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<th><strong>Title</strong></th>
<th>Personality disorder, depression and functioning: results from the ODIN study</th>
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Impact of severity of personality disorder on the outcome of depression

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Abstract

The influence of severity of personality disorder on outcome of depression is unclear. Four hundred and ten patients with depression in 9 urban and rural communities in Finland, Ireland, Norway, Spain and the United Kingdom, were randomised to individual problem-solving treatment \((n=121)\), group sessions on depression prevention \((n=106)\) or treatment as usual \((n=183)\). Depressive symptoms were recorded at baseline, 6 and 12 months. Personality assessment was performed using the Personality Assessment Schedule and analysed by severity (no personality disorder, personality difficulty, simple personality disorder, complex personality disorder). Complete personality assessments were performed on 301 individuals of whom 49.8% had no personality disorder; 19.3% had personality difficulties; 13.0% had simple personality disorder; and 17.9% had complex personality disorder. Severity of personality disorder was correlated with Beck Depression Inventory (BDI) scores at baseline (Spearman’s \(r=0.21; p<0.001\)), 6 months \((r=0.14; p=0.02)\) and 12 months \((r=0.21; p=0.001)\). On multi-variable analysis, BDI at baseline \((p<0.001)\) and type of treatment offered (individual therapy, group therapy, treatment as usual) \((p=0.01)\) were significant independent predictors of BDI at 6 months. BDI at baseline was the sole significant independent predictor of BDI at 12 months \((p<0.001)\). There was no interaction between personality disorder and treatment type for depression. While multi-variable analyses indicate that depressive symptoms at baseline are the strongest predictor of depressive symptoms at 6 and 12 months, the strong
correlations between severity of personality disorder and depressive symptoms make it difficult to establish the independent effect of personality disorder on outcome of depression.

*Keywords:* Depression; Personality disorders; Severity of illness index; Psychotherapy; Outcome assessment (healthcare)
1. **Introduction**

The effects of personality disorder on the outcome of depression are not clear. Although it was generally believed that personality disorder had a negative effect on the outcome of depression [16], Mulder [11] found that the best designed studies tended to show little difference in outcome between individuals with personality disorder and those without. A more recent meta-analysis, however, reported a doubling of the risk of poor outcome in individuals with personality disorder [14] and, notwithstanding an apparent paucity of randomised controlled trials [13], the latter finding remains significant and relatively robust [15].

There is still, however, a paucity of well-designed, prospective studies that examine the effects of personality disorder on outcome of depression while taking adequate account of factors such as severity of depression at baseline and severity of personality disorder [11]. We used data from the Outcomes of Depression International Network (ODIN) to determine the influence of severity of personality disorder on the outcome of depression, while controlling for a range of factors including severity of depression.

2. **Methods**

2.1 *Recruitment, screening and diagnosis*

ODIN is a multi-centre, collaborative research project that examined the prevalence and outcomes of depression in urban and rural communities in five European
countries: Finland, Ireland, Norway, Spain and the United Kingdom. Details of the ODIN methodology have been published elsewhere [2, 8]. In summary, adults aged between 18 and 64 years were selected from the census register in urban and rural communities and screened for depressive disorder using the Beck Depression Inventory (BDI) [4].

Individuals scoring 13 or above on the BDI were offered a diagnostic interview, using the Schedule for Clinical Assessment in Neuropsychiatry (Version 2) (SCAN) [25]. The ICD-10 [26] diagnoses of interest were single and recurrent depressive episodes (mild, moderate and severe), bipolar and persistent mood disorders, and adjustment disorder with depressive features. All SCAN cases were re-assessed 6 and 12 months after the initial diagnostic interview [7]. Individuals with depression at baseline were randomized into groups offering individual problem-solving treatment, group sessions on the prevention of depression or treatment as usual (i.e. no specific psychological treatment offered but the individual was treated as usual in a primary care setting). We used the BDI to record depressive symptoms at baseline, 6 and 12 months.

