyre force from a ‘true’ fleet of 100 simulated models. Simulated forces are noted at 16 equidistant pavement locations, similar to data from a multiple sensor weigh-in-motion site. It is shown that the fitted model provides excellent agreement in the mean pattern of dynamic force with the originally generated truck fleet. It is shown that good predictions are possible for patterns of SSR on a given section of road for a fleet of similar vehicles. The
sensitivity of the model to errors in parameter estimation is discussed, as is the potential for implementation of the method.

**Key words:** weigh-in-motion, statistical spatial repeatability, Bayesian, pavement, impact factor

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NOMENCLATURE

\( c_b \) = Vehicle damping coefficient
\( G_d \) = Pavement spectral density
\( g \) = Acceleration due to gravity
\( IF \) = Impact Factor
\( IF_{nm} \) = Observed Impact Factor for truck \( n \) at location \( m \)
\( K \) = Mean suspension stiffness of fleet
\( K_t \) = Mean tyre stiffness of fleet
\( k \) = Suspension stiffness
\( k_n \) = Suspension stiffness of truck \( n \)
\( k_t \) = Tyre stiffness
\( k_{tn} \) = Tyre stiffness of truck \( n \)
\( M \) = Number of sensors
\( m_1 \) = Unsprung mass
\( m_2 \) = Sprung mass
\( m_{2n} \) = Sprung mass of truck \( n \)
\( N \) = Number of vehicles
\( P \) = Static vehicle weight
\( R(t) \) = Vehicle tyre force
\( r(t) \) = Road profile height at time \( t \)
\( t \) = Time
\( v \) = Vehicle velocity
\( y_1 \) = Displacement of unsprung mass
\( y_2 \) = Displacement of sprung mass
\( Z \) = Mean lateral approach position of fleet
\( z \) = Lateral approach position

\( z_n \) = Lateral approach position of truck \( n \)

\( \sigma_{IF}^2 \) = Unknown error in IF

\( \sigma_k^2 \) = Variance in suspension stiffness

\( \sigma_{kt}^2 \) = Variance in tyre stiffness

\( \sigma_z^2 \) = Variance in lateral approach position
1. INTRODUCTION

Spatial repeatability is the phenomenon that the pattern of dynamic force applied by a truck axle to a road pavement is similar in repeated runs at the same speed. This effect results in a concentration of high dynamic tyre forces at specific locations on a pavement surface and has been observed by several authors both experimentally [1,2] and in numerical studies [3]. This opposes the traditional assumption that applied dynamic tyre loads are randomly distributed along a pavement length, suggesting that the pavement is uniformly susceptible to damage along its length.

Cole & Cebon [4] performed a numerical investigation of spatial repeatability using an experimentally validated two-dimensional articulated vehicle model. They generated a fleet of thirty-seven leaf sprung vehicle models with similar geometry and eight varying parameters relating to the ride characteristics, identifying repeatable patterns of dynamic tyre forces. The relationship between vehicle velocity and level of repeatability was highlighted. A further experimental study, involving measurement of heavy vehicle tyre forces on a major national route in the UK, was conducted [5] which confirmed theoretical predictions of the influence of speed on spatial repeatability of tyre forces.

O'Connor et al. [6] proposed the concept of 'statistical spatial repeatability' (SSR). Using data from a Multiple Sensor Weigh-in-Motion (MS-WIM) site in France, they showed that the mean pattern of impact factors is similar for many trucks of the same type. This is illustrated in Figure 1. Similar patterns were found for different types of truck and even for trucks with different numbers of axles.
SSR has great implications for pavement deterioration. Pavement deformation and damage is directly related to impact force and the pattern of SSR is related to the road profile. It seems likely therefore that the process of road pavement deterioration is integrally linked with SSR. Following some initial imperfections, road surface deformations are generated which result in a pattern of SSR. The repeatable forces cause further deformation which may reinforce the existing pattern of SSR or change it.

Some research has focused on integrated pavement deterioration models for the calculation of pavement life [7], dividing the procedure into four main areas: dynamic vehicle simulation, pavement primary response calculation, pavement damage calculation and profile change and damage feedback mechanisms. Within this context, it is clear that the accurate prediction of applied dynamic forces is necessary for the calculation of long-term pavement performance.

