



Title	Open Access Publishing Survey
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Publication date	2017-03-22
Publication information	Barrett, Julia, Michelle Dalton, Joseph Greene, Charles Harper, Jenny O'Neill, and Ricki Schoen. "Open Access Publishing Survey." University College Dublin. Library, March 22, 2017.
Publisher	University College Dublin. Library
Item record/more information	http://hdl.handle.net/10197/8396

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Open Access Publishing Survey

Thank you for taking part in this survey.

The following questions are aimed at UCD researchers and academic staff across the entirety of science, social science and the humanities. A pdf version of the survey is available at <http://researchrepository.ucd.ie/handle/10197/8396> for reference purposes.

The survey should take around 15 minutes to complete. It will close on Friday, 7th April.

Initial results will be made available by the end of April. All identifying information will be kept confidential; however anonymised, aggregated data may be shared with other stakeholders.

Please email us at julia.barrett@ucd.ie if you have any questions or to report any problems.

1. Introduction

This aim of this survey is to better understand your level of awareness, perceptions and use (or non-use) of the various Open Access channels. The results will help to feed into future training and other activities to support your requirements in this area.

A second similar survey is being sent to members of the University Research Managers and Administrators Network (URMAN) and other research managers/administrators in order to understand their level of engagement with their PIs/researchers on the topic of Open Access and Open Data. Again, the results will help to feed into future training and other activities to support their requirements in supporting PIs/researchers in this area.

Both surveys have been designed and coordinated by:

URMAN Open Access Group:

Charles Harper, Research Manager

Ricki Schoen, Research Administrator

UCD Library Open Science Group:

Julia Barrett, Head of Research Services

Michelle Dalton, Scholarly Communications Librarian

Joseph Greene, Repository Librarian

Jenny O'Neill, Data Manager

2. Definitions

The terminology used when discussing Open Access to research publications and research data can be complicated; the terms used are briefly outlined below.

Publications

There are two routes to making publications Open Access: Green and Gold.

Green Open Access is where the author publishes in a traditional subscription access journal and also uploads a version (generally the last draft following peer review, i.e. not the published version) of this article into a disciplinary/multi-disciplinary or institutional repository, such as Research Repository UCD: <http://researchrepository.ucd.ie>. There may or may not be an embargo period required by the publisher. A link is provided from the Open Access repository version to the published version. This model is free to both authors (to upload) and to users (to read/download). The majority of publishers allow this practice.

Gold Open Access is where the author makes their article Open Access in a journal, usually for a fee, known as an "article processing charge" or "APC". This journal may be exclusively Open Access, or it may have a mixture of Open Access and subscription-only articles (known as "Hybrid").

It is a requirement of the majority of funders that research publications are made available via an Open Access channel.

Open Data

Sharing of research data has become more common with the introduction of funder requirements for data management plans and data publishing at the end of a research project. For example, the 'FAIR' data principles have been adopted by the European Commission funding bodies (e.g. Horizon 2020) and state that research data should be findable, accessible, interoperable and reusable. Open Data, more specifically, refers to data that can be freely used, re-used and shared by anyone with no limitations.

3. About You

Please indicate if you are a:

- PI / Academic Staff
- PostDoc / Research Fellow
- PhD Student
- Other (please specify):

In which School/Institute/Centre are you based?

- Free-text

Please indicate the number of years you have spent actively researching:

- <3
- 3-5
- 6-10
- 11-15
- >15

4. Awareness of Open Access Publishing

Q. Prior to opening this survey, what was your awareness of the following forms of Open Access publishing? Very Knowledgeable/Knowledgeable/Aware, but don't know much/Not aware

- Open Access: Gold
- Open Access: Green – Institutional repositories of Open Access content (e.g. Research Repository UCD)
- Open Access: Green – Disciplinary/multi-disciplinary repositories of Open Access content (e.g. ArXiv, PubMed, RePEc, Zenodo)

Q. What sources of information or assistance are you aware of to promote Open Access publishing in UCD? Please select all that apply:

- Library
- UCD Research
- Research administrators and managers elsewhere on campus
- Other (please specify):

Q. Please give further details of the information or assistance provided:

- Free-text

Q. Read the following statements and indicate if you agree, disagree or don't know.

- Open Access (OA) publications offer wider circulation of research than non-OA publications (e.g. traditional subscription-based publications)
- Open Access publications have a larger readership than subscription-based publications
- Open Access publications are cited more heavily than subscription-based publications
- Open Access publications are cited less heavily than subscription-based publications
- Open Access publications are of a lower quality than subscription-based publications
- Open Access publications have lower production standards (e.g. copyediting, peer review, typesetting) than subscription-based publications
- Open Access publications have faster publication timeframes than subscription-based publications
- Open Access increases the exposure of articles published in traditional subscription-based publications
- Open Access increases exposure for work not previously published (e.g. working papers)
- There are no fundamental benefits to Open Access publication

5. Your Engagement with Open Access Publishing

Q. In the last 3 years which of the following models have you used to disseminate a peer reviewed article or other publication (e.g. book, book chapter, conference paper, working paper, technical report)? Please select all that apply:

- Non-OA only (i.e. traditional subscription access journal)
- Non-OA and Research Repository UCD

- Non-OA and disciplinary or subject repository (e.g. PubMed, ArXiv, SSRN, RePEc)
- Non-OA and other platform/s (e.g. research network, School website, personal website)
- Research Repository UCD only – without publication
- Gold OA with Article Processing Charge (APC)
- Gold OA without Article Processing Charge (APC)
- Gold OA and Research Repository UCD or other repository
- Other (please specify):

6. Green Open Access

Q. To what extent do the following statements explain why you have NOT published your work in UCD's Green Open Access repository: Research Repository UCD. Please select all that apply:

- I am not aware of Research Repository UCD
- I am concerned I will be breaking copyright if I put my article in Research Repository UCD
- I don't want to put my article into Research Repository UCD if it means I cannot publish in a high impact factor journal
- I don't know which version I can upload to Research Repository UCD
- I don't know where to find the version you require for uploading to Research Repository UCD
- I want my article to appear immediately and am not prepared to accept the embargo that uploading to Research Repository UCD would require
- I don't have the time to upload to Research Repository UCD
- I upload my papers to a Green Open Access disciplinary/multi-disciplinary repository (e.g. ArXiv, PubMed, RePEc, Zenodo)
- Not applicable – I already upload my research to Research Repository UCD
- Other (please specify):

Q. What would encourage you to increase your deposits/uploads to Research Repository UCD? Please select all that apply:

- Funder requirement
- UCD requirement
- School/Research Institute/Centre requirement
- A more user-friendly way to do so
- The availability of statistics e.g. download information, Altmetrics
- Inclusion in UCD's Output-based Research Support Scheme (OBRSS)
- Other (please specify):

7. Gold/Hybrid Open Access

Q. To what extent do the following statements explain why you have NOT published your work in Open Access journals (i.e. Gold or Hybrid Open Access). Please select all that apply:

- I am not aware of Gold Open Access as a publishing model

- There wasn't an option to publish Open Access for the journal(s) I wanted to publish my articles in
- I was unable to fund an article processing charge (APC)
- I am not willing to pay an APC to publish an article
- I believe that putting a version of my article into an Open Access institutional or subject repository (self-archiving) after an embargo period is sufficient
- I could not identify any OA journals in which to publish
- I am not familiar enough with OA journals in my field to feel confident about submitting work
- My decision was influenced by my research group
- My decision was influenced by my co-publishing colleagues
- Not applicable – I have previously published in Gold/Hybrid Open Access journals
- Other (please specify):

Q. If you have published in Open Access journals where an article processing charge (APC) was required, what funding sources did you draw on to cover this cost? Please select all that apply:

- Research grant
- School / College funds
- Commercial sponsors
- Personal funds
- I have not published in a Gold/Hybrid Open Access journal where an APC was required
- Other (please specify):

Q. For your most recent Open Access publication where you paid an article processing charge (APC), how much was paid (in Euro)?