2.2 Personality assessment

We assessed personality using the Personality Assessment Schedule (PAS) [21] at 6 months, so as to minimise the possibility of contamination by depressive symptoms. The PAS is a structured interview in which 24 personality traits are rated on a 9-point scale. The PAS classifies individuals into diagnostic groups outlined in ICD-10 [26] and DSM [1], and permits classification into 4 categories of increasing severity: (1) no personality disorder; (2) personality difficulty; (3) simple personality disorder; and
(4) complex personality disorder [22]. Individuals with personality difficulty have sub-threshold scores for personality disorder using ICD or DSM criteria, while individuals with complex personality disorder have personality disorders in two or more clusters.

2.3 Training and quality assurance

All interviewers were fully trained in the use of the PAS and SCAN by approved trainers.

2.4 Statistical analysis

Data were analyzed using the Statistical Package for the Social Sciences [19]. We used Spearman’s rho ($r$) to test bi-variable correlations. We used multi-variable, linear regression analysis to examine predictors of BDI scores at 6 and 12 months; proposed predictor variables were gender, age, BDI score at baseline, type of treatment offered and severity of personality disorder. The four categories of severity of personality disorder were used as dummy variables in the analysis. All interaction variables were tested. An alternative approach to analysis might have involved the use of the change in BDI scores over time as the dependent variable. Such an analysis would be less useful for predicting absolute levels of depressive symptoms after 6 and 12 months, but would have the merit of focusing on change in depressive symptoms over time; it is hoped to examine this matter further in future work.

3. Results
Four hundred and ten individuals with depression participated in this study. Mean age was 44.90 years (range 19-65, SD 10.56) and 267 (65.1%) participants were female. Participants were randomly allocated to groups receiving individual therapy \((n=121)\), group therapy \((n=106)\) or treatment as usual \((n=183)\). Mean BDI score at baseline was 22.76 (SD 8.21) and did not differ across groups (Pearson Chi-Square 85.37, \(p=0.22\)).

Complete personality assessments were performed on 301 individuals of whom 150 (49.8%) had no evidence of personality difficulties; 58 (19.3%) had personality difficulties; 39 (13.0%) had simple personality disorder; and 54 (17.9%) had complex personality disorder. Severity of personality disorder was correlated with BDI scores at baseline (Spearman’s \(r=0.21; p<0.001\)), 6 months \((r=0.14; p=0.02)\) and 12 months \((r=0.21; p=0.001)\).

Table 1 shows the results of linear regression analysis with BDI score at 6 months as the outcome variable: BDI at baseline \((p<0.001)\) and type of treatment offered (individual therapy, group therapy or treatment as usual) \((p=0.01)\) were significant independent predictors of BDI at 6 months. Mean BDI score at 6 months in the individual therapy group (mean 12.42, SD 10.08) was non-significantly lower than that in the group therapy group (mean 14.46, SD 9.75; Mann-Whitney U 3032.50, \(p=0.08\)) and significantly lower than that in the treatment as usual group (mean 15.16; SD 10.54; Mann-Whitney U 5191.00, \(p=0.04\)). Table 2 shows the results of linear regression analysis with BDI score at 12 months as the outcome variable: BDI at baseline was the sole significant independent predictor of BDI at 12 months.
(p<0.001). No interaction terms were significant predictors of BDI scores at 6 or 12 months (p>0.05 in all cases) so these were excluded from the final models.

4. Discussion

4.1 Summary of results

We found that depressive symptoms at baseline are the strongest independent predictor of depressive symptoms at 6 and 12 months. The strong correlations between severity of personality disorder and depressive symptoms make it difficult to establish the independent effect of personality disorder on outcome of depression.

4.2 Strengths and limitations

The strengths of this study include the recruitment of subjects in both urban and rural settings, based on census registers; the international, multi-centre structure of the ODIN study [2, 8]; and the use of recognized, validated tools to assess diagnoses [25, 26], depressive symptoms [4] and severity of personality disorder [21, 22]. In particular, our study examined the effect of the severity of personality disorder in relation to severity of depression at baseline, 6 and 12 months, in order to explore the relationship, if any, between the severities of the two disorders during the course of treatment for depression.

The limitations of this study include the fact that the recruitment methodology (community-based recruitment using census registers) means that the sample was not
drawn from a treatment-seeking population, possibly reducing the study’s
generalizability to treatment-seeking populations owing, at least in part, to the
relatively lower prevalence of personality disorder in this sample compared to
treatment-seeking populations. In addition, while our multi-variable analysis took
account of certain variables (age, gender, treatment, severities of depression and
personality disorder), it did not take account of other variables (e.g. past psychiatric
history, duration of current episode, psychosocial functioning). The heterogeneity of
patients with depression included in this study (i.e. depression, bipolar disorder,
adjustment disorder) may have diluted a demonstrable effect of personality disorder.