This paper describes a method to predict the pattern of SSR. A quarter-car model (Figure 2) is used to calculate the force applied to a pavement as it travels at a given speed. The pavement surface is modelled as a three-dimensional ‘carpet’ to provide a varied but correlated series of road profiles for the vehicle model. The profile chosen from the 3-D surface depends on the lateral approach position of the vehicle model.

A range of properties related to the vehicle, its lateral approach position and its speed are assumed to be random variables. Variations in these properties will lead to variations in the applied impact forces. For a given fleet of vehicles, the statistical
distributions for the properties, if known, can be used to predict the pattern of SSR. Using Bayesian updating [8], these distributions can be updated through comparisons between calculated and measured impact forces. In this study, the approach is tested using Monte Carlo simulation to generate distributions of impact forces corresponding to a vehicle fleet whose properties have known statistical distributions. With Bayesian Updating, a heavy vehicle fleet model is determined which can be used to predict patterns of SSR.

2. VEHICLE MODEL

The Bayesian approach is tested using the quarter-car model of Figure 2 which represents an individual heavy vehicle axle. The model, which travels at constant velocity, $v$, has two degrees-of-freedom, corresponding to body bounce, $y_2(t)$, and axle hop, $y_1(t)$, vertical motions. The vehicle is excited by pavement roughness, $r(t)$. This modelling approach clearly neglects certain characteristics of heavy vehicle ride, such as the load sharing effect between heavy vehicle axle groups (tandems, tridems, etc.) as well as vehicle pitching and rocking motions. However, it was judged to be sufficient to detect the basic pattern of SSR which was found by to be substantially independent of the number of axles in the vehicle (see Figure 1) [6].

There are six variables in the quarter-car pavement interaction model:

- $m_2 = \text{sprung mass}$
- $m_1 = \text{unsprung mass}$
- $k = \text{suspension spring stiffness}$
\[ k_t \] = tyre stiffness
\[ c_b \] = suspension damping
\[ z \] = lateral approach position

The effect of tyre damping is assumed to be negligible, and thus is not considered. The system is further simplified by assuming constant values for vehicle unsprung mass and suspension damping coefficient.

It is implicitly assumed that impact force data will be collected from a multiple-sensor weigh-in-motion system such as that being developed in the WIM-HAND project [9, 10]. Hence, high accuracy estimates of the sprung mass, \( m_2 \), will be available by averaging the measurements from the many sensors or using a more elaborate algorithm to process the multiple force measurements [11].

This reduces the number of variables to four, one of which, \( m_2 \), is known and three, \( k \), \( k_t \) and \( z \) whose distributions are sought. The three unknown variables are assumed to be Gaussian distributed with unknown mean and variance, and values observed for each truck are also assumed unknown.

The quarter-car model is used to simulate the motion of the fleet of vehicle axles and hence to reproduce the pattern of SSR. The distributions of its three unknown parameters are found by Bayesian updating.

The equations of motion governing the quarter-car vehicle are:
where $R(t)$ is the tyre force imparted to the pavement, given by:

$$R(t) = k_i \left[ y_1(t) - r(t) \right]^{3} 0$$

(3)

The impact factor, $IF$, is then given by normalising the dynamic tyre force by the corresponding static vehicle weight:

$$IF(t) = \frac{R(t)}{g(m_1 + m_2)}$$

(4)

### 2.1 Road Profile Generation and Filtering

A three-dimensional road-surface ‘carpet’ is generated for use in the study. Use of a three-dimensional surface allows for the lateral approach position of the vehicle model to be varied for successive runs, hence providing a varied but correlated excitation to the vehicle model. It is assumed that the lateral position on the pavement is constant for each individual vehicle run and does not vary between sensors. The
spectral densities of the profile, $G_d(n)$, are generated using British Standard classifications for road roughness [12], given by:

$$G_d(n) = G_d(n_0) \frac{n}{n_0}^w$$

(5)