- Less than €1000
- Between €1000 and €1,500
- Between €1,501 and €2,400
- More than €2,400
- Don't know
- Not applicable (please explain)

8. Open Data

Q. Within the past 12 months, have you shared research data with any of the following? Please select all that apply:

- Project partners only
- Students or colleagues in Department
- Other researchers informally
- Other researchers via a discipline-specific data repository, e.g. Archaeology Data Service
- Other researchers via a multi-disciplinary data repository, e.g. Zenodo
- General public, published openly on the web
- Other (please specify):

Q. To what extent do the following statements explain why you have NOT openly shared your research data to others outside of your research group? Please select all that apply:

- My data are not of interest or use to anyone else
- I want to publish my work before anyone else sees my data
- My data have been gathered under complete assurances of confidentiality
- I don't have the time or money to prepare the data for open sharing
- If I ask my respondents for consent to openly share their data then they will not agree to participate in the study
- I am concerned about data protection
- My data set contains data which I have purchased and cannot be made public
- My data have commercial value
- I don't know where to deposit my data
- Other (please specify):

Q. What would encourage you to make your research data openly available to others outside of your research group? Please select all that apply:

- Funder requirement
- UCD requirement
- School/Research Institute/Centre requirement
- Advice on how to do so
- Availability of a suitable data repository
- Other (please specify):

9. Funder Requirements

Q. What is your understanding of your main funder's requirements with respect to Open Access? Please select all that apply:

- I must publish my article Open Access at the point of publication in a peer reviewed journal (with or without an article processing charge).
- I have a requirement to make the accepted version (pre-final published version) of my paper available online in a repository a certain period of time after publication
- I have a requirement to make the final published version of my paper available online in a repository a certain period of time after publication
- I have a requirement to submit a Data Management Plan
- I have a requirement to submit my data to a data repository
- My main funder has made no requirements
- I don't understand my funder's requirements
- I must publish my article open access at the point of publication in a peer reviewed journal (with or without an article processing charge).
- I have a requirement to make the accepted version (pre-final published version) of my paper available online in a repository a certain period of time after publication

- I have a requirement to make the final published version of my paper available online in a repository a certain period of time after publication
- I have a requirement to submit a Data Management Plan
- I have a requirement to submit my data to a data repository
- My main funder has made no requirements
- I don't understand my funder's requirements
- Other (please specify):

10. Publisher Approaches and Possible Predatory Publishers

In recent years, the number of exploitative or 'predatory' publishers has grown. These publishers typically send unsolicited invitations to authors offering to publish their research for a substantial fee, however do not offer any of the traditional services provided by reputable publishers, such as editing, peer review, archiving and marketing.

Q. In the past 12 months have you been approached to publish in an Open Access publication where you were unsure of the credentials of the publisher?

- Yes
- No
- I am not aware of the credentials of any of the publishers I deal with
- I don't know

Q. If you have ever been approached by the publisher of an Open Access journal how did you determine the quality and credentials of the journal? Please select all that apply:

- Checked how long has the journal been in existence
- Checked how extensive the available archive of back issues is
- Examined the quality of research published within the journal in previous issues
- Checked that the publisher provides full and transparent details of the peer review process
- Checked that the journal is indexed in major academic databases e.g. JSTOR, Scopus, Web of Science etc.
- Asked colleagues if they have published in or are familiar with the publication
- Consulted Beall's list of possible predatory publishers
- Consulted DOAJ: Directory of Open Access Journals
- Credentials were not checked
- Not applicable
- Other (please specify):

11. Issues and Concerns

Q. In relation to the general area of open publications and Open Data please list your top three concerns and issues.

Q. What steps, training, initiatives and interventions would you like to see to help address your issues and concerns?

Q. Do you have any additional comments?