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2 **Coinage Metal NHC Complexes as Novel Antibiotics and Anticancer Drugs**

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11 The synthesis and biological evaluation against cancer cells and pathogenic bacteria as well as fungi of five
12 coinage metal NHC complexes derived from copper (WBC4), silver (SBC1/SBC3) and gold (NHC-Au-Cl/NHC-
13 Au-SR) is reviewed. The NHC ligand for these compounds is 1,3-dibenzyl-4,5-diphenylimidazol-2-ylidene or
14 derivatives closely related, since this ligand is proven suitable for drug-like molecules. The NHC-silver acetate
15 complex SBC1 failed as an anticancer drug candidate *in vivo*, while its highly related compound SBC3 succeeded
16 *in vivo* as an experimental antibiotic in *Galleria mellonella* larvae showing survival advantage against pathogenic
17 bacteria and fungi. The corresponding gold complexes of NHC-Au-Cl and NHC-Au-SR (R = thioglucoside) as
18 well as the NHC-copper bromide derivative WBC4 exhibited significant growth inhibition, when tested against
19 xenografted human renal-cell cancer Caki-1 in nude mice; WBC4 showed tolerable toxicity in the form of
20 reversible body weight loss, while the two gold compounds did not induce body weight loss in the xenograft
21 mouse model experiment.

22

23 INTRODUCTION

24 Metal complexes with *N*-heterocyclic carbene (NHC) ligands are used in catalysis [1],
25 as materials [2] and as metal-based drugs [3,4]. NHC ligands are easily chemically modified,
26 like 1,3-dibenzyl-4,5-diphenylimidazol-2-ylidene, in order to serve as a lipophilic part in
27 drug-like molecules. These NHC ligands can act as excellent two electron bond donors, which
28 are stronger σ -donors than phosphine ligands making them ideal ligands to stabilise coinage
29 metal NHC complexes as potential antibiotic or anticancer drug candidates [5-7]. The
30 resulting NHC-M(I) complexes may be an alternative to Auranofin (triethylphosphino gold(I)
31 tetraacetyl β -D-thioglucoside), a drug used in the treatment of rheumatoid arthritis, and now
32 evaluated for its chemotherapeutic potential against microorganisms and as an anti-
33 proliferative drug [8].

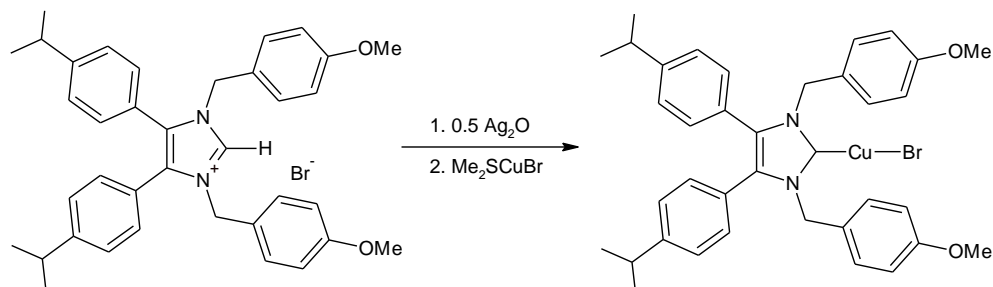
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35 RESULTS AND DISCUSSION

36 NHC-Cu(I) based anticancer drugs

37 The anticancer drug candidate 1,3-di(*p*-methoxybenzyl)-4,5-di(*p*-isopropylphenyl)-
 38 imidazol-2-ylidene copper(I) bromide (WBC4) was synthesised from the corresponding
 39 imidazolium bromide, silver oxide and dimethylsulphido copper monobromide in 74% yield as
 40 shown in figure 1 [9].