where $n$ is the wavenumber in cycles/m, $n_0 = 0.1$ cycles/m and $G_d(n_0)$ and $w$ are constants related to the surface roughness of the pavement. The spectral density is subjected to a two-dimensional inverse Fourier transform to produce a discrete set of points representing the profile height, $r(t)$, at regular finite longitudinal and lateral intervals [13]. Three profile surfaces are generated for use in this study, the first two (AS1, AS2) having a roughness coefficient of $G_d(n_0) = 20 \times 10^{-6}$ m$^3$/cycle, corresponding to a class ‘B’ road (good quality highway). The third profile (AS3) is a class ‘C’ pavement (national road) with a roughness coefficient of $G_d(n_0) = 64 \times 10^{-6}$ m$^3$/cycle. Each section of road generated measures 100 m in length and 5.0 m in width (Figure 3). The sections of randomly generated road profile are then subjected to a moving average filter to simulate the envelopment of short wavelength disturbances by the tyre contact patch [14]. A base wavelength of 0.3 m is chosen for this purpose.

3. BAYESIAN STATISTICAL INFERENCE

Given data on impact factors for a truck at different points on the road surface, it is possible, by effectively inverting numerically the model of Section 2, to infer the
variables of the quarter-car that are most consistent with these data. Such fitting can be attempted through a wide variety of numerical methods, for example, minimizing least squares using gradient descent or other optimization approaches.

For data on several trucks, the procedure could be applied independently to each. However, this ignores important information in such data about the overall distribution of variable values across the total population of trucks. Extracting this information then allows hypotheses to be tested and predictions made about the fleet, rather than being restricted to statements about the observed trucks only. This information is in the form of the probability distribution of the values of the quarter-car model variables, $k$, $k_t$, and $z$.

The approach adopted is to use Bayesian statistical inference, consisting of a three-stage process: model definition, inference and then prediction and model checking. A probability model is defined for the data in terms of the quarter-car model variables, and subsequently distributions for the variable values over the truck population are specified. For the inference, the parameters of the variable distributions are inferred given the data via Bayes' law. This inference takes the form of a probability distribution on the parameters that represents the possible parameter values and their likelihood given the data; this distribution is called the posterior distribution. Finally, the posterior distribution is used to plot other quantities of interest, such as the distribution of variable values in the fleet, and to check that the fitted model is consistent with the data.
The following notation is adopted. Impact factor from $N$ trucks measured at $M$ locations on the road surface is acquired, where $IF^o_{nm}$ is the observed impact factor from truck $n$ at location $m$. The quarter car variables for truck $n$ are subscripted: $k_n$, $k_{tn}$, $m_{2n}$, $z_n$.

3.1 A Fleet Model for Impact Factors

An allowance is made for the possibility that the observed impact factor for truck $n$ at location $m$, $IF^o_{nm}$ may be subject to some error from that given by the quarter car model. This error is composed of deviations in the real system from the model and measurement error of the sensor. Gaussian distributed error is appropriate in this case with a mean given by the quarter car model. Thus the observed impact factor of truck $n$ at location $m$ is described by:

$$IF^o_{nm} \sim N(IF_n(t_m; k_n, k_{tn}, m_{2n}, z_n), \sigma_{IF}^2)$$

where $X \sim N(\mu, \sigma^2)$ means that $X$ is a variable that is Gaussian distributed random variable with mean $\mu$ and variance $\sigma^2$. $IF_n(t_m; k_n, k_{tn}, m_{2n}, z_n)$ is the impact factor according to the model of Section 2 for truck $n$ at location $m$ with given quarter-car parameters $k_n$, $k_{tn}$, $m_{2n}$ and $z_n$. $\sigma_{IF}^2$ is the unknown error at the time at which the truck impacts on the $m^{th}$ sensor location.

The expected value of the observed impact factor, $IF_n(t_m; k_n, k_{tn}, m_{2n}, z_n)$, given by equation (4), is a deterministic function of the variables defined in Section 2, of which all are assumed known except $k$, $k_t$ and $z$, for which it is necessary to infer the
population distribution. The distributions of these variables are considered to be
Gaussian with unknown means and variances:

\[
\begin{align*}
    k_n &\sim N(K, s_k^2) \\
k_m &\sim N(K, s_{kt}^2) \\
z_n &\sim N(Z, s_z^2)
\end{align*}
\]

for \( n = 1, \ldots, N \).