We assessed severity of personality disorder 6 months after treatment for depression
commenced; while this was done in order to minimise the effect of depressive
symptoms on assessment of personality disorder, it would be useful (in a differently
designed study) to assess personality disorder prior to both depression occurring and
treatment for depression being offered [24]. In terms of methodology, other
approaches which merit consideration in future studies include analysing personality
disorder as a dimensional variable, and assessing depressive symptoms using
instruments other than the BDI, such as the Montgomery Asberg Depression Rating
Scale [20]; the reasons underlying the selection of specific methodologies and
instruments in the ODIN study are outlined in previous papers [2,6-9].

4.3 **Personality disorder and treatment outcome in depression**

This study was undertaken in the context of conflicting findings regarding the
relationship between personality disorder and outcome of treatment for Axis 1
psychiatric disorders: for example, while is strong evidence that personality disorder
is associated with poor prognosis in neurotic disorders [17, 23], the evidence in
relation to depression is notably inconsistent [6, 11, 14, 16]. There are several reasons
why the relationship between personality disorder and outcome of depression may be
particularly difficult to study; specific methodological challenges include the
identification of appropriate outcome measures, choosing methods for assessing
personality disorder, establishing study time-scales (short-term versus long-term),
selecting sample populations and identifying appropriate types of treatment.
Moreover, depression may have pre-existing links with specific personality disorders
(e.g. cluster C personality disorders, characterised by avoidance and low self-esteem)
and, in turn, individuals with depression may tend to report more maladaptive traits
compared to when they are in remission.

One of the key strengths of our study is that our analysis takes account of the
severities of both depression and personality disorder. To date, most studies in this
field have focussed on the simple presence or absence of depression and personality
disorder, rather than their severities [11]. Taking account of severity allows a more
powerful and nuanced examination of the proposed relationship between the two
disorders and, in this context, our data demonstrate that severity of personality
disorder does correlate with severity of depressive symptoms following treatment for
depression. However, severity of personality disorder also correlates with depressive
symptoms at baseline and, on multi-variable analysis, depressive symptoms at
baseline emerge as the strongest independent predictor of depressive symptoms at 6
and 12 months.
It is important to note, however, that the strong correlations between severity of personality disorder and depressive symptoms make it difficult to establish the independent effect of personality disorder on outcome of depression through multi-variable modelling. As a result, it remains entirely possible that severity of personality disorder has an independent effect on outcome of depression and that we ‘over-controlled’ for the effects of personality disorder by including depressive symptoms at baseline (which correlate strongly with severity of personality disorder) in the multi-variable models. In addition, the number of individuals with ‘complex personality disorder’ in our study was relatively low, further reducing the likelihood of detecting a significant effect if one exists.

While the correlations between severity of personality disorder and depressive symptoms in our data undoubtedly bring added complexity to multi-variable modelling, the strength of these correlations suggests an intimate phenomenological relationship between symptoms of depression and those of personality disorder. This raises the possibility that higher depression scores may be a direct consequence of personality pathology rather than just depression; further longitudinal studies would help clarify this matter.

4.4  Personality disorder and type of treatment for depression

The absence of any significant interaction between personality disorder and treatment type for depression (e.g. individual versus group psychotherapy) is particularly interesting in this study. There is a certain amount of evidence that specific pharmacological interventions (e.g. fluoxetine) may be more helpful than others (e.g.
nortryptiline) in treating depression in individuals with certain types of personality disorder (e.g. cluster B) [12]. There is also evidence that personality disorder may adversely affect treatment of depression with interpersonal therapy but not cognitive-behavioural therapy [10, 18].

With regard to the present study, it should be noted that psychological treatments extended to 6 or 8 sessions, and rates of completion were 63% in problem-solving therapy and 44% in group therapy [9]. Nonetheless, it remains noteworthy that we found no significant interaction between personality disorder and type of psychotherapy used to treat depression; this may relate, at least in part, to the absence of any treatment effect on personality disorder in this group. In this context, the findings would be consistent with broad-based, systematic reviews of psychotherapeutic treatments for personality disorder, which tend to support the overall effectiveness of psychotherapy in the treatment of personality disorder but do not conclusively identify any one type of psychotherapy as more effective than any other [3, 5].