41



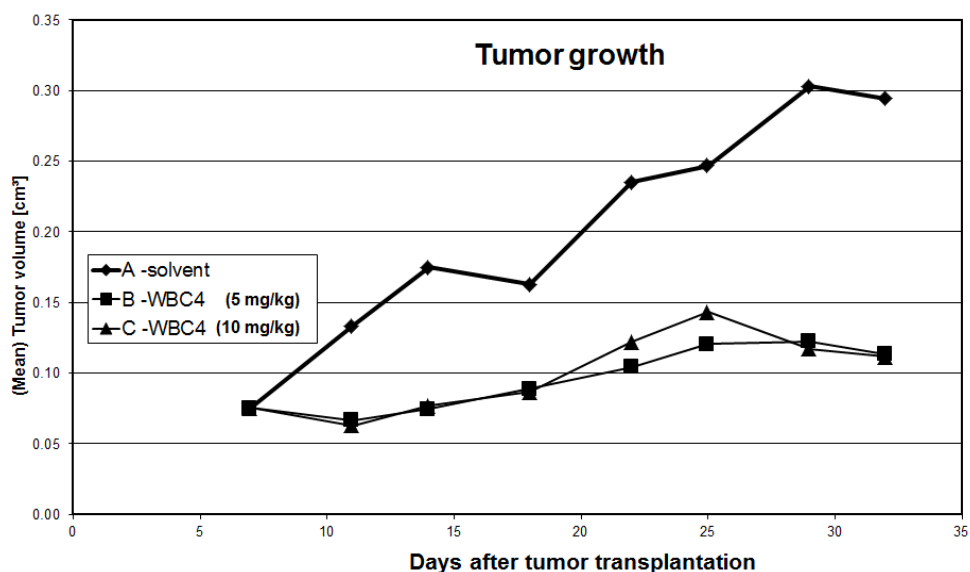
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43 Figure 1: Synthesis of 1,3-di(*p*-methoxybenzyl)-4,5-di(*p*-isopropylphenyl)-imidazol-2-
 44 ylidene copper(I) bromide (WBC4).

45

46 WBC4 is a surprisingly stable Cu(I) complex with respect to water and air, which
 47 allowed for its evaluation in biological media. WBC4 was tested on the NCI 60 cancer cell
 48 panel *in vitro*; the compound showed very good activity against a wide range of human cancer
 49 cell lines inclusive renal cell cancer with an average GI₅₀ value of 288 nM. This encouraged
 50 maximum tolerable dose (MTD) experiments in mice, where a MTD value of 10 mg/kg was
 51 determined with single injections to groups of 2 mice. In the following tumor xenograft
 52 experiment WBC4 was given at 5 and 10 mg/kg in 5 injections to two cohorts of 6 CAKI-1
 53 tumor-bearing NMRI:nu/nu mice, while a control cohort of 6 mice was treated with solvent
 54 only [10]. At the higher dose of 10 mg/kg WBC4 showed borderline toxicity leading to 2
 55 mortalities, while a significant T/C value of 0.38 was observed on day 32. At the lower dose
 56 of 5 mg/kg WBC4 induced mild and reversible body weight loss with no toxic deaths. At this
 57 dose WBC4 showed an identical significant T/C value of 0.38 on day 32, when compared to
 58 the other treatment group as shown in figure 2. Immunohistochemistry for the proliferation
 59 marker Ki-67 did not show significant changes due to WBC4 treatment in the animals.
 60 However, anti-angiogenic effects by WBC4 treatment were observed in CD31
 61 immunohistochemistry. Here, significant reduction in microvessel number, area and ratio was
 62 determined in tumors treated with 10 mg/kg of WBC4.

63



64

65 Figure 2: Influence of WBC4 on growth of CAKI-1 xenotransplant tumors in NMRI
66 nu/nu mice; adapted from [10] with permission.