The unknown variables in the problem are then \( k_n, k_m, z_n, n = 1 \ldots N \), and \( K, \sigma_k^2, K_t, \sigma_{kt}^2, Z, \sigma_z^2 \) and \( \sigma_{IF}^2 \) which will be found. As previously stated in section 2, the remaining variables of the quarter-car model, unsprung mass, \( m_1 \), and suspension damping, \( c_b \), are assumed constant for all trucks and known. Sprung mass, \( m_2 \), which varies between vehicles, is estimated here by taking the mean impact force for each quarter car, and subtracting the constant unsprung mass, \( m_1 \). Additionally, truck velocity is assumed to be constant and is easily determined from the time interval between simulated sensor measurements. The road surface profile at the site and the immediate approach is assumed measurable and known.

3.2 Bayesian Inference

Applying Bayesian inference, a probability distribution is computed over the unknown variables given the data (the posterior distribution):

\[
p(k_n, k_m, z_n, n = 1, \ldots, N; K, s_k^2, K_t, s_{kt}^2, Z, s_z^2, s_{IF}^2 | IF_{nm}; n = 1, \ldots, N, m = 1, \ldots, M)
\]
where $p(\mathbf{x} \mid \mathbf{y})$ represents the probability distribution of the vector of variables $\mathbf{x}$ conditional on observing the vector of values $\mathbf{y}$. By Bayes law, this can be written as (Lee 2004):

$$p(k_n, k_m, z_n, n = 1, ..., N; K, s_k^2, K, s_k^2, Z, s_z^2, s_{IF}^2 \mid \mathbf{IF}_m^o; n = 1, ..., N, m = 1, ..., M)$$

$$\mu \ p(\mathbf{IF}_m^o; n = 1, ..., N, m = 1, ..., M \mid k_n, k_m, z_n, n = 1, ..., N; s_{IF}^2)$$

$$\times p(k_n, k_m, z_n, n = 1, ..., N \mid K, s_k^2, K, s_k^2, Z, s_z^2)$$

$$\times p(K, s_k^2, K, s_k^2, Z, s_z^2, s_{IF}^2) \quad (9)$$

The first and second terms on the right hand side of the above expression are the product over $n$ and $m$ of the Gaussian probability functions of Equation 6 and Equation 7. The prior distribution must be defined in addition to the model and describes the state of knowledge of the parameters before the experiment is conducted (i.e., the posterior distribution from similar experiments that have been conducted previously). For this paper it is assumed that no prior knowledge of these parameters exists, which is modelled by assuming the prior to be uniform distributions over a very large range (much larger than the range of values for the parameters that is believed possible).

The functional form of the posterior distribution is not evaluated, as it is of a rather complex form. Fortunately, it can be simulated by Monte Carlo simulation. The specific technique used is Markov chain Monte Carlo, suitable for simulating from complex and high dimensional distributions [15, 16]. Note that the dimension of the unknowns in this case is $3N + 7$. This will generate a set of values for each unknown parameter, generated according to the probabilities specified by the posterior distribution. Once these have been generated, standard techniques of Monte Carlo
simulation, such as Monte Carlo integration, allow for the construction of approximations to the posterior distribution or functions of it, such as means [17].

### 3.3 Predictions and Assessment

Once the posterior distribution is approximated, it is used for two purposes:

1. Construction of the distribution of values of quarter car variables in the fleet. This distribution describes the probability that a randomly selected truck from the fleet has a certain combination of values of the variables used in the quarter-car model.

2. Model checking. Using this distribution, it becomes possible to infer the probability distribution of impact factors that would be observed given this distribution on the quarter-car variables. Usually this is done by simulation; values of the quarter-car parameters are simulated from the distribution and impact factors are then computed through the deterministic model of Section 2. An observed impact factor is then simulated from the Gaussian distribution with the mean impact factor and variance that is simulated from the posterior distribution. The distribution is then compared with the observed data (see section 4). This procedure checks that the fitted model, with all its assumptions, is consistent with observed data.