5. Conclusions

Depressive symptoms at baseline are the strongest independent predictor of depressive symptoms at 6 and 12 months. The strong correlations between severity of personality disorder and depressive symptoms make it difficult to establish the independent effect of personality disorder on outcome of depression; further longitudinal studies would help clarify this issue. It would be especially useful if such studies included follow-up periods greater than the 12-month period in this study to
date; follow-up periods of 2, 5 or even 10 years would be particularly valuable contributions to this literature. There is also a need for further study of the effectiveness of specific types of psychotherapy for the treatment of depression in individuals with personality disorder.
Table 1: Linear regression analysis of Beck Depression Inventory scores at 6 months in patients with depression \(^a\)

<table>
<thead>
<tr>
<th>Variable</th>
<th>(\beta)</th>
<th>Standard error</th>
<th>95% Confidence intervals</th>
<th>(p)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Constant</td>
<td>1.119</td>
<td>4.009</td>
<td>-6.771</td>
<td>9.010</td>
</tr>
<tr>
<td>Gender</td>
<td>-1.469</td>
<td>1.147</td>
<td>-3.727</td>
<td>0.788</td>
</tr>
<tr>
<td>Age</td>
<td>-0.027</td>
<td>0.053</td>
<td>-0.131</td>
<td>0.077</td>
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<tr>
<td>BDI at baseline (^b)</td>
<td>0.573</td>
<td>0.066</td>
<td>0.443</td>
<td>0.703</td>
</tr>
<tr>
<td>Study group (^c)</td>
<td>1.601</td>
<td>0.618</td>
<td>0.384</td>
<td>2.818</td>
</tr>
<tr>
<td>Severity of personality disorder (^d)</td>
<td>0.384</td>
<td>0.468</td>
<td>-0.538</td>
<td>1.305</td>
</tr>
</tbody>
</table>

Notes

\(^a\) Adjusted \(r^2=22.7\%\)

\(^b\) Beck Depression Inventory Score [4] at baseline

\(^c\) Individuals with depression were randomised into either the individual problem-solving group \((n=121)\), group therapy group \((n=106)\) or treatment as usual group \((n=183)\)

\(^d\) Personality disorder was classified into four categories of increasing severity: (1) no personality disorder; (2) personality difficulty; (3) simple personality disorder; and (4) complex personality disorder [22]
Table 2: Linear regression analysis of Beck Depression Inventory scores at 12 months in patients with depression

<table>
<thead>
<tr>
<th>Variable</th>
<th>$\beta$</th>
<th>Standard error</th>
<th>95% Confidence intervals</th>
<th>$p$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Constant</td>
<td>2.488</td>
<td>3.954</td>
<td>-5.298</td>
<td>10.274</td>
</tr>
<tr>
<td>Gender</td>
<td>-1.479</td>
<td>1.133</td>
<td>-3.710</td>
<td>0.752</td>
</tr>
<tr>
<td>Age</td>
<td>-0.019</td>
<td>0.052</td>
<td>-0.120</td>
<td>0.083</td>
</tr>
<tr>
<td>BDI at baseline $^b$</td>
<td>0.493</td>
<td>0.065</td>
<td>0.365</td>
<td>0.621</td>
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<tr>
<td>Study group $^c$</td>
<td>0.940</td>
<td>0.614</td>
<td>-0.270</td>
<td>2.150</td>
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<tr>
<td>Severity of personality disorder $^d$</td>
<td>0.760</td>
<td>0.465</td>
<td>-0.156</td>
<td>1.675</td>
</tr>
</tbody>
</table>

Notes

$^a$ Adjusted $r^2$=20.9%

$^b$ Beck Depression Inventory Score [4] at baseline

$^c$ Individuals with depression were randomised into either the individual problem-solving group ($n$=121), group therapy group ($n$=106) or treatment as usual group ($n$=183)

$^d$ Personality disorder was classified into four categories of increasing severity: (1) no personality disorder; (2) personality difficulty; (3) simple personality disorder; and (4) complex personality disorder [22]
References