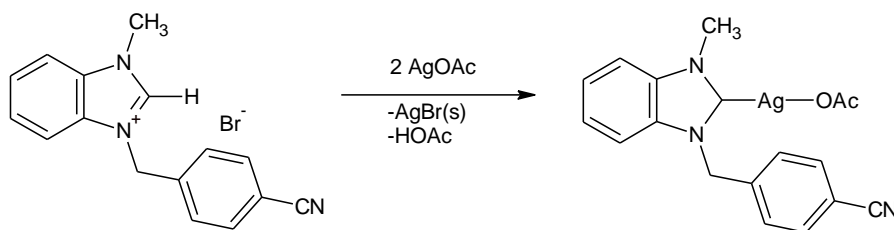
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69 NHC-Ag(I) based anticancer drugs

70 The anticancer drug candidate 1-methyl-3-(*p*-cyanobenzyl)-benzimidazole-2-ylidene
71 silver(I) acetate (SBC1) was synthesised from the unsymmetrically substituted
72 benzimidazolium bromide and two equivalents of silver acetate in 74% yield as shown in
73 figure 3 [11].

74



75

76

77 Figure 3: Synthesis of 1-methyl-3-(*p*-cyanobenzyl)-benzimidazole-2-ylidene silver(I)
78 acetate (SBC1).

79

80

81 SBC1 was tested *in vitro* against human neuroblastoma cells, UKF-NB-3 and UKF-NB-
82 6, delivering IC₅₀ values of 29 +/- 5 and 29 +/- 4 μM, while further testing against cisplatin-,

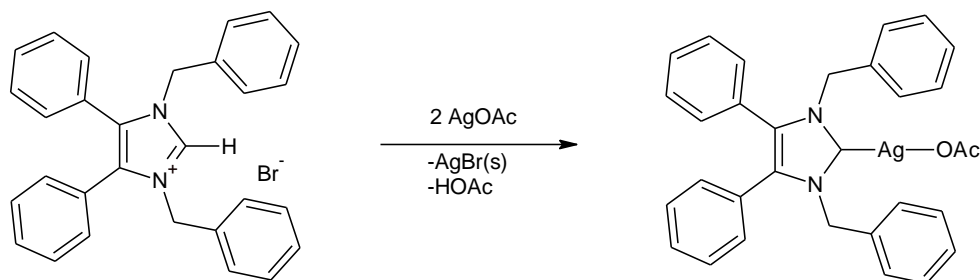
83 carboplatin- and oxaliplatin-resistant UKF-NB-3/6 sub-lines showed no cross-resistance with
 84 respect to SBC1. A similar trend was found for SBC1 against the human colon carcinoma cell
 85 line HCT8 with an IC50 value of 3.1 +/- 0.9 μ M; SBC1 was again able to break cisplatin- and
 86 carboplatin-resistance in the corresponding sub-lines. SBC1 was also tested against the
 87 prostate cancer cell line PC-3 and its paclitaxel-resistant sub-line, which gave IC50 values of
 88 14.1 +/- 0.9 and 14.5 +/- 0.8 μ M, which indicated no cross-resistance with paclitaxel. In order
 89 to test the possible transport of SBC1 via albumin the binding of SBC1 against this transport
 90 protein was measured using a fluorescence titration, which gave a Δ G value of 28 +/- 3
 91 kJ/mol. In circular dichroism and DNA denaturation assays SBC1 proved to be a strongly
 92 DNA-binding drug candidate. SBC1 was then given at 25 and 50 mg/kg/d, in four injections
 93 to two cohorts of eight CAKI-1 tumor-bearing NMRI:nu/nu mice, while a further cohort was
 94 treated with solvent only [12]. At these two dosages SBC1 showed borderline toxicity leading
 95 to mortality and body weight loss, while no significant tumor growth reduction or influence on
 96 blood parameter with respect to the solvent-treated control group was observed. Further *in*
 97 *vivo* testing against zebrafish larvae revealed significant toxicity of SBC1 at micromolar
 98 concentrations; no useable anti-angiogenic dosage was observed.

99

100 NHC-Ag(I) based antibiotic drugs

101 The antimicrobial drug candidate 1,3-dibenzyl-4,5-diphenyl-imidazol-2-ylidene silver(I)
 102 acetate (SBC3) was synthesised from 1,3-dibenzyl-4,5-diphenyl-imidazolium bromide and 2
 103 equivalents of silver acetate in 81% yield as shown in figure 4 [11].