### 4. THEORETICAL TESTING

The Bayesian statistical inference technique described above is tested using a theoretical model. A fleet of 100 vehicles is generated with normal distributions for $k$,
$k$, $z$, $v$ and $m_2$ and constant values for $c_b$ and $m_1$. This fleet size was chosen to minimise computation time as well as to test the robustness of the algorithm for a relatively small data set. Using normally distributed velocities and lateral approach positions, the theoretical vehicle fleet is then subjected to the disturbance input from the road profile surface described in section 2.1 and time histories of vehicle tyre force are output. For each vehicle in the fleet, the dynamic tyre forces at sixteen WIM locations, assumed to be equally spaced 1.5 m apart from 76 m to 98.5 m longitudinally on the road surface, are recorded and input to the Bayesian inference algorithm described in section 3, which is initiated using assumed distributions for $k$, $k_t$ and $z$. The preceding 76 m of approach pavement is used to allow the vehicle model to attain dynamic equilibrium. As previously stated, it is assumed that the velocities of each individual vehicle, the local road profile and the GVW of each vehicle may be reasonably determined in practice and as such, are considered known quantities to the Bayesian inference algorithm.

The procedure is implemented using MATLAB and MATLAB/Simulink to obtain numerical results. The mean and standard deviations of the parameters used for the generation of the vehicle fleet and for the distribution of vehicle velocities [18] and approach positions are given in Table 1. Vehicle parameters were chosen to give a simple linear representation of an air-sprung heavy vehicle suspension. Figure 4 shows the observed impact factors at the sixteen WIM locations for each of the 100 vehicles in the generated truck fleet excited by pavement AS1, corresponding to a good quality highway surface.
For the Bayesian Updating approach to be successful, it is necessary that the impact factors used are sensitive to the vehicle parameter values. The root mean square (RMS) error in impact factors for some of the input parameters is shown in Figure 5. In each case, all other model parameters are fixed to the nominal values. It can be seen that the standard deviation of $k_t$ tends to have little effect on the overall RMS error, with similar behaviour exhibited for the standard deviations of $k$ and $z$. The error due to variation in the parameter mean values is greater, particularly so for lateral approach. This is because the mean disturbance input to the vehicle model can vary significantly with lateral position, especially for rougher profiles.

The effectiveness of the Bayesian statistical inference procedure is illustrated in figure 6, which compares the fitted distribution of spring stiffness, $k$, and the histogram of the observed data. As can be seen, good agreement exists between inferred and true distributions, with similar results obtained for tyre stiffness, $k_t$, and lateral approach position, $z$.

Figure 7 shows the observed impact factors of the truck population from figure 4 superimposed with the mean prediction from the inferred model and the corresponding 95% prediction limits. The inferred mean IF appears to pass through the central region of IF data. Further, the 95% limits encompass almost approximately 97% of the true IF ‘data’. Of course it would be expected that this would tend towards 95% for large sets of measured IF’s. This figure clearly illustrates the potential of the Bayesian approach to determine the distributions of truck properties and lateral position which can be used to accurately reproduce patterns of SSR.
In figure 8, the truck fleet properties are determined from the first 10 points of the WIM array only and the fleet model is tested with the remaining 6 data points. The mean and 95% prediction limits illustrated for the last 6 points are based on a fleet model derived from IF data from the first 10 points. The limits are compared in the figure to data generated using the true properties. It can be seen that the fitted distribution is effective in predicting the range of observed impact factors at each location as well as the pattern of SSR, noting that the fitted model predicts correctly that sensors 12 and 13, at 92.5 m and 94m respectively, will be locations of high mean impact factor.

Using the validated fitted model, it is also possible to predict patterns of SSR for alternative pavement surfaces. The mean and 95% limits for the second profile surface, AS2, are shown in figure 9. The observed impact factors for surface AS2 are generated for a new 100 truck fleet using parameters given by table 1, while the predicted impact factors are generated using the parameter distributions obtained by the Bayesian statistical inference algorithm. It can be seen that excellent agreement is exhibited between the fitted and true population models for both mean impact factors and 95% confidence limits. Similar agreement is yielded for the rougher surface, AS3.

5. DISCUSSION

The Bayesian statistical inference approach is shown to be capable of determining the distribution of multiple vehicle parameters for use in the construction of heavy vehicle population models. It is noted that while the inference of the distributions of $k$, $k_t$ and $z$ are necessary to characterise the truck population, the determination of other
parameters, such as the error in observed impact factors, is not. This is a nuisance parameter, i.e., it does not form part of the population model itself, yet knowledge of it is necessary for the inference of the desired parameters. Since computation time is greatly affected by the number of variables sought by the algorithm, the importance of minimising nuisance parameters, as well as treating certain parameters as reasonably measurable (i.e., velocity, GVW, etc.), is clear.

The approach is seen to be effective for identifying a fleet model for a single axle which could be viewed as one simple class of truck, with Gaussian distributed parameters. The effect of several heavy vehicle classes (e.g., rigid trucks, tractor semi-trailers, etc.) has not been considered for this study. However, since it is inevitable that a set of impact factors measured from a regular flow of traffic would contain several classes of vehicle, it would be necessary to classify observed/measured impact factors by vehicle type. It is also noted that the number of parameters required of the Bayesian algorithm would increase, though it may be possible to again reduce the amount of parameters through reasonable assumptions, i.e., neglecting vehicle roll effects, assumption of rigid sprung masses, etc.

It is anticipated that reliable and accurate data from a multiple-sensor Weigh-in-Motion site will be available in the near future. Hence, for the first time, it will be possible to infer vehicle fleet properties and hence predict patterns of SSR for the more common vehicle classes. This will be very valuable for improving the accuracy of multiple-sensor Weigh-in-Motion systems as knowledge of SSR will allow this bias to be removed when estimating vehicle static weight. For pavement deterioration, there are even more significant implications. The capability to predict
SSR makes it possible to determine if this phenomenon is self-reinforcing, i.e., if the pattern of SSR causes pavement deformation which reinforces that pattern. This will have profound implications in the development of accurate methods for the prediction of pavement deterioration in the long term.

6. CONCLUSIONS

A method has been presented for the determination of a heavy vehicle fleet model which can be used to predict patterns of statistical spatial repeatability and which can ultimately be used in an integrated pavement deterioration framework. Using a Bayesian statistical inference algorithm, the distributions of multiple parameters of a fleet of quarter-car heavy vehicle ride models are determined inversely based on prior assumed distributions and the set of observed impact factors from a ‘true’ fleet of 100 simulated models. The impact factors are assumed to be measured at 16 equidistant pavement locations, similar to a multiple sensor Weigh-in-Motion site.

It is shown that the fitted distributions obtained from the Bayesian statistical inference yield excellent agreement with the true distributions, enabling the prediction of patterns of SSR for multiple vehicles of similar type. The sensitivity of each of the three inferred distributions is discussed and it is noted that for the study in question, the effect of variation in the predicted standard deviations on the RMS error in impact factors is minimal in comparison to error in the predicted means. It was shown that variations in the predicted mean lateral approach could cause notable RMS error in impact factors.
7. ACKNOWLEDGEMENTS

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8. REFERENCES


Figure 1  Statistical spatial repeatability of Impact Factor (IF) for gross vehicle weights of nine truck types (from O’Connor et al, 2000)

Figure 2  Quarter car model

Figure 3  Section of artificially generated pavement, AS2

Figure 4  ‘Observed’ impact factors for fleet of 100 trucks

Figure 5  Sensitivity of various input parameters to the Bayesian statistical inference algorithm

Figure 6  Distribution of spring stiffness, $k$: true (histogram) and fitted (—)

Figure 7  Observed impact factors with predicted mean (—) and 95% prediction limits (----) for the fitted model

Figure 8  Predicted impact factors for final 6 sensors with predicted mean (—) and 95% prediction limits (----)

Figure 9  Mean (solid) and 95% limits (dashed) for vehicle impact factor for pavement surface AS2, predicted (—) and true (—)
Figure 1
Figure 2
Figure 3
Figure 4
Figure 5
Figure 6
Figure 7
Figure 8
Figure 9
Table 1 – Means and standard deviations of vehicle suspension parameters, velocities and lateral approach positions

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Gaussian noise in observed measurements of IF

\[ s_{IF}^2 = 0.2 \]