104



105

106 Figure 4: Synthesis of 1,3-dibenzyl-4,5-diphenyl-imidazol-2-ylidene silver(I)
 107 acetate (SBC3).

108

109 Preliminary *in vitro* evaluation showed that SBC3 showed antibacterial activity
 110 comparable to clinically used antibiotics [13], which encouraged further investigations. SBC3

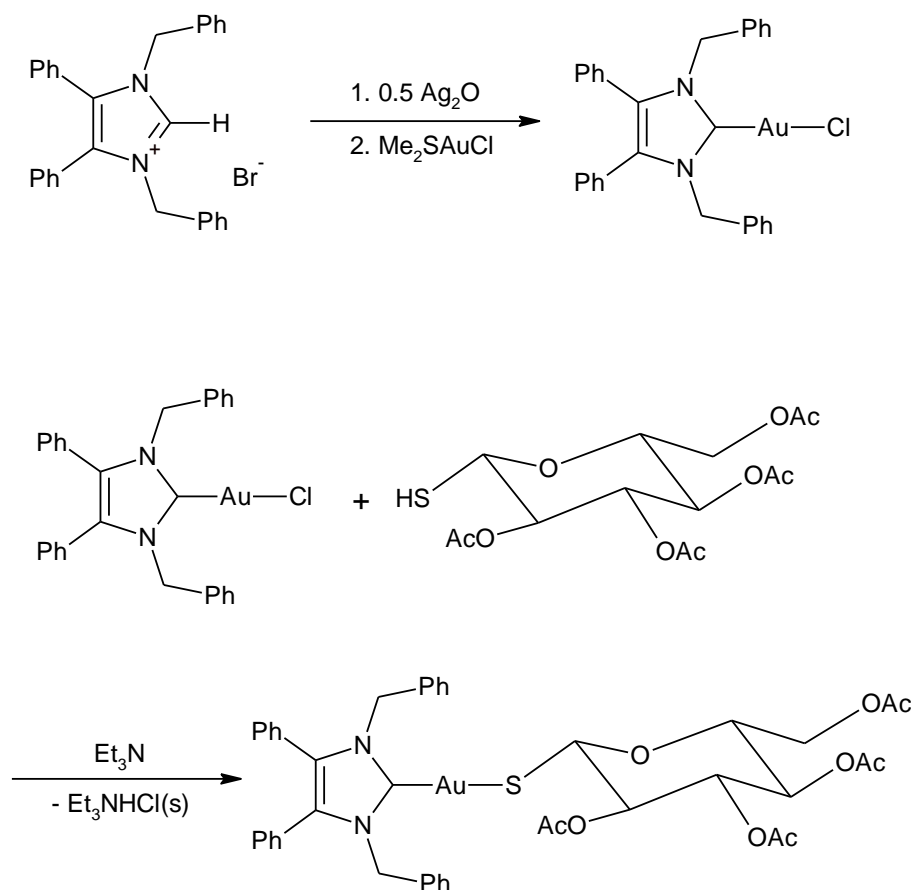
111 was evaluated for its ability to function *in vivo* using larvae of *Galleria mellonella*. A SBC3
112 concentration of 25 µg/ml inhibited the growth of *S. aureus* by 71% and *C. albicans* by 86%
113 *in vitro*. Larvae inoculated with 20 µl of SBC3 solution showed no ill effects up to a
114 concentration of 250 µg/ml but administration of 500 µg/ml resulted in a 40% reduction in
115 larval survival and administration of a dose of 1000 µg/ml resulted in total larval death at 24 h
116 [14]. Larvae inoculated with *S. aureus* or *C. albicans* and subsequently administered SBC3
117 showed increased survival. Administration of SBC3 to larvae did not boost the insect immune
118 response as indicated by lack of an increase in the density of circulating haemocytes (immune
119 cells). The abundance of a number of proteins involved in the insect immune response was
120 reduced in larvae that received 20 µl SBC3 solution of 100 µg/ml. This is the first
121 demonstration of the *in vivo* activity of SBC3 against *S. aureus* and *C. albicans* and
122 demonstrates that SBC3 does not stimulate a non-specific immune response in larvae.

123

124 NHC-Au(I) Based Anticancer Drugs

125 The anticancer drug candidate 1,3-dibenzyl-4,5-diphenyl-imidazol-2-ylidene gold(I)
126 chloride (NHC-AuCl) and its 2',3',4',6'-tetra-O-acetyl-β-D-glucopyranosyl-1'-thiolate
127 derivative (NHC-AuSR) [15,16], which is a potential ligand for glucose transporters, were
128 made analogously to WBC4 as shown in figure 5.

129



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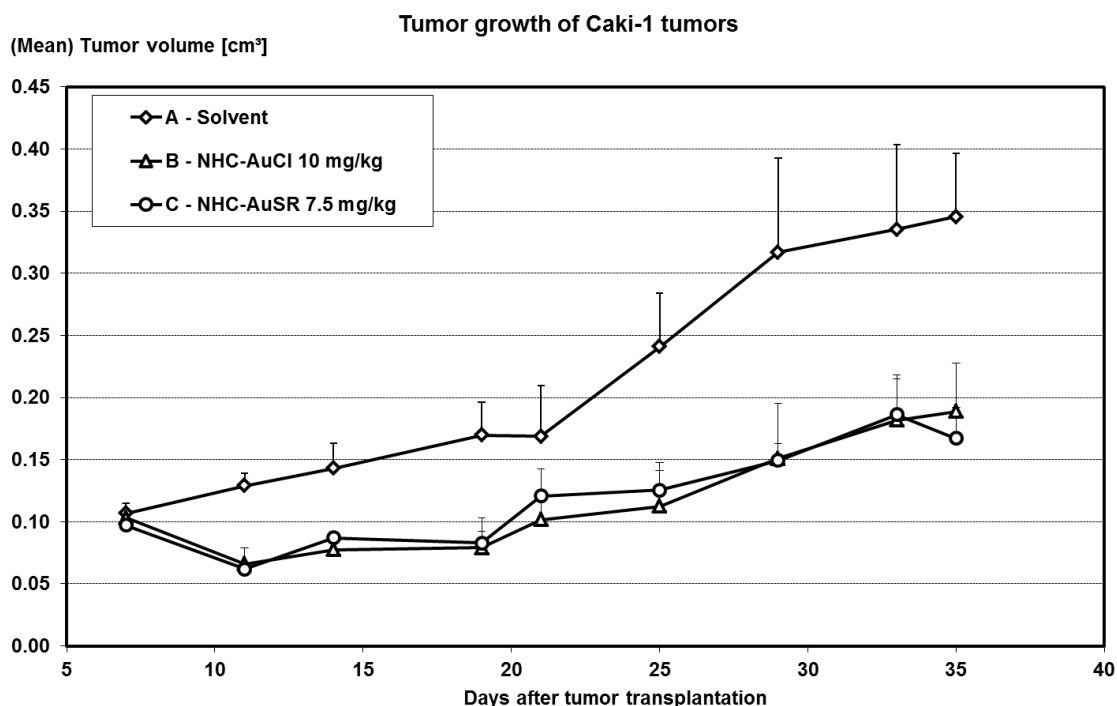
132 Figure 5: Synthesis of 1,3-dibenzyl-4,5-diphenyl-imidazol-2-ylidene gold(I) chloride
133 (NHC-AuCl) and its 2',3',4',6'-tetra-O-acetyl- β -D-glucopyranosyl-1'-thiolate derivative (NHC-
134 AuSR).

135

136 NHC-AuCl and NHC-AuSR were tested on the NCI 60 cancer cell panel *in vitro* and
137 both compounds showed very good activity against a wide range of human cancer cell lines
138 inclusive renal cell cancer with similar average GI50 values of 1.78 and 1.95 μM ,
139 respectively. This encouraged maximum tolerable dose (MTD) experiments in mice, where
140 MTD values of 10 mg/kg for NHC-AuCl and 7.5 mg/kg for NHC-AuSR were determined
141 with single injections to groups of 2 mice. In the following tumor xenograft experiment NHC-
142 AuCl and NHC-AuSR were given at MTD in 6 injections to two cohorts of 6 CAKI-1 tumor-
143 bearing NMRI:nu/nu mice, while a control cohort of 6 mice was treated with solvent only
144 [17]. NHC-AuCl at the dose of 10 mg/kg and NHC-AuSR at the lower dose of 7.5 mg/kg
145 induced both low toxicities in the form of abdominal swelling but no significant body weight
146 loss was seen in both groups. The tumor volume growth reduction was significant and almost
147 identical; optimal T/C values of 0.47 were observed on day 19 for NHC-AuCl and on day 29

148 for NHC-AuSR as shown in figure 6. Immunohistochemistry for the proliferation marker Ki-
 149 67 and the angiogenesis marker CD31 did not show significant changes due to NHC-AuCl or
 150 NHC-AuSR treatment in the animals. However, thioredoxin reductase (TrxR) inhibition with
 151 IC50 values of 1.5 μM for NHC-AuCl and 3.1 μM for NHC-AuSR seem to indicate that
 152 apoptosis induction through elevated oxidative stress is the main mechanism for the two gold
 153 compounds.

154



155

156 Figure 6: Influence of NHC-AuCl and NHC-AuSR on growth of CAKI-1
 157 xenotransplant tumors in NMRI nu/nu mice; adapted from [17] with permission.

158

159 CONCLUSIONS

160 Monovalent benzyl-substituted NHC coinage metal halides and acetates are air- and
 161 moisture-stable compounds that have the right lipophilicity and solubility to act as potential
 162 drug candidates.

163 NHC-silver acetates like SBC1 looked in their *in vitro* evaluation as ideal anticancer
 164 drug candidates, but *in vivo* testing showed that xenografted human renal-cell could not be
 165 treated by SBC1. Nevertheless, SBC1 was tolerated in high doses in the mouse showing its
 166 low toxicity. A similar NHC-silver derivative SBC3 was then successfully tested *in vitro*
 167 against a variety of pathogenic bacteria inclusive MRSA and showed already at low dosage a
 168 significant survival effect in *Galleria mellonella* larvae, which were infected with *S. aureus* or

169 *C. albicans*. It is likely that ligand-stabilised silver(I) complexes will be deactivated by
170 glutathione or other defense mechanism in mammalian cells, but bacteria respond already to
171 low concentration of such species, which means that NHC-silver complexes are antibiotics
172 but not anticancer drugs.

173 The NHC-copper bromide species WBC4 was a successfully tested drug candidate in
174 xenografted Caki-1 tumors in nude mice; after five injections with a dose of 5 mg/kg the mice
175 responded with mild and reversible body weight loss and a good T/C value of 0.38. Very
176 similar results were found for the two NHC-gold chloride and thioglucoside derivatives NHC-
177 Au-Cl and NHC-Au-SR; six injections of 10 mg/kg (NHC-Au-Cl) or 7.5 mg (NHC-Au-SR)
178 led in xenografted Caki-1 tumors in nude mice to identical T/C values of 0.47. Both
179 compounds induced no body weight loss and can therefore be classified as mild
180 chemotherapy.

181 Summarising, one can say that silver compound SBC3 has the potential to go into Phase
182 I clinical trials in humans as an emergency antibiotic possibly against sepsis caused by
183 pathogenic bacteria resistant to conventional antibiotics. Here, the unusual mechanism of
184 destruction of bacterial cell walls may become the reason for success. The NHC-copper and
185 NHC-gold complexes WBC4, NHC-Au-Cl and NHC-Au-SR look very promising when it
186 comes to difficult to treat forms of cancer like renal-cell cancer, where all three compounds
187 exhibit significant T/C values in the xenograft experiments. All three species have potential
188 for tests in humans, since one can see very good growth reduction like in WBC4 and low
189 toxicity like in the gold species in combination with a new mechanism of mitochondrial
190 membrane depolarisation and thioredoxin reductase inhibition, which is connected to the
191 NHC-gold derivatives.

192

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196

